

NEARBY CYCLES ON DRINFELD-GAITSGORY-VINBERG INTERPOLATION GRASSMANNIAN AND LONG INTERTWINING FUNCTOR

LIN CHEN

Dedicated to the memory of Ernest Borisovich Vinberg

ABSTRACT. Let G be a reductive group and U, U^- be the unipotent radicals of a pair of opposite parabolic subgroups P, P^- . We prove that the DG categories of $U((t))$ -equivariant and $U^-((t))$ -equivariant D-modules on the affine Grassmannian Gr_G are canonically dual to each other. We show that the unit object witnessing this duality is given by nearby cycles on the Drinfeld-Gaitsgory-Vinberg interpolation Grassmannian defined in [FKM20]. We study various properties of the mentioned nearby cycles, in particular compare them with the nearby cycles studied in [Sch18], [Sch16]. We also generalize our results to the Beilinson-Drinfeld Grassmannian Gr_{G, X^I} and to the affine flag variety Fl_G .

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0. INTRODUCTION

0.1. Motivation: nearby cycles and the long intertwining functor. Let G be a reductive group over an algebraically closed field k of characteristic 0. For simplicity, we assume $[G, G]$ to be simply connected. Fix a pair (B, B^-) of opposite Borel subgroups of G . Let Fl_f be the flag variety of G , and N, N^- be the unipotent radicals of B, B^- respectively. Recall the following well-known fact (see e.g. [BB83] and [CGD19, Proposition 1.4.2]):

Fact 1. *The long-intertwining functor*

$$(0.1) \quad \Upsilon : \mathrm{DMod}(\mathrm{Fl}_f)^N \xrightarrow{\mathrm{oblv}^N} \mathrm{DMod}(\mathrm{Fl}_f) \xrightarrow{\mathbf{Av}_*^{N^-}} \mathrm{DMod}(\mathrm{Fl}_f)^{N^-}$$

is an equivalence.

In the above formula,

- $\mathrm{DMod}(\mathrm{Fl}_f)^N$ is the DG category of D-modules on Fl_f that are constant along the N -orbits.
- oblv^N is the forgetful functor.
- $\mathbf{Av}_*^{N^-}$ is the right adjoint of oblv^{N^-} .

The DG category $\mathrm{DMod}(\mathrm{Fl}_f)^N$ is equivalent to $\mathrm{DMod}(\mathrm{Fl}_f/N)$ (see [DG13] for the definition). Verdier duality on the algebraic stack Fl_f/N provides an equivalence

$$\mathrm{DMod}(\mathrm{Fl}_f/N) \simeq \mathrm{DMod}(\mathrm{Fl}_f/N)^\vee.$$

Here \mathcal{C}^\vee is the dual DG category of \mathcal{C} , whose definition will be reviewed below. Let us first reinterpret Fact 1 as:

Fact 2. *The DG categories $\text{DMod}(\text{Fl}_f)^N$ and $\text{DMod}(\text{Fl}_f)^{N^-}$ are canonically dual to each other.*

Recall that a duality datum between two DG categories \mathcal{C}, \mathcal{D} consists of a *unit* (a.k.a. *co-evaluation*) functor $c: \text{Vect}_k \rightarrow \mathcal{C} \otimes_k \mathcal{D}$ and a *counit* (a.k.a. *evaluation*) functor $e: \mathcal{D} \otimes_k \mathcal{C} \rightarrow \text{Vect}_k$, where \otimes_k is the Lurie tensor product for DG categories, and Vect_k , the DG category of k -vector spaces, is the monoidal unit for \otimes_k . The pair (c, e) are required to make the following compositions isomorphic to the identity functors:

$$(0.2) \quad \begin{aligned} \mathcal{C} &\simeq \text{Vect}_k \otimes_k \mathcal{C} \xrightarrow{c \otimes \text{Id}_{\mathcal{C}}} \mathcal{C} \otimes_k \mathcal{D} \otimes_k \mathcal{C} \xrightarrow{\text{Id}_{\mathcal{C}} \otimes e} \mathcal{C} \otimes_k \text{Vect}_k \simeq \mathcal{C} \\ \mathcal{D} &\simeq \mathcal{D} \otimes_k \text{Vect}_k \xrightarrow{\text{Id}_{\mathcal{D}} \otimes c} \mathcal{D} \otimes_k \mathcal{C} \otimes_k \mathcal{D} \xrightarrow{e \otimes \text{Id}_{\mathcal{D}}} \text{Vect}_k \otimes_k \mathcal{D} \simeq \mathcal{D}. \end{aligned}$$

It follows formally that the counit for the duality in Fact 2 is the following composition:

$$(0.3) \quad \text{DMod}(\text{Fl}_f)^{N^-} \otimes_k \text{DMod}(\text{Fl}_f)^N \xrightarrow{\text{oblv}^{N^-} \otimes \text{oblv}^N} \text{DMod}(\text{Fl}_f) \otimes_k \text{DMod}(\text{Fl}_f) \xrightarrow{!} \text{DMod}(\text{Fl}_f) \xrightarrow{C_{\text{dR}}} \text{Vect}_k,$$

where $!$ is the !-tensor product, and C_{dR} is taking the de-Rham cohomology complex.

Here is a natural question:

Question 1. *What is the unit functor for the duality in Fact 2?*

Of course, the question is uninteresting if we only want *one* formula for the unit. For example, it is the composition

$$\text{Vect}_k \xrightarrow{\text{unit}} \text{DMod}(\text{Fl}_f)^N \otimes_k \text{DMod}(\text{Fl}_f)^N \xrightarrow{\text{Id} \otimes \Upsilon^{-1}} \text{DMod}(\text{Fl}_f)^N \otimes_k \text{DMod}(\text{Fl}_f)^{N^-}.$$

However, it becomes interesting when we want a more *symmetric* formula. So we restate Question 1 as

Question 2. *Can one find a symmetric formula for the unit of the duality in Fact 2?*

Let us look into the nature of the desired unit object. Tautologically we have

$$\text{DMod}(\text{Fl}_f)^N \otimes_k \text{DMod}(\text{Fl}_f)^{N^-} \simeq \text{DMod}(\text{Fl}_f \times \text{Fl}_f)^{N \times N^-}.$$

Also, knowing a continuous k -linear functor $\text{Vect}_k \rightarrow \mathcal{C}$ is equivalent to knowing an object in \mathcal{C} . Hence the unit is essentially given by an $(N \times N^-)$ -equivariant complex \mathcal{K} of D-modules on $\text{Fl}_f \times \text{Fl}_f$. We start by asking the following question:

Question 3. *What is the support of the object \mathcal{K} ?*

It turns out that this seemingly boring question has an interesting answer. Recall that both the N and N^- orbits on Fl_f are labelled by the Weyl group W . For $w \in W$, let Δ^w and $\Delta^{w,-}$ respectively be the !-extensions of the IC D-modules on the orbits NwB/B and N^-wB/B . It follows formally that we have

$$(0.4) \quad \text{Hom}(\Delta^{w_1} \boxtimes \Delta^{w_2,-}, \mathcal{K}) \simeq \text{Hom}(\Delta^{w_2,-}, \mathbb{D}^{\text{Ver}} \circ \Upsilon(\Delta^{w_1})),$$

where

$$\mathbb{D}^{\text{Ver}}: \text{DMod}_{\text{coh}}(\text{Fl}_f) \simeq \text{DMod}_{\text{coh}}(\text{Fl}_f)^{\text{op}}$$

is the contravariant Verdier duality functor. It's well-known that $\mathbb{D}^{\text{Ver}} \circ \Upsilon(\Delta^w) \simeq \Delta^{w,-}$. Hence (0.4) is nonzero only if N^-w_2B/B is contained in the closure of N^-w_1B/B , i.e. only if $w_1 \leq w_2$, where “ \leq ” is the Bruhat order. Therefore \mathcal{K} is supported on the closures of

$$(0.5) \quad \coprod_{w \in W} (N \times N^-)(w \times w)(B \times B)/(B \times B).$$

The disjoint union (0.5) has a more geometric incarnation. To describe it, let us choose a regular dominant co-character $\mathbb{G}_m \rightarrow T$, the adjoint action of T on G induces a \mathbb{G}_m -action on Fl_f . The attractor, repeller, fixed loci (see [DG14] or Definition 1.2.11 for definitions) of this action are

$$\coprod_{w \in W} NwB/B, \quad \coprod_{w \in W} N^-wB/B, \quad \coprod_{w \in W} wB/B.$$

Hence (0.5) is identified with the 0-fiber of the *Drinfeld-Gaitsgory interpolation* $\widetilde{\text{Fl}}_f \rightarrow \mathbb{A}^1$ for this action (see [DG14] or § 1.2.15 for its definition).

An important property of this interpolation is that there is a locally closed embedding

$$(0.6) \quad \widetilde{\text{Fl}}_f \hookrightarrow \text{Fl}_f \times \text{Fl}_f \times \mathbb{A}^1,$$

defined over \mathbb{A}^1 , such that its 1-fiber is the diagonal embedding $\text{Fl}_f \hookrightarrow \text{Fl}_f \times \text{Fl}_f$, while its 0-fiber is the obvious embedding of (0.5) into $\text{Fl}_f \times \text{Fl}_f$. This motivates the following guess, which is a baby-version (=finite type version) of the main theorem of this paper:

Guess 1. *Consider the trivial family $\text{Fl}_f \times \text{Fl}_f \times \mathbb{A}^1 \rightarrow \mathbb{A}^1$. Up to a cohomological shift, \mathcal{K} is isomorphic to the nearby cycles sheaf of the constant D -module supported on $\widetilde{\text{Fl}}_f \times_{\mathbb{A}^1} \mathbb{G}_m$.*

The guess is in fact correct. For example, it can be proved using [BFO12, Theorem 6.1] and the localization theory¹. On the other hand, in the main text of this paper, we will prove an affine version of this claim; our method can be applied to the finite type case as well (see § 3.6).

0.2. Main theorems.

0.2.1. *Inv-inv duality.* Consider the loop group $G((t))$ of G . Let Gr_G be the affine Grassmannian. Let P be a standard parabolic subgroup and P^- be its opposite parabolic subgroup. Let U, U^- respectively be the unipotent radical of P, P^- . Consider the DG category $\text{DMod}(\text{Gr}_G)^{U((t))}$ defined as in [Gai18b]. We will prove the following theorem (see Corollary 1.3.8(1)):

Theorem 1. *The DG categories $\text{DMod}(\text{Gr}_G)^{U((t))}$ and $\text{DMod}(\text{Gr}_G)^{U^-((t))}$ are dual to each other, with the counit functor given by*

$$\begin{aligned} & \text{DMod}(\text{Gr}_G)^{U^-((t))} \otimes_k \text{DMod}(\text{Gr}_G)^{U((t))} \xrightarrow{\text{oblv}^{U^-((t))} \otimes \text{oblv}^{U((t))}} \\ & \rightarrow \text{DMod}(\text{Gr}_G) \otimes_k \text{DMod}(\text{Gr}_G) \xrightarrow{-\otimes^1 -} \text{DMod}(\text{Gr}_G) \xrightarrow{C_{\text{dR}}} \text{Vect}_k. \end{aligned}$$

0.2.2. *The unit of the duality.* As one would expect, we will prove

$$\text{DMod}(\text{Gr}_G)^{U((t))} \otimes_k \text{DMod}(\text{Gr}_G)^{U^-((t))} \simeq \text{DMod}(\text{Gr}_G \times \text{Gr}_G)^{U((t)) \times U^-((t))}.$$

Hence the unit functor is given by an $(U((t)) \times U^-((t)))$ -equivariant object \mathcal{K} in $\text{DMod}(\text{Gr}_G \times \text{Gr}_G)$.

Choose a dominant co-character $\gamma: \mathbb{G}_m \rightarrow T$ that is regular with respect to P . The adjoint action of T on G induces a \mathbb{G}_m -action on Gr_G . Consider the corresponding Drinfeld-Gaitsgory interpolation $\widetilde{\text{Gr}}_G^\gamma$ and the embedding

$$\widetilde{\text{Gr}}_G^\gamma \hookrightarrow \text{Gr}_G \times \text{Gr}_G \times \mathbb{A}^1.$$

We will prove the following theorem (see Corollary 1.3.8(2)):

Theorem 2. *Consider the trivial family $\text{Gr}_G \times \text{Gr}_G \times \mathbb{A}^1 \rightarrow \mathbb{A}^1$. Up to a cohomological shift, \mathcal{K} is canonically isomorphic to the nearby cycles sheaf of the dualizing D -module supported on $\widetilde{\text{Gr}}_G^\gamma \times_{\mathbb{A}^1} \mathbb{G}_m$.*

0.2.3. *The long intertwining functor.* It is easy to see that the naive long-intertwining functor²

$$\text{DMod}(\text{Gr}_G)^{U((t))} \xrightarrow{\text{oblv}^{U((t))}} \text{DMod}(\text{Gr}_G) \xrightarrow{\mathbf{Av}_*^{U^-((t))}} \text{DMod}(\text{Gr}_G)^{U^-((t))}$$

is the zero functor unless $P = G$. This is essentially due to the fact that $U((t))$ is ind-infinite dimensional. Instead, we will deduce from Theorem 1 the following theorem (see § 1.1.7 and Corollary 1.3.12):

Theorem 3. *The functor*

$$(0.7) \quad \Upsilon: \text{DMod}(\text{Gr}_G)^{U((t))} \xrightarrow{\text{oblv}^{U((t))}} \text{DMod}(\text{Gr}_G) \xrightarrow{\mathbf{Pr}^{U^-((t))}} \text{DMod}(\text{Gr}_G)_{U^-((t))}$$

is an equivalence.

¹We are grateful to Yuchen Fu for pointing out this to us.

²The functor $\mathbf{Av}_*^{U^-((t))}$ below is *non-continuous*.

In the above formula, $\text{DMod}(\text{Gr}_G)_{U^-((t))}$ is the category of coinvariants for the $U^-((t))$ -action on Gr_G . It can be defined as the localization of $\text{DMod}(\text{Gr}_G)$ that kills the kernels of $\mathbf{Av}_*^{\mathcal{N}}$ for all subgroup scheme \mathcal{N} of $U^-((t))$.

In the special case when $P = B$, Theorem 3 can be deduced from a result of S. Raskin, which says (0.7) becomes an equivalence if we further take $T[[t]]$ -invariants. See § 1.1.7 for a sketch of this reduction. However, our proof of Theorem 3 is independent to Raskin's result. Moreover, for general parabolics, to the best of our knowledge, Theorem 3 is *not* a direct consequence of any known results.

0.3. Nearby cycles on VinGr . Theorem 2 motivates us to study the nearby cycles mentioned in its statement. We denote this nearby cycles by $\Psi_\gamma \in \text{DMod}(\text{Gr}_G \times \text{Gr}_G)$. Note that by Theorem 2, it only depends on P (and not on γ). We summarize known results about Ψ_γ as follows.

0.3.1. Support. Let r be the semi-simple rank of G . In [FKM20], the authors defined the *Drinfeld-Gaitsgory-Vinberg interpolation Grassmannian* VinGr_G . There is a closed embedding

$$\text{VinGr}_G \hookrightarrow \text{Gr}_G \times \text{Gr}_G \times \mathbb{A}^r,$$

which is a multi-variable degeneration of the diagonal embedding $\text{Gr}_G \hookrightarrow \text{Gr}_G \times \text{Gr}_G$. The co-character γ chosen before extends to a map $\mathbb{A}^1 \rightarrow \mathbb{A}^r$. Let

$$\text{VinGr}_G^\gamma \hookrightarrow \text{Gr}_G \times \text{Gr}_G \times \mathbb{A}^1$$

be the sub-degeneration obtained by pullback along this map.

We will see that $\text{VinGr}_G^\gamma \times_{\mathbb{A}^1} \mathbb{G}_m$ is isomorphic to $\widetilde{\text{Gr}}_G^\gamma \times_{\mathbb{A}^1} \mathbb{G}_m$ as closed sub-indscheme of $\text{Gr}_G \times \text{Gr}_G$. Hence the support of Ψ_γ is contained in the 0-fiber of VinGr_G^γ , and it can also be calculated as the nearby cycles sheaf of the dualizing D-module on VinGr_G^γ .

0.3.2. Equivariant structure. (See Proposition 2.4.1(2))

We will prove Ψ_γ is constant along any $(U((t)) \times U^-((t)))$ -orbit of $\text{Gr}_G \times \text{Gr}_G$.

We will prove Ψ_γ has a canonical equivariant structure for the diagonal $M[[t]]$ -action on $\text{Gr}_G \times \text{Gr}_G$.

0.3.3. Monodromy. (See Proposition 2.4.1(1))

As a nearby cycles sheaf, Ψ_γ carries a monodromy endomorphism. We will prove that this endomorphism is locally unipotent.

0.3.4. Factorization. (See Corollary 3.4.4)

For any non-empty finite set I , consider the *Beilinson-Drinfeld Grassmannian* $\text{Gr}_{G,I}$ and the similarly defined nearby cycles sheaf $\Psi_{\gamma,I} \in \text{DMod}(\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I})$. By [FKM20], we also have a relative version $\text{VinGr}_{G,I}$ of VinGr_G . As before $\Psi_{\gamma,I}$ can also be calculated as the nearby cycles sheaf of the dualizing D-module on $\text{VinGr}_{G,I}^\gamma$.

We will prove that the assignment $I \rightsquigarrow \Psi_{\gamma,I}$ factorizes. In other words, Ψ_γ can be upgraded to a *factorization algebra* in the factorization category $\text{DMod}(\text{Gr}_G \times \text{Gr}_G)$ in the sense of [Ras15a].

0.3.5. Local-global compatibility. (see Theorem 1.5.1)

Let X be a connected projective smooth curve over k . In [Sch18] and [Sch16], S. Schieder defined the *Drinfeld-Lafforgue-Vinberg multi-variable degeneration*

$$\text{VinBun}_G(X) \rightarrow \mathbb{A}^r,$$

which is a degeneration of $\text{Bun}_G(X)$, the moduli stack of G -torsors on X . In [FKM20], the authors showed that the relationship between $\text{VinGr}_{G,I}$ and $\text{VinBun}_G(X)$ is similar to the relationship between $\text{Gr}_{G,I}$ and $\text{Bun}_G(X)$. In particular, there is a local-to-global map

$$\pi_I : \text{VinGr}_{G,I} \rightarrow \text{VinBun}_G(X)$$

defined over \mathbb{A}^r , which is a multi-variable degeneration of the map $\text{Gr}_{G,I} \rightarrow \text{Bun}_G(X)$.

In [Sch18] and [Sch16], S. Schieder calculated the nearby cycles sheaf $\Psi_{\gamma, \text{glob}}$ of the dualizing D-module³ for the sub-degeneration $\text{VinBun}_G(X)^\gamma \rightarrow \mathbb{A}^1$. By construction, the map $\text{VinGr}_{G,I} \rightarrow \text{VinBun}_G(X)$ induces a map

$$\Psi_{\gamma, I} \rightarrow (\pi_I|_{C_P})^!(\Psi_{\gamma, \text{glob}}).$$

We will show that this is an isomorphism. Let us mention that in the proof of this isomorphism, we will *not* use Schieder's calculation.

0.4. Variants, generalizations and upcoming work.

0.4.1. *M[[t]]-equivariant versions.* Theorem 1 formally implies (see Corollary 1.4.5(1))

$$\text{DMod}(\text{Gr}_G)^{U((t))M[[t]]} \text{ and } \text{DMod}(\text{Gr}_G)^{U^-((t))M[[t]]}$$

are dual to each other. As before, the unit of this duality is given by an object

$$\mathbb{D}^{\frac{\infty}{2}} \in \text{DMod}(\text{Gr}_G \times \text{Gr}_G)^{(M \times M)[[t]]}.$$

On the other hand, we have an object (see § 0.3.2)

$$\Psi_\gamma \in \text{DMod}(\text{Gr}_G \times \text{Gr}_G)^{M[[t]], \text{diag}}$$

We will prove the following theorem (see Corollary 1.4.5(2)):

Theorem 4. *Up to a cohomological shift, $\mathbb{D}^{\frac{\infty}{2}}$ is canonically isomorphic to $\mathbf{Av}_*^{M[[t]] \rightarrow (M \times M)[[t]]}(\Psi_\gamma)$.*

0.4.2. *Tamely-ramified case.* Let Fl_G be the affine flag variety. As before, the choice of γ induces a \mathbb{G}_m -action on Fl_G . Our main theorems remain valid if we replace Gr_G by Fl_G . See Subsection 3.6.

0.4.3. *Other sheaf-theoretic contexts.* Although we work with D-modules, our main theorems are also valid (after minor modifications) in other sheaf-theoretic contexts listed in [Gai18a, § 1.2], which we refer as the *constructible contexts*. However, in order to prove them in the constructible contexts, we need a theory of group actions on categories in these sheaf-theoretic contexts. When developing this theory, one encounters some technical issues on homotopy-coherence, which are orthogonal to the main topic of this paper. Hence we will treat these issues in another article and use remarks in this paper to explain the required modifications. Once the aforementioned issues are settled down, these remarks become real theorems.

0.4.4. *t-structure.* As explained in [Gai18b] and [Gai17a], any objects in

$$\text{DMod}(\text{Gr}_G \times \text{Gr}_G)^{(N \times N^-)((t))}$$

have no cohomologies in the standard t-structure. Nevertheless, D. Gaitsgory defined reasonable t-structures on this category and its factorization version. Calculations by the author show that, up to a cohomological shift, $\Psi_{2\rho}$ and its factorization version are contained in the heart of Gaitsgory's t-structures. The proof would appear elsewhere.

0.4.5. *Extended strange functional equation.* Let X be a connected projective smooth curve over k and Ran_X be its Ran space. Let SI_{Ran} be the Ran version of the factorization category $\text{DMod}(\text{Gr}_G)^{N((t))T[[t]]}$, and SI_{Ran}^- be the similar category defined using N^- .

In a future paper, following the suggestion of D. Gaitsgory, we will write down his definition of an extended (=parameterized) geometric Eisenstein series functor

$$\text{Eis}_{\text{ext}} : \text{SI}_{\text{Ran}} \otimes_k \text{DMod}(\text{Bun}_T(X)) \rightarrow \text{DMod}(\text{Bun}_G(X)),$$

whose evaluations on $\Delta_{\text{Ran}}^0, \text{IC}_{\text{Ran}}^{\frac{\infty}{2}}, \nabla_{\text{Ran}}^0 \in \text{SI}_{\text{Ran}}$ (see [Gai17a] for their definitions) are respectively, up to cohomological shifts, the functors $\text{Eis}_!, \text{Eis}_{!*}, \text{Eis}_*$ defined in [BG02], [DG16] and [Gai17b]. Using the opposite Borel subgroup, we obtain another functor

$$\text{Eis}_{\text{ext}}^- : \text{SI}_{\text{Ran}}^- \otimes_k \text{DMod}(\text{Bun}_T(X)) \rightarrow \text{DMod}(\text{Bun}_G(X)).$$

³S. Schieder actually worked with algebraic geometry on \mathbb{F}_p and mixed l -adic sheaves. Let us ignore this difference for a moment.

By the miraculous duality in [Gai17b], $\text{DMod}(\text{Bun}_G(X))$ is self-dual, so is $\text{DMod}(\text{Bun}_T(X))$. By our main theorems, SI_{Ran} and SI_{Ran}^- are dual to each other. We will then use our main theorems to prove the following claim.

Claim 1. *Via the above dualities, Eis_{ext} and $\text{Eis}_{\text{ext}}^-$ are conjugate to each other.*

This claim generalizes the main results in [DG16] and [Gai17b].

0.5. Organization of this paper. We give more precise statements of our main theorems in § 1. We do some preparations in § 2. We prove the main theorems in § 3 except for the local-global compatibility. We prove the local-global compatibility in § 4.

The remaining part of this paper are appendices. All the results in these appendices belong to the following types:

- (i) they are proved in the literature but we need to review them instead of citing them, or
- (ii) special cases or variants of them are proved in the literature but those proofs cannot be generalized immediately, or
- (iii) they are folklore but no proofs exist in the literature.

We provide proofs only in the latter two cases.

In Appendix A, we collect some abstract miscellanea. In Appendix B, we review the theory of group actions on categories developed in [Ber17], [Gai18a] and [Ras16]. In Appendix C, we collect some geometric miscellanea. In Appendix D, we prove $\text{DMod}(\text{Gr}_G)^{U((t))}$, $\text{DMod}(\text{Gr}_G)_{U((t))}$ (and their factorization versions) are compactly generated. In Appendix E, we prove a result that is implicit in [Sch16].

0.6. Notations and conventions. Our conventions follow closely to those in [Gai18a] and [Gai18b]. We summarize them as below.

Convention 0.6.1. *(Categories) Unless otherwise stated, a category means an $(\infty, 1)$ -category in the sense of [Lur09]. Consequently, a $(1, 1)$ -category is referred to an ordinary category. We use same symbols to denote an ordinary category and its simplicial nerve. The reader can distinguish them according to the context.*

For two objects $c_1, c_2 \in C$ in a category C , we write $\text{Maps}_C(c_1, c_2)$ for the mapping space between them, which is in fact an object in the homotopy category of spaces. We omit the subscript C if there is no ambiguity.

When saying there exists a unique object satisfying certain properties in a category, we always mean unique up to a contractible space of choices.

Following [GR17a, Chapter 1, Subsection 1.2], a functor $F : C \rightarrow D$ is fully faithful (resp. 1-fully faithful) if it induces isomorphisms (resp. monomorphisms) on mapping spaces.

To avoid awkward language, we ignore all set-theoretical difficulties in category theory. Nevertheless, we do not do anything illegal like applying the adjoint functor theorem to non-accessible categories.

Notation 0.6.2. *(Compositions) Let C be a 2-category. Let $f, f', f'' : c_1 \rightarrow c_2$ and $g, g' : c_2 \rightarrow c_3$ be morphisms in C . Let $\alpha : f \rightarrow f'$, $\alpha' : f' \rightarrow f''$ and $\beta : g \rightarrow g'$ be 2-morphisms in C . We follow the standard conventions in the category theory:*

- The composition of f and g is denoted by $g \circ f : c_1 \rightarrow c_3$;
- The vertical composition of α and α' is denoted by $\alpha' \circ \alpha : f \rightarrow f''$;
- The horizontal composition of α and β is denoted by $\beta \star \alpha : g \circ f \rightarrow g' \circ f'$.

Note that these compositions are actually well-defined up to a contractible space of choices.

We use similar symbols to denote the compositions of functors, vertical composition of natural transformations and horizontal composition of natural transformations.

Convention 0.6.3. (*Algebraic geometry*) Unless otherwise stated, all algebro-geometric objects are defined over a fixed algebraically closed ground field k of characteristic 0, and are classical (i.e. non-derived).

A prestack is a contravariant functor

$$(\mathrm{Sch}^{\mathrm{aff}})^{\mathrm{op}} \rightarrow \mathrm{Groupoids}$$

from the ordinary category of affine schemes to the category of groupoids⁴.

A prestack \mathcal{Y} is reduced if it is the left Kan extension of its restriction along $(\mathrm{Sch}_{\mathrm{red}}^{\mathrm{aff}})^{\mathrm{op}} \subset (\mathrm{Sch}^{\mathrm{aff}})^{\mathrm{op}}$, where $\mathrm{Sch}_{\mathrm{red}}^{\mathrm{aff}}$ is the category of reduced affine schemes. A map $\mathcal{Y}_1 \rightarrow \mathcal{Y}_2$ between prestacks is called a nil-isomorphism if its value on any reduced affine test scheme is an isomorphism.

A prestack \mathcal{Y} is called locally of finite type or lft if it is the left Kan extension of its restriction along $(\mathrm{Sch}_{\mathrm{ft}}^{\mathrm{aff}})^{\mathrm{op}} \subset (\mathrm{Sch}^{\mathrm{aff}})^{\mathrm{op}}$, where $\mathrm{Sch}_{\mathrm{ft}}^{\mathrm{aff}}$ is the category of finite type affine schemes. For the reader's convenience, we usually denote general prestacks by mathcal fonts (e.g. \mathcal{Y}), and leave usual fonts (e.g. Y) for lft prestacks.

An algebraic stack is a lft 1-Artin stack in the sense of [GR17a, Chapter 2, § 4.1]. All algebraic stacks in this paper (are assumed to or can be shown to) have affine diagonals. In particular, as prestacks, they satisfy fpqc descent.

An ind-algebraic stack is a prestack isomorphic to a filtered colimit of algebraic stacks connected by schematic closed embeddings.

An indscheme is a prestack isomorphic to a filtered colimit of schemes connected by closed embeddings. All indschemes in this paper are (assumed to or can be shown to be) isomorphic to a filtered colimit of quasi-compact quasi-separated schemes connected by closed embeddings. In particular, they are indschemes in the sense of [GR14].

Notation 0.6.4. (*Affine line*) For a prestack \mathcal{Y} over \mathbb{A}^1 , we write $\mathring{\mathcal{Y}}$ (resp. \mathcal{Y}_0) for the base-change $\mathcal{Y} \times_{\mathbb{A}^1} \mathbb{G}_m$ (resp. $\mathcal{Y} \times_{\mathbb{A}^1} 0$), and $j: \mathring{\mathcal{Y}} \rightarrow \mathcal{Y}$ (resp. $i: \mathcal{Y}_0 \rightarrow \mathcal{Y}$) for the corresponding schematic open (resp. closed) embedding.

Notation 0.6.5. (*Curves and disks*) We fix a connected smooth projective curve X . For a positive integer n , we write $X^{(n)}$ for its n -th symmetric product.

We write $\mathcal{D} := \mathrm{Spf} k[[t]]$ for the formal disk, $\mathcal{D}' := \mathrm{Spec} k[[t]]$ for the adic disk, and $\mathcal{D}^\times := \mathrm{Spec} k((t))$ for the punctured disk. For a closed point x on X , we have similarly defined prestacks \mathcal{D}_x , \mathcal{D}'_x and \mathcal{D}^\times_x , which are non-canonically isomorphic to \mathcal{D} , \mathcal{D}' and \mathcal{D}^\times .

Generally, for an affine test scheme S and an affine closed subscheme $\Gamma \hookrightarrow X \times S$, we write \mathcal{D}_Γ for the formal completion of Γ inside $X \times S$. We write \mathcal{D}'_Γ for the schematic approximation⁵ of \mathcal{D}_Γ . We write $\mathcal{D}^\times_\Gamma$ for the open subscheme $\mathcal{D}'_\Gamma - \Gamma$. We have maps

$$\begin{array}{ccc} \mathcal{D}^\times_\Gamma & \longrightarrow & \mathcal{D}'_\Gamma \longleftarrow \mathcal{D}_\Gamma \\ & & \downarrow \\ & & X \times S. \end{array}$$

Notation 0.6.6. (*Loops and arcs*) For a prestack \mathcal{Y} , we write $\mathcal{L}\mathcal{Y}$ (resp. $\mathcal{L}^+\mathcal{Y}$) for its loop prestack (resp. arc prestack) defined as follows. For an affine test scheme $S := \mathrm{Spec} R$, the groupoid $\mathcal{L}\mathcal{Y}(S)$ (resp. $\mathcal{L}^+\mathcal{Y}(S)$) classifies maps $\mathrm{Spec} R((t)) \rightarrow \mathcal{Y}$ (resp. $\mathrm{Spf} R[[t]] \rightarrow \mathcal{Y}$).

Similarly, for a non-empty finite set I , we write $\mathcal{L}\mathcal{Y}_I$ (resp. $\mathcal{L}^+\mathcal{Y}_I$) for the loop prestack (resp. arc prestack) relative to X^I . For an affine test scheme S , the groupoid $\mathcal{L}\mathcal{Y}_I(S)$ (resp. $\mathcal{L}^+\mathcal{Y}_I(S)$) classifies

- (i) maps $x_i: S \rightarrow X$ labelled by I , and

⁴All the prestacks in this paper would actually have ordinary groupoids as values.

⁵ \mathcal{D}_Γ is an ind-affine indscheme. Its schematic approximation is $\mathrm{Spec} A$, where A is the topological ring of functions on \mathcal{D}_Γ .

- (ii) a map $\mathcal{D}_\Gamma^\times \rightarrow \mathcal{Y}$ (resp. $\mathcal{D}_\Gamma \rightarrow \mathcal{Y}$), where $\Gamma \rightarrow X \times S$ is the schema-theoretic sum of the graphs of x_i .

Notation 0.6.7. (Reductive groups) We fix a connected reductive group G . For simplicity, we assume $[G, G]$ to be simply connected⁶.

We fix a pair of opposite Borel subgroups (B, B^-) of it, therefore a Cartan subgroup T . We write Z_G for the center of G and $T_{\text{ad}} := T/Z_G$ for the adjoint torus.

We write $r := r_G$ for the semi-simple rank of G , \mathcal{I} for the Dynkin diagram, Λ_G (resp. $\check{\Lambda}_G$) for the coweight (resp. weight) lattice, and $\Lambda_G^{\text{pos}} \subset \Lambda_G$ for the sub-monoid spanned by all positive simple co-roots $(\alpha_i)_{i \in \mathcal{I}}$.

For any subset $\mathcal{J} \subset \mathcal{I}$, consider the corresponding standard parabolic subgroup P , the standard opposite parabolic subgroup P^- and the standard Levi subgroup M (such that the Dynkin diagram of M is \mathcal{J}). We write U_P (resp. U_{P^-}) for the unipotent radical of P (resp. P^-). We omit the subscripts if it is clear from contexts. We write N (resp. N^-) for U_B (resp. U_{B^-}).

We write $\Lambda_{G,P}$ for the quotient of Λ by the \mathbb{Z} -span of $(\alpha_i)_{i \in \mathcal{J}}$, and $\Lambda_{G,P}^{\text{pos}}$ for the image of Λ_G^{pos} in $\Lambda_{G,P}$. The monoid $\Lambda_{G,P}^{\text{pos}}$ defines a partial order \leq_P on $\Lambda_{G,P}$. We omit the subscript “ P ” if it is clear from the contexts.

Notation 0.6.8. (Colored divisors) Each $\theta \in \Lambda_{G,P}^{\text{pos}}$ can be uniquely written as the image of $\sum_{i \in \mathcal{I}-\mathcal{I}_M} n_i \alpha_i$ for $n_i \in \mathbb{Z}^{\geq 0}$. We define the configuration space $X^\theta := \prod_{i \in \mathcal{I}} X^{(n_i)}$, whose S -points are $\Lambda_{G,P}^{\text{pos}}$ -valued (relative Cartier) divisors on $X \times SS$. We write $X_{G,P}^{\text{pos}}$ for the disjoint union of all $X^\theta, \theta \in \Lambda_{G,P}^{\text{pos}}$, and omit the subscript if it is clear from the context.

For $\theta_i \in \Lambda_{G,P}^{\text{pos}}, 1 \leq i \leq n$, we write $(\prod_{i=1}^n X^{\theta_i})_{\text{disj}}$ for the open subscheme of $\prod_{i=1}^n X^{\theta_i}$ classifying those n -tuples of divisors (D_1, \dots, D_n) with disjoint supports. For a prestack \mathcal{Y} over $\prod_{i=1}^n X^{\theta_i}$, we write $\mathcal{Y}_{\text{disj}}$ for its base-change to this open subscheme.

Convention 0.6.9. (DG categories) We study DG categories over k . Unless otherwise stated, DG categories are assumed to be cocomplete (i.e., containing colimits), and functors between them are assumed to be continuous (i.e. preserving colimits). The category forming by them is denoted by DGCat .

DGCat carries a closed symmetric monoidal structure, known as the Lurie tensor product \otimes (which was denoted by \otimes_k in the introduction). The unit object for it is Vect (which was denoted by Vect_k in the introduction). For $\mathcal{C}, \mathcal{D} \in \text{DGCat}$, we write $\text{Func}(\mathcal{C}, \mathcal{D})$ for the object in DGCat characterized by the universal property

$$\text{Maps}(\mathcal{E}, \text{Func}(\mathcal{C}, \mathcal{D})) \simeq \text{Maps}(\mathcal{E} \otimes \mathcal{C}, \mathcal{D}).$$

Let \mathcal{M} be a DG category, we write \mathcal{M}^c for its full subcategory consisting of compact objects, which is a non-cocomplete DG category.

Notation 0.6.10. (D-modules) Let Y be a finite type scheme. We write $\text{D}(Y)$ for the DG category of D -modules on Y , which was denoted by $\text{DMod}(Y)$ in the introduction. We write ω_Y for the dualizing D -module on Y .

0.7. Acknowledgements. This paper owes its existence to my teacher Dennis Gaitsgory. Among other things, he suggested the problem in § 0.4.5 and brought [FKM20] into my attention, which lead to the discovery of the main theorems.

I want to thank David Yang. Among other things, he resolved a pseudo contradiction which almost made me give up believing in the main theorems.

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⁶For general reductive groups, we have confidence that our results are correct after conducting the modifications in [Wan18, Appendix C.6]. However, we have not checked all the details.

1. STATEMENTS OF THE RESULTS

1.1. The inv-inv duality and the second adjointness. Let us first introduce the categorical main players of this paper. We use the theory of group actions on categories, which is reviewed in Appendix B.

Definition 1.1.1. Consider the action $\mathcal{L}G_I \curvearrowright \mathrm{Gr}_{G,I}$. It provides⁷ an object $D(\mathrm{Gr}_{G,I}) \in \mathcal{L}G_I\text{-mod}$. Consider the categories of invariants and coinvariants

$$D(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} \text{ and } D(\mathrm{Gr}_{G,I})_{\mathcal{L}U_I}$$

for the $\mathcal{L}U_I$ -action obtained by restriction. We write

$$\mathbf{oblv}^{\mathcal{L}U_I} : D(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} \rightarrow D(\mathrm{Gr}_{G,I}) \text{ and } \mathbf{pr}_{\mathcal{L}U_I} : D(\mathrm{Gr}_{G,I}) \rightarrow D(\mathrm{Gr}_{G,I})_{\mathcal{L}U_I}$$

for the corresponding forgetful and projection functors.

Remark 1.1.2. Similar to [Ras16, Remark 2.19.1], $\mathcal{L}U_I$ is an ind-pro-unipotent group scheme. It follows formally that (see § B.3.1) $\mathbf{oblv}^{\mathcal{L}U_I}$ is fully faithful, and $\mathbf{pr}_{\mathcal{L}U_I}$ is a localization functor, i.e., has a fully faithful (non-continuous) right adjoint.

Remark 1.1.3. Using (B.16), it is easy to show that when P is the Borel subgroup B , our definition of $D(\mathrm{Gr}_{G,I})^{\mathcal{L}N_I}$ coincides with that in [Gai17a].

The following proposition is proved in § 2.3.

Proposition 1.1.4. Both $D(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}$ and $D(\mathrm{Gr}_{G,I})_{\mathcal{L}U_I}$ are compactly generated, and they are canonically dual to each other in DGCat .

The following theorem is our first main result. A more complete version is proved in § 1.3.

Theorem 1.1.5. (*The inv-inv-duality*)

The categories $D(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}$ and $D(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I^-}$ are dual to each other in DGCat , with the counit given by

$$D(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I^-} \otimes D(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} \xrightarrow{\mathbf{oblv}^{\mathcal{L}U_I^-} \otimes \mathbf{oblv}^{\mathcal{L}U_I}} D(\mathrm{Gr}_{G,I}) \otimes D(\mathrm{Gr}_{G,I}) \rightarrow \mathrm{Vect},$$

where the last functor is the counit of the Verdier self-duality.

Remark 1.1.6. Explicitly, the pairing $D(\mathrm{Gr}_{G,I}) \otimes D(\mathrm{Gr}_{G,I}) \rightarrow \mathrm{Vect}$ sends $\mathcal{F} \boxtimes \mathcal{G}$ to $C_{\mathrm{dR},*}(\mathcal{F} \otimes^! \mathcal{G})$.

1.1.7. Motivation: the categorical second adjointness. It was conjectured (in unpublished notes) by S. Raskin that for any $\mathcal{C} \in \mathcal{L}G\text{-mod}$, the functor

$$(1.1) \quad \mathbf{pr}_{\mathcal{L}N^-} \circ \mathbf{oblv}^{\mathcal{L}N} : \mathcal{C}^{\mathcal{L}N} \rightarrow \mathcal{C}_{\mathcal{L}N^-}$$

is an equivalence, where N is the unipotent radical for B . He explained that this conjecture can be viewed as a categorification of Bernstein's second adjointness⁸.

For $\mathcal{C} = D(\mathrm{Gr}_G)$, the conjecture is an easy consequence of [Ras16, Theorem 6.2.1, Corollary 6.2.3]. For reader's convenience, we sketch this proof, which we learned from D. Gaitsgory. By construction, the functor (1.1) is $\mathcal{L}T$ -linear. Using Raskin's results, one can show (1.1) induces an equivalence:

$$(1.2) \quad (D(\mathrm{Gr}_G)^{\mathcal{L}N})^{\mathcal{L}^+T} \simeq (D(\mathrm{Gr}_G)_{\mathcal{L}N^-})^{\mathcal{L}^+T}$$

Using the fact that every $\mathcal{L}N$ -orbit of Gr_G is stabilized by \mathcal{L}^+T , one can prove that the adjoint pairs

$$\begin{aligned} \mathbf{oblv}^{\mathcal{L}^+T} : (D(\mathrm{Gr}_G)^{\mathcal{L}N})^{\mathcal{L}^+T} &\rightleftarrows D(\mathrm{Gr}_G)^{\mathcal{L}N} : \mathbf{Av}_*^{\mathcal{L}^+T}, \\ \mathbf{oblv}^{\mathcal{L}^+T} : (D(\mathrm{Gr}_G)_{\mathcal{L}N^-})^{\mathcal{L}^+T} &\rightleftarrows D(\mathrm{Gr}_G)_{\mathcal{L}N^-} : \mathbf{Av}_*^{\mathcal{L}^+T} \end{aligned}$$

are both monadic. Then the Barr-Beck-Lurie theorem gives the desired result.

⁷By [Ras16, Corollary 2.13.4], $\mathcal{L}G_I$ is placid. Hence we can apply § B.4 to this action.

⁸However, D. Yang told us he found a counter-example for this conjecture recently.

We also learned from Gaitsgory that the above equivalence can be generalized to the factorization case. I.e., the functor

$$(1.3) \quad \mathbf{pr}_{\mathcal{L}N_I^-} \circ \mathbf{oblv}^{\mathcal{L}N_I} : \mathbf{D}(\text{Gr}_{G,I})^{\mathcal{L}N_I} \rightarrow \mathbf{D}(\text{Gr}_{G,I})_{\mathcal{L}N_I^-},$$

is an equivalence. We sketch his proof as follows. Using the étale descent, we obtain the desired equivalence when I is a singleton. Also, one can show (e.g. using § 3.4.1) the functor (1.3) preserves compact objects, hence have *continuous* right adjoints. With some additional work, one can show these right adjoints $(\mathbf{pr}_{\mathcal{L}N_I^-} \circ \mathbf{oblv}^{\mathcal{L}N_I})^R$ are strictly $\mathbf{D}(X^I)$ -linear. It follows that the assignments $I \rightsquigarrow \mathbf{pr}_{\mathcal{L}N_I^-} \circ \mathbf{oblv}^{\mathcal{L}N_I}$ and $I \rightsquigarrow (\mathbf{pr}_{\mathcal{L}N_I^-} \circ \mathbf{oblv}^{\mathcal{L}N_I})^R$ factorize, hence so do the adjunction natural transformations. We only need to show the adjunction natural transformations are invertible. Using factorization properties and the five lemma, one can reduce to the known case when I is a singleton.

1.1.8. *A new proof.* Combining Theorem 1.1.5 and Proposition 1.1.4, we obtain⁹:

Corollary 1.1.9. *The functor*

$$\mathbf{pr}_{\mathcal{L}U_I^-} \circ \mathbf{oblv}^{\mathcal{L}U_I} : \mathbf{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I} \rightarrow \mathbf{D}(\text{Gr}_{G,I})_{\mathcal{L}U_I^-}$$

is an equivalence.

Remark 1.1.10. Consequently, we obtain a new proof of the equivalence (1.3) that does not rely on Raskin's results.

This new proof has three advantages:

- it works for general parabolics P rather than the Borel B (the monadicity in § 1.1.7 fails for general P);
- it works for the factorization version;
- it allows us to describe an quasi-inverse of the equivalence via a geometric construction (see Corollary 1.3.12), which we believe is of independent interest.

1.2. **Geometric players.** In order to state our other theorems, we introduce the geometric players of this paper, which are all certain versions of mapping stacks. The basic properties of mapping stacks are reviewed in Appendix C.1.

These geometric objects are well-studied in the literature. See for example [Wan18], [Sch16], [FKM20] and [DG16].

Notation 1.2.1. *The collection of simple positive roots of G provides an identification $T_{\text{ad}} \simeq \mathbb{G}_m^r$. Define $T_{\text{ad}}^+ := \mathbb{A}^r \supset \mathbb{G}_m^r \simeq T_{\text{ad}}$, which is a semi-group completion of the adjoint torus T_{ad} .*

T_{ad}^+ is stratified by the set of standard parabolic subgroups. Namely, for a standard parabolic subgroup P of G corresponding to a subset $\mathcal{I}_M \subset \mathcal{I}$, the stratum $T_{\text{ad},P}^+$ is defined as the locus consisting of points $(x_i)_{i \in \mathcal{I}}$ such that $x_i = 0$ for $i \notin \mathcal{I}_M$ and $x_i \neq 0$ otherwise. A stratum $T_{\text{ad},P}^+$ is contained in the closure of another stratum $T_{\text{ad},Q}^+$ if and only if $P \subset Q$.

Write C_P for the unique point in $T_{\text{ad},P}^+$ whose every coordinate is equal to either 0 or 1. In particular C_B is the zero element in T_{ad}^+ and C_G is the unit element.

1.2.2. *The semi-group Vin_G .* The *Vinberg semi-group* Vin_G is an affine normal semi-group equipped with a flat semi-group homomorphism to T_{ad}^+ . Its open subgroup of invertible elements is isomorphic to $G_{\text{enh}} := (G \times T)/Z_G$, where Z_G acts on $G \times T$ anti-diagonally. Its fiber at C_P is canonically isomorphic to

$$\text{Vin}_G|_{C_P} \simeq \overline{(G/U \times G/U^-)/M},$$

where the RHS is the affine closure of $(G/U \times G/U^-)/M^{10}$, where M acts diagonally on $G/U^- \times G/U$ by *right* multiplication.

⁹A priori we only obtain an equivalence $\mathbf{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I} \simeq \mathbf{D}(\text{Gr}_{G,I})_{\mathcal{L}U_I^-}$. However, by the construction of the duality in Proposition 1.1.4, it is easy to see that this equivalence is given by the functor $\mathbf{pr}_{\mathcal{L}U_I^-} \circ \mathbf{oblv}^{\mathcal{L}U_I}$.

¹⁰This scheme is strongly quasi-affine in the sense of [BG02, Subsection 1.1].

The $(G_{\text{enh}}, G_{\text{enh}})$ -action on Vin_G induces a (G, G) -action on Vin_G , which preserves the projection $\text{Vin}_G \rightarrow T_{\text{ad}}^+$. On the fiber $\text{Vin}_G|_{C_P}$, this action extends the left multiplication action of $G \times G$ on $(G/U \times G/U^-)/M$.

There is a canonical section $\mathfrak{s} : T_{\text{ad}}^+ \rightarrow \text{Vin}_G$, which is also a semi-group homomorphism. Its restriction on $T_{\text{ad}} := T/Z_G$ is given by

$$T/Z_G \rightarrow (G \times T)/Z_G, t \mapsto (t^{-1}, t).$$

The $(G \times G)$ -orbit of the section \mathfrak{s} is an open subscheme of Vin_G , known as the *defect-free locus* ${}_0\text{Vin}_G$.

$$(1.4) \quad (G \times T)/Z_G \simeq \text{Vin}_G \times_{T_{\text{ad}}^+} T_{\text{ad}} \subset {}_0\text{Vin}_G \subset \text{Vin}_G.$$

The fiber ${}_0\text{Vin}_G|_{C_P}$ is given by $(G/U \times G/U^-)/M$, and the canonical section intersects it at the point $(1, 1)$.

Example 1.2.3. When $G = \text{SL}_2$, the base T_{ad}^+ is isomorphic to \mathbb{A}^1 . The semi-group Vin_G is isomorphic to the monoid $\text{M}_{2,2}$ of 2×2 matrices. The projection $\text{Vin}_G \rightarrow \mathbb{A}^1$ is given by the determinant function. The canonical section is $\mathbb{A}^1 \rightarrow \text{M}_{2,2}$, $t \mapsto \text{diag}(1, t)$. The action of $\text{SL}_2 \times \text{SL}_2$ on $\text{M}_{2,2}$ is given by $(g_1, g_2) \cdot A = g_1 A g_2^{-1}$.

Warning 1.2.4. *There is no consensus convention for the order of the two G -actions on Vin_G in the literature. Even worse, this order is not self-consistent in either [Sch16]¹¹ or [FKM20]¹².*

In this paper, we use the order in [Wan17] and [Wan18]. We ask the reader to keep an eye on this issue when we cite other references.

Definition 1.2.5. *Let $\text{Bun}_G := \mathbf{Maps}(X, \text{pt}/G)$ be the moduli stack of G -torsors on X . Following [Sch16], the Drinfeld-Lafforgue-Vinberg degeneration of Bun_G is defined as (see Definition C.1.1 for the notation $\mathbf{Maps}_{\text{gen}}$):*

$$(1.5) \quad \text{VinBun}_G := \mathbf{Maps}_{\text{gen}}(X, G \backslash \text{Vin}_G / G \supset G \backslash {}_0\text{Vin}_G / G).$$

Definition 1.2.6. *The defect-free locus of VinBun_G is defined as*

$${}_0\text{VinBun}_G := \mathbf{Maps}(X, G \backslash {}_0\text{Vin}_G / G).$$

Remark 1.2.7. The maps $G \backslash \text{Vin}_G / G \rightarrow T_{\text{ad}}^+$ and $G \backslash \text{Vin}_G / G \rightarrow G \backslash \text{pt}/G$ induce a map (see Example C.1.2):

$$\text{VinBun}_G \rightarrow \text{Bun}_{G \times G} \times T_{\text{ad}}^+.$$

The chain (1.4) induces open embeddings:

$$(1.6) \quad \text{VinBun}_G \times_{T_{\text{ad}}^+} T_{\text{ad}} \subset {}_0\text{VinBun}_G \subset \text{VinBun}_G.$$

Remark 1.2.8. The parabolic stratification on the base T_{ad}^+ (see Notation 1.2.1) induces a *parabolic stratification* on VinBun_G . By [Wan18, (C.2)], each stratum $\text{VinBun}_{G,P}$ is constant along $T_{\text{ad},P}^+$.

Example 1.2.9. When $G = \text{SL}_2$, for an affine test scheme S , the groupoid $\text{VinBun}_G(S)$ classifies triples (E_1, E_2, ϕ) , where E_1, E_2 are rank 2 vector bundles on $X \times S$ whose determinant line bundles are trivialized, and $\phi : E_1 \rightarrow E_2$ is a map such that its restriction at any geometric point s of S is an injection between *quasi-coherent sheaves* on $X \times s$. Since the determinant line bundles of E_1 and E_2 are trivialized, we can define the determinant $\det(\phi)$, which is a function on S because X is proper. Therefore we obtain a map $\text{VinBun}_G \rightarrow \mathbb{A}^1 \simeq T_{\text{ad}}^+$, which is the canonical projection.

In this paper, we are mostly interested in the following \mathbb{A}^1 -degeneration of Bun_G obtained from VinBun_G .

¹¹ [Sch16, Lemma 2.1.11] and [Sch16, § 6.1.2] are not consistent.

¹² [FKM20, Remark 3.14] and [FKM20, § 3.2.7] are not consistent.

Construction 1.2.10. Let P be a standard parabolic subgroup of G and $\gamma : \mathbb{G}_m \rightarrow Z_M$ be a co-character dominant and regular with respect to P . There exists a unique morphism of monoids $\bar{\gamma} : \mathbb{A}^1 \rightarrow T_{\text{ad}}^+$ extending the obvious map $\mathbb{G}_m \rightarrow Z_M \hookrightarrow T \twoheadrightarrow T_{\text{ad}}$. Define

$$\text{Vin}_G^\gamma := \text{Vin}_G \times_{(T_{\text{ad}}^+, \bar{\gamma})} \mathbb{A}^1$$

and similarly VinBun_G^γ .

We also define

$${}_0\text{VinBun}_G^\gamma := \text{VinBun}_G^\gamma \times_{\text{VinBun}_G} {}_0\text{VinBun}_G.$$

The above \mathbb{A}^1 -family is closely related to the *Drinfeld-Gaitsgory interpolation* constructed in [Dri13] and [DG14]. To describe it, we need some definitions.

Definition 1.2.11. Let Z be any lft prestack equipped with a \mathbb{G}_m -action. Consider the \mathbb{G}_m -actions on \mathbb{A}^1 and $\mathbb{A}_-^1 := \mathbb{P}^1 - \{\infty\}$. We define the attractor, repeller, and fixed loci for Z respectively by:

$$Z^{\text{att}} := \mathbf{Maps}^{\mathbb{G}_m}(\mathbb{A}^1, Z), \quad Z^{\text{rep}} := \mathbf{Maps}^{\mathbb{G}_m}(\mathbb{A}_-^1, Z), \quad Z^{\text{fix}} := \mathbf{Maps}^{\mathbb{G}_m}(\text{pt}, Z),$$

where $\mathbf{Maps}^{\mathbb{G}_m}(W, Z)$ is the lft prestack that classifies \mathbb{G}_m -equivariant maps $W \rightarrow Z$.

Construction 1.2.12. By construction, we have maps

$$p^+ : Z^{\text{att}} \rightarrow Z, \quad i^+ : Z^{\text{fix}} \rightarrow Z^{\text{att}}, \quad q^+ : Z^{\text{att}} \rightarrow Z^{\text{fix}}$$

induced respectively by the \mathbb{G}_m -equivariant maps $\mathbb{G}_m \rightarrow \mathbb{A}^1$, $\mathbb{A}^1 \rightarrow \text{pt}$, $\text{pt} \xrightarrow{0} \mathbb{A}^1$. We also have similar maps p^-, i^-, q^- for the repeller locus. Note that i^+ (resp. i^-) is a right inverse for q^+ (resp. q^-). We also have $p^+ \circ i^+ \simeq p^- \circ i^-$.

Example 1.2.13. Let P and $\gamma : \mathbb{G}_m \rightarrow Z_M$ be as before. The adjoint action of G on itself induces a \mathbb{G}_m -action on G . We have $G^{\gamma, \text{att}} \simeq P$, $G^{\gamma, \text{rep}} \simeq P^-$ and $G^{\gamma, \text{fix}} \simeq M$.

Example 1.2.14. In the above example, the adjoint action of G on itself induces a G -action on $\text{Gr}_{G,I}$. Hence we obtain a \mathbb{G}_m -action on $\text{Gr}_{G,I}$. There are isomorphisms¹³

$$\text{Gr}_{P,I} \simeq \text{Gr}_{G,I}^{\gamma, \text{att}}, \quad \text{Gr}_{P^-,I} \simeq \text{Gr}_{G,I}^{\gamma, \text{rep}}, \quad \text{Gr}_{M,I} \simeq \text{Gr}_{G,I}^{\gamma, \text{fix}}$$

defined over $\text{Gr}_{G,I}$. Moreover, these isomorphisms are compatible with the maps $\text{Gr}_{P^\pm, I} \rightarrow \text{Gr}_{M,I}$ and $\text{Gr}_{G,I}^{\gamma, \text{att or rep}} \rightarrow \text{Gr}_{G,I}^{\gamma, \text{fix}}$.

1.2.15. *Drinfeld-Gaitsgory interpolation.* Let Z be any finite type scheme acted on by \mathbb{G}_m . [DG14, § 2.2.1] constructed the *Drinfeld-Gaitsgory interpolation*

$$\tilde{Z} \rightarrow Z \times Z \times \mathbb{A}^1,$$

where \tilde{Z} is a finite type scheme. The \mathbb{G}_m -locus $\tilde{Z} \times_{\mathbb{A}^1} \mathbb{G}_m$ is isomorphic to the graph of the \mathbb{G}_m -action, i.e., the image of the map

$$\mathbb{G}_m \times Z \rightarrow Z \times Z \times \mathbb{G}_m, \quad (s, z) \mapsto (z, s \cdot z, s).$$

The 0-fiber $\tilde{Z} \times_{\mathbb{A}^1} 0$ is isomorphic to $Z^{\text{att}} \times_{Z^{\text{fix}}} Z^{\text{rep}}$.

Moreover, by [DG14, § 2.5.11], the map $\tilde{Z} \rightarrow Z \times Z \times \mathbb{A}^1$ is a locally closed embedding if we assume:

- (♣) Z admits a \mathbb{G}_m -equivariant locally closed embedding into a projective space $\mathbb{P}(V)$, where \mathbb{G}_m -acts linearly on V .

Remark 1.2.16. The construction $Z \rightsquigarrow \tilde{Z}$ is functorial in Z and is compatible with Cartesian products.

Example 1.2.17. The \mathbb{G}_m -action on G in Example 1.2.13 satisfies condition (♣). Indeed, using a faithful representation $G \rightarrow \text{GL}_n$, we reduce the claim to the case $G = \text{GL}_n$, which is obvious.

¹³ When X is the affine line \mathbb{A}^1 , the claim is proved in [HR18, Theorem A]. As explained in [HR18, Remark 3.18i), Footnote 3], one can deduce the general case from this special case. For completeness, we provide this argument in [Fulltext, § C.2].

Notation 1.2.18. We denote the Drinfeld-Gaitsgory interpolation for the action in Example 1.2.13 by \tilde{G}^γ .

Remark 1.2.19. The above action $\mathbb{G}_m \curvearrowright G$ is compatible with the group structure on G . Hence by Remark 1.2.16, \tilde{G}^γ is a group scheme over \mathbb{A}^1 . Note that its 1-fiber (resp. 0-fiber) is isomorphic to G (resp. $P \times_M P^-$).

Fact 1.2.20. The following facts are proved in [DG16]:

- There is a $(G \times G)$ -equivariant isomorphism

$$(1.7) \quad {}_0\mathrm{Vin}_G^\gamma \simeq (G \times G \times \mathbb{A}^1) / \tilde{G}^\gamma$$

that sends the canonical section $\mathfrak{s} : \mathbb{A}^1 \rightarrow {}_0\mathrm{Vin}_G^\gamma$ to the unit section of the RHS. In particular,

$$G \backslash {}_0\mathrm{Vin}_G^\gamma / G \simeq \mathbb{B}\tilde{G}^\gamma,$$

where $\mathbb{B}\tilde{G}^\gamma := \mathbb{A}^1 / \tilde{G}^\gamma$ is the classifying stack.

- There is an isomorphism

$${}_0\mathrm{VinBun}_G^\gamma \simeq \mathrm{Bun}_{\tilde{G}^\gamma} := \mathbf{Maps}(X, \mathbb{B}\tilde{G}^\gamma).$$

In particular, there are isomorphisms

$${}_0\mathrm{VinBun}_G|_{C_P} \simeq \mathrm{Bun}_{P \times_M P^-} \simeq \mathrm{Bun}_P \times_{\mathrm{Bun}_M} \mathrm{Bun}_{P^-}$$

defined over $\mathrm{Bun}_{G \times G} \simeq \mathrm{Bun}_G \times \mathrm{Bun}_G$.

Warning 1.2.21. The isomorphism $\mathrm{Bun}_{P \times_M P^-} \simeq \mathrm{Bun}_P \times_{\mathrm{Bun}_M} \mathrm{Bun}_{P^-}$ is due to

$$(1.8) \quad \mathbb{B}(P \times_M P^-) \simeq P \backslash M / P^- \simeq \mathbb{B}P \times_{\mathbb{B}M} \mathbb{B}P^-.$$

However, the map $\mathbb{B}(G_2 \times_{G_1} G_3) \rightarrow \mathbb{B}G_2 \times_{\mathbb{B}G_1} \mathbb{B}G_3$ is not an isomorphism in general (for example when $G_2 = P$, $G_3 = P^-$ and $G_1 = G$).

We also need the following local analogue of VinBun_G .

Definition 1.2.22. Let I be a non-empty finite set. Following [FKM20], we define the Drinfeld-Gaitsgory-Vinberg interpolation Grassmannian as (see Definition C.1.3 for the notation below):

$$\mathrm{VinGr}_{G,I} := \mathbf{Maps}_{I, T_{\mathrm{ad}}^+}(X, G \backslash \mathrm{Vin}_G / G \leftarrow T_{\mathrm{ad}}^+),$$

where the map $T_{\mathrm{ad}}^+ \rightarrow G \backslash \mathrm{Vin}_G / G$ is induced by the canonical section $\mathfrak{s} : T_{\mathrm{ad}}^+ \rightarrow \mathrm{Vin}_G$.

The defect-free locus of $\mathrm{VinGr}_{G,I}$ is defined as:

$${}_0\mathrm{VinGr}_{G,I} := \mathbf{Maps}_{I, T_{\mathrm{ad}}^+}(X, G \backslash {}_0\mathrm{Vin}_G / G \leftarrow T_{\mathrm{ad}}^+).$$

Remark 1.2.23. As before, the map $G \backslash \mathrm{Vin}_G / G \rightarrow (G \backslash \mathrm{pt} / G) \times T_{\mathrm{ad}}^+$ induces a map

$$\mathrm{VinGr}_{G,I} \rightarrow \mathrm{Gr}_{G \times G, I} \times T_{\mathrm{ad}}^+.$$

By [FKM20, Lemma 3.7], this map is a schematic closed embedding. Hence $\mathrm{VinGr}_{G,I}$ is an ind-projective indscheme.

As before, we have open embeddings

$$(1.9) \quad \mathrm{VinGr}_{G,I} \times_{T_{\mathrm{ad}}^+} \subset {}_0\mathrm{VinGr}_{G,I} \subset \mathrm{VinGr}_{G,I}.$$

Construction 1.2.24. By Construction C.1.7, there is a local-to-global map

$$(1.10) \quad \pi_I : \mathrm{VinGr}_{G,I} \rightarrow \mathrm{VinBun}_G$$

fitting into the following commutative diagram

$$\begin{array}{ccc} \mathrm{VinGr}_{G,I} & \longrightarrow & \mathrm{VinBun}_G \\ \downarrow & & \downarrow \\ \mathrm{Gr}_{G \times G, I} \times T_{\mathrm{ad}}^+ & \longrightarrow & \mathrm{Bun}_{G \times G} \times T_{\mathrm{ad}}^+ \end{array}$$

It follows from the construction that ${}_0\text{VinGr}_{G,I}$ is the pre-image of ${}_0\text{VinBun}_G$ under π_I .

Remark 1.2.25. Recall that the assignment $I \rightsquigarrow \text{Gr}_{G,I}$ factorizes in the sense of Beilinson-Drinfeld. It is known that the assignment $I \rightsquigarrow \text{VinGr}_{G,I}$ factorizes in families over T_{ad}^+ . Recall that this means we have isomorphisms

$$\begin{aligned} \text{VinGr}_{G,I} \times_{X^I} X^J &\simeq \text{VinGr}_{G,J}, \text{ for } I \twoheadrightarrow J, \\ \text{VinGr}_{G,I_1 \sqcup I_2} \times_{X^{I_1 \sqcup I_2}} (X^{I_1} \times X^{I_2})_{\text{disj}} &\simeq (\text{VinGr}_{G,I_1} \times_{T_{\text{ad}}^+} \text{VinGr}_{G,I_1})_{\text{disj}}, \end{aligned}$$

satisfying certain compatibilities.

Construction 1.2.26. Let γ be as in Construction 1.2.10, we have the following degenerations of $\text{Gr}_{G,I}$:

(a) The \mathbb{A}^1 -degeneration

$$\text{VinGr}_{G,I}^\gamma := \text{VinGr}_{G,I} \times_{(T_{\text{ad}}^+, \tilde{\gamma})} \mathbb{A}^1,$$

which is a closed sub-indscheme of $\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I} \times \mathbb{A}^1$.

(b) The \mathbb{A}^1 -degeneration

$$\text{Gr}_{\tilde{G}^\gamma, I} := \mathbf{Maps}_{I,/\mathbb{A}^1}(X, \mathbb{B}\tilde{G}^\gamma \leftarrow \mathbb{A}^1),$$

which is equipped with a map

$$\text{Gr}_{\tilde{G}^\gamma, I} \rightarrow \text{Gr}_{G \times G, I} \times \mathbb{A}^1 \simeq \text{Gr}_{G, I} \times_{X^I} \text{Gr}_{G, I} \times \mathbb{A}^1,$$

Lemma 1.2.27. (1) There is an isomorphism

$${}_0\text{VinGr}_{G,I}^\gamma \simeq \text{Gr}_{\tilde{G}^\gamma, I}$$

defined over $\text{Gr}_{G, I} \times_{X^I} \text{Gr}_{G, I} \times \mathbb{A}^1$.

(2) Consider the \mathbb{G}_m -action on $\text{Gr}_{G, I}$ induced by γ and the graph of this action:

$$(1.11) \quad \Gamma_I : \text{Gr}_{G, I} \times \mathbb{G}_m \rightarrow \text{Gr}_{G, I} \times_{X^I} \text{Gr}_{G, I} \times \mathbb{G}_m, (x, t) \mapsto (x, t \cdot x, t).$$

Then there are isomorphisms

$$\text{VinGr}_{G, I}^\gamma \times_{\mathbb{A}^1} \mathbb{G}_m \simeq \text{Gr}_{\tilde{G}^\gamma, I} \times_{\mathbb{A}^1} \mathbb{G}_m \simeq \text{Gr}_{G, I} \times \mathbb{G}_m$$

defined over $\text{Gr}_{G, I} \times_{X^I} \text{Gr}_{G, I} \times \mathbb{G}_m$.

Proof. (1) follows from the $(G \times G)$ -equivariant isomorphism (1.7). The first isomorphism in (2) follows from (1) and the chain (1.9). The second isomorphism in (2) follows from the isomorphism $\tilde{G} \times_{\mathbb{A}^1} \mathbb{G}_m \simeq G \times \mathbb{G}_m$ between group schemes over \mathbb{G}_m .

□[Lemma 1.2.27]

Remark 1.2.28. Note that

$${}_0\text{VinGr}_{G, I} |_{C_P} \simeq \text{Gr}_{\tilde{G}^\gamma, I} |_{C_P} \simeq \text{Gr}_{P, I} \times_{\text{Gr}_{M, I}} \text{Gr}_{P^-, I}$$

is preserved by the $\mathcal{L}(U \times U^-)_I$ -action on $\text{Gr}_{G, I} \times_{X^I} \text{Gr}_{G, I}$.

Remark 1.2.29. In fact, one can show $\text{VinGr}_{G, I} |_{C_P}$ is preserved by the above action. This is a formal consequence of the fact that the $(U \times U^-)$ -action on $\text{Vin}_G |_{C_P}$ fixes the canonical section $\mathfrak{s} |_{C_P} : \text{pt} \rightarrow \text{Vin}_G |_{C_P}$. We do not need this fact in this paper hence we do not provide the details of its proof.

1.3. Nearby cycles and the unit of the inv-inv duality.

Construction 1.3.1. Let I be a non-empty finite set, P be a standard parabolic subgroup and $\gamma : \mathbb{G}_m \rightarrow Z_M$ be a co-character dominant and regular with respect to P . Consider the indscheme

$$Z := \text{VinGr}_{G,I}^\gamma \rightarrow \mathbb{A}^1$$

defined in Construction 1.2.26.

By Lemma 1.2.27(2), we have $\overset{\circ}{Z} \simeq \text{Gr}_{G,I} \times \mathbb{G}_m$. Consider the corresponding nearby cycles functor

$$\Psi_{\text{VinGr}_{G,I}^\gamma} : \text{D}_{\text{rh}}(\text{Gr}_{G,I} \times \mathbb{G}_m) \rightarrow \text{D}(\text{VinGr}_{G,I} |_{C_P}),$$

where the subscript “rh” means the full subcategory of regular ind-holonomic D -modules (see § A.4.6 for what this means). The dualizing D -module $\omega_{\overset{\circ}{Z}}$ is regular ind-holonomic. Hence we obtain an object

$$\Psi_{\gamma,I,\text{Vin}} := \Psi_{\text{VinGr}_{G,I}^\gamma}(\omega_{\overset{\circ}{Z}}) \in \text{D}(\text{VinGr}_{G,I} |_{C_P}).$$

Construction 1.3.2. Let

$$\Psi_{\gamma,I} \in \text{D}(\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I})$$

be the direct image of $\Psi_{\gamma,I,\text{Vin}}$ for the closed embedding $\text{VinGr}_{G,I} |_{C_P} \hookrightarrow \text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I}$.

Consider the constant family

$$\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I} \times \mathbb{A}^1 \rightarrow \mathbb{A}^1.$$

Since taking the nearby cycles commutes with proper push-forward functors, $\Psi_{\gamma,I}$ can also be calculated as the nearby cycles sheaf of $\Gamma_{I,*}(\omega_{\text{Gr}_{G,I} \times \mathbb{G}_m})$ along this constant family, where Γ_I was defined in (1.11).

Variant 1.3.3. We can replace the above full nearby cycles by the unipotent ones and obtain similarly defined objects $\Psi_{\gamma,I,\text{Vin}}^{\text{un}}$ and $\Psi_{\gamma,I}^{\text{un}}$.

We have (see Proposition 2.4.1(1)):

Proposition 1.3.4. The maps

$$\Psi_{\gamma,I,\text{Vin}}^{\text{un}} \rightarrow \Psi_{\gamma,I,\text{Vin}}, \quad \Psi_{\gamma,I}^{\text{un}} \rightarrow \Psi_{\gamma,I}$$

are isomorphisms, i.e., the monodromy endomorphisms on $\Psi_{\gamma,I,\text{Vin}}$ and $\Psi_{\gamma,I}$ are locally unipotent.

Construction 1.3.5. It follows formally from the Verdier duality that we have an equivalence

$$F : \text{D}(\text{Gr}_{G,I} \times \text{Gr}_{G,I}) \simeq \text{Func}(\text{D}(\text{Gr}_{G,I}), \text{D}(\text{Gr}_{G,I}))$$

that sends an object \mathcal{M} to

$$F_{\mathcal{K}}(-) := \text{pr}_{2,*}(\text{pr}_1^!(-) \overset{!}{\otimes} \mathcal{M}).$$

The functor $F_{\mathcal{K}}$ is the functor given by the kernel \mathcal{M} in the sense of [Gai16].

Write $\iota : \text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I} \hookrightarrow \text{Gr}_{G,I} \times \text{Gr}_{G,I}$ for the obvious closed embedding. Consider the object

$$\mathcal{K} := \iota_*(\Psi_{\gamma,I}[-1]) \in \text{D}(\text{Gr}_{G,I} \times \text{Gr}_{G,I}).$$

Also consider $\mathcal{K}^\sigma := \sigma_*\mathcal{K}$, where σ is the involution on $\text{Gr}_{G,I} \times \text{Gr}_{G,I}$ given by switching the two factors. Using these objects as kernels, we obtain functors

$$F_{\mathcal{K}}, F_{\mathcal{K}^\sigma} : \text{D}(\text{Gr}_{G,I}) \rightarrow \text{D}(\text{Gr}_{G,I}).$$

The following theorem is proved in § 3.5:

Theorem 1.3.6. (1) We have a canonical isomorphism in $\text{Func}(\text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I^-}, \text{D}(\text{Gr}_{G,I}))$:

$$F_{\mathcal{K}}|_{\text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I^-}} \simeq \text{oblv}^{\mathcal{L}U_I^-}.$$

(2) We have a canonical isomorphism in $\text{Func}(\text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I}, \text{D}(\text{Gr}_{G,I}))$:

$$F_{\mathcal{K}^\sigma}|_{\text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I}} \simeq \text{oblv}^{\mathcal{L}U_I}.$$

1.3.7. *Unit of the inv-inv duality.* In § 2.4, we prove that the object $\Psi_{\gamma,I}$ is contained in the full subcategory

$$\text{D}(\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I})^{\mathcal{L}U_I \times_{X^I} \mathcal{L}U_I^-} \subset \text{D}(\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I}).$$

Moreover, this full subcategory can be identified with (see Corollary 2.3.6(2))

$$\text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I} \otimes_{\text{D}(X^I)} \text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I^-}.$$

It follows formally (see Lemma B.1.8(3)) that the kernel \mathcal{K} is contained in the full subcategory¹⁴

$$\text{D}(\text{Gr}_{G,I} \times \text{Gr}_{G,I})^{\mathcal{L}U_I \times \mathcal{L}U_I^-} \subset \text{D}(\text{Gr}_{G,I} \times \text{Gr}_{G,I}).$$

Again, this full subcategory can be identified with (see Corollary 2.3.6(1))

$$\text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I} \otimes \text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I^-}$$

The following result says that \mathcal{K} is the unit of the inv-inv duality.

Corollary 1.3.8. (1) *The functor*

$$\text{Vect} \xrightarrow{\mathcal{K} \otimes^-} \text{D}(\text{Gr}_{G,I} \times \text{Gr}_{G,I})^{\mathcal{L}U_I \times \mathcal{L}U_I^-} \simeq \text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I} \otimes \text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I^-}$$

is the unit of a duality datum, and the corresponding counit is the functor in Theorem 1.1.5.

(2) *The categories $\text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I}$ and $\text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I^-}$ are dual to each other in¹⁵ $\text{D}(X^I)\text{-mod}$, with the unit given by*

$$\text{Vect} \xrightarrow{\Psi_{\gamma,I}[-1] \otimes^-} \text{D}(\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I})^{\mathcal{L}U_I \times_{X^I} \mathcal{L}U_I^-} \simeq \text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I} \otimes_{\text{D}(X^I)} \text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I^-},$$

and the counit given by

$$\text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I^-} \otimes \text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I} \xrightarrow{\text{oblv}^{\mathcal{L}U_I^-} \otimes \text{oblv}^{\mathcal{L}U_I}} \text{D}(\text{Gr}_{G,I}) \otimes \text{D}(\text{Gr}_{G,I}) \rightarrow \text{D}(X^I),$$

where the last functor is the counit¹⁶ of the Verdier self-duality for $\text{D}(\text{Gr}_{G,I})$ as a $\text{D}(X^I)$ -module category.

Proof. To prove (1), we check the axioms for the dualities. By symmetry, we only need to show the composition

$$\text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I^-} \xrightarrow{- \otimes \mathcal{K}} \text{D}(\text{Gr}_{G,I} \times \text{Gr}_{G,I} \times \text{Gr}_{G,I})^{\mathcal{L}U_I^- \times \mathcal{L}U_I \times \mathcal{L}U_I^-} \xrightarrow{(-,-) \otimes \text{Id}} \text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I^-}$$

is isomorphic to the identity functor. We only need to show its composition with the fully faithful functor $\text{oblv}^{\mathcal{L}U_I^-} : \text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I^-} \rightarrow \text{D}(\text{Gr}_{G,I})$ is isomorphic to $\text{oblv}^{\mathcal{L}U_I^-}$. By definition, this composition is just the functor given by the kernel \mathcal{K} , i.e., the functor $F_{\mathcal{K}}|_{\text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I^-}}$. Hence we are done by Theorem 1.3.6.

Using Lemma B.7.2, one can similarly prove (2).

□[Corollary 1.3.8]

Warning 1.3.9. *Our proof of Theorem 1.3.6, and therefore of Corollary 1.3.8, logically depends on the dualizability results in Proposition 1.1.4. Hence we cannot avoid Appendix D.*

¹⁴The reader might have noticed that this claim is a formal consequence of Theorem 1.3.6. However, we need to prove this fact before we prove the theorem.

¹⁵ $\text{D}(X^I)$ is equipped with the symmetric monoidal structure given by the !-tensor products.

¹⁶It is given by

$$\text{D}(\text{Gr}_{G,I}) \otimes \text{D}(\text{Gr}_{G,I}) \xrightarrow{\otimes^!} \text{D}(\text{Gr}_{G,I}) \xrightarrow{* \text{-pushforward}} \text{D}(X^I).$$

Remark 1.3.10. In the constructible contexts, Theorem 1.3.6 remains correct, and can be proved similarly. We also have a version of Corollary 1.3.8(1). See Remark B.7.3 and Remark 2.3.8 for more details.

However, we do *not* have a version of Corollary 1.3.8(2) in the constructible contexts. For example, we do *not* even know if $\mathrm{Shv}_c(\mathrm{Gr}_{G,I})$ is self-dual as a $\mathrm{Shv}_c(S)$ -module category, where Shv_c is the DG category of complexes of constructible sheaves.

Remark 1.3.11. As a by-product, the object $\Psi_{\gamma,I}$ does not depend on the choice of γ .

We can now give the following description of the inverse of the equivalence in Corollary 1.1.9:

Corollary 1.3.12. (1) *The functor $F_{\mathcal{K}}$ factors uniquely as*

$$F_{\mathcal{K}} : \mathrm{D}(\mathrm{Gr}_{G,I}) \xrightarrow{\mathbf{pr}^{\mathcal{L}U_I}} \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} \rightarrow \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I^-} \xrightarrow{\mathbf{obl}^{\mathcal{L}U_I^-}} \mathrm{D}(\mathrm{Gr}_{G,I}),$$

and the functor in the middle is inverse to

$$\mathbf{pr}_{\mathcal{L}U_I} \circ \mathbf{obl}^{\mathcal{L}U_I^-} : \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I^-} \rightarrow \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}.$$

(2) *The functor $F_{\mathcal{K}^\sigma}$ factors uniquely as*

$$F_{\mathcal{K}^\sigma} : \mathrm{D}(\mathrm{Gr}_{G,I}) \xrightarrow{\mathbf{pr}^{\mathcal{L}U_I^-}} \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I^-} \rightarrow \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} \xrightarrow{\mathbf{obl}^{\mathcal{L}U_I}} \mathrm{D}(\mathrm{Gr}_{G,I}),$$

and the functor in the middle is inverse to

$$\mathbf{pr}_{\mathcal{L}U_I^-} \circ \mathbf{obl}^{\mathcal{L}U_I} : \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} \rightarrow \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I^-}.$$

Proof. We prove (1) and obtain (2) by symmetry. By Proposition 1.1.4, $\mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}$ and $\mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I^-}$ are dual to each other. Moreover, it is formal (see Lemma B.1.11) that the counit functor of this duality fits into a commutative diagram

$$(1.12) \quad \begin{array}{ccc} \mathrm{D}(\mathrm{Gr}_{G,I}) \otimes \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} & \xrightarrow{\mathrm{Id} \otimes \mathbf{obl}^{\mathcal{L}U_I}} & \mathrm{D}(\mathrm{Gr}_{G,I}) \otimes \mathrm{D}(\mathrm{Gr}_{G,I}) \\ \downarrow \mathbf{pr}_{\mathcal{L}U_I} \otimes \mathrm{Id} & & \downarrow \\ \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} \otimes \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} & \xrightarrow{\mathbf{counit}} & \mathrm{Vect}, \end{array}$$

where the right vertical functor is the counit for the Verdier self-duality.

On the other hand, by Corollary 1.3.8(1) and (1.12), the composition

$$\mathbf{counit} \circ ((\mathbf{pr}_{\mathcal{L}U_I} \circ \mathbf{obl}^{\mathcal{L}U_I^-}) \otimes \mathrm{Id}) : \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I^-} \otimes \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} \rightarrow \mathrm{Vect}$$

is also the counit of a duality. Hence by uniqueness of the dual category, the functor $\mathbf{pr}_{\mathcal{L}U_I} \circ \mathbf{obl}^{\mathcal{L}U_I^-}$ is an equivalence. Denote the inverse of this equivalence by θ .

Note that the desired factorization of $F_{\mathcal{K}}$ is unique if it exists because $\mathbf{pr}_{\mathcal{L}U_I}$ is a localization and $\mathbf{obl}^{\mathcal{L}U_I^-}$ is a full embedding. Hence it remains to show that $\mathbf{obl}^{\mathcal{L}U_I^-} \circ \theta \circ \mathbf{pr}_{\mathcal{L}U_I}$ is isomorphic to $F_{\mathcal{K}}$. By uniqueness of the dual category, the functor θ is given by the composition

$$\mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} \xrightarrow{\mathrm{Id} \otimes \mathbf{unit}^{\mathrm{inv}\text{-}\mathrm{inv}}} \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} \otimes \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} \xrightarrow{\mathbf{counit} \otimes \mathrm{Id}} \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I^-},$$

where $\mathbf{unit}^{\mathrm{inv}\text{-}\mathrm{inv}}$ is the unit of the duality between $\mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}$ and $\mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I^-}$. Now the desired claim can be checked directly using Corollary (1.3.8)(1).

□[Corollary 1.3.12]

Remark 1.3.13. In a future paper (mentioned in § 0.4.5), we will prove the following description of the values of $\mathbf{pr}_{\mathcal{L}U_I^-} \circ \mathbf{obl}^{\mathcal{L}U_I}$ on the compact generators of $\mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}$. Write $\mathbf{s}_I : \mathrm{Gr}_{M,I} \rightarrow \mathrm{Gr}_{G,I}$ for the closed embedding. Let \mathcal{F} be a compact object in $\mathrm{D}(\mathrm{Gr}_{M,I})$. Then $\mathbf{pr}_{\mathcal{L}U_I^-} \circ \mathbf{obl}^{\mathcal{L}U_I}$ sends the compact object (see Lemma 2.3.4(2))

$$\mathbf{Av}_!^{\mathcal{L}U_I} \circ \mathbf{s}_{I,*}(\mathcal{F}) \in \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}$$

to $\mathbf{pr}_{\mathcal{L}U_I^- \circ \mathbf{s}_{I,*}}(\mathcal{F})$. This formally implies under the inv-inv duality, the dual object of $\mathbf{Av}_!^{\mathcal{L}U_I} \circ \mathbf{s}_{I,*}(\mathcal{F})$ is $\mathbf{Av}_!^{\mathcal{L}U_I^-} \circ \mathbf{s}_{I,*}(\mathbb{D}\mathcal{F})$.

1.4. Variant: \mathcal{L}^+M -equivariant version. In this subsection, we describe an \mathcal{L}^+M -equivariant version of the main theorems.

Construction 1.4.1. Consider the following short exact sequence of group indschemes:

$$\mathcal{L}U_I \rightarrow \mathcal{L}P_I \rightarrow \mathcal{L}M_I.$$

It admits a splitting $\mathcal{L}M_I \rightarrow \mathcal{L}P_I$. It follows formally (see Lemma B.5.2) that $\mathbf{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I}$ and $\mathbf{D}(\text{Gr}_{G,I})_{\mathcal{L}U_I}$ can be upgraded to objects in $\mathcal{L}M_I$ -mod. Also, the functors $\mathbf{oblv}^{\mathcal{L}U_I}$ and $\mathbf{pr}_{\mathcal{L}U_I}$ have $\mathcal{L}M_I$ -linear structures.

We define

$$(\mathbf{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I})^{\mathcal{L}^+M_I} \text{ and } (\mathbf{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I^-})^{\mathcal{L}^+M_I}.$$

As one would expect (see Corollary B.6.3), they are isomorphic to

$$\mathbf{D}(\text{Gr}_{G,I})^{(\mathcal{L}U\mathcal{L}^+M)_I} \text{ and } \mathbf{D}(\text{Gr}_{G,I})^{(\mathcal{L}U^-\mathcal{L}^+M)_I},$$

where $(\mathcal{L}U\mathcal{L}^+M)_I$ is the subgroup indscheme of $\mathcal{L}G_I$ generated by $\mathcal{L}U_I$ and \mathcal{L}^+M_I .

Construction 1.4.2. We prove in Proposition 2.4.1 that $\Psi_{\gamma,I}$ can be upgraded to an object

$$\Psi_{\gamma,I} \in \mathbf{D}(\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I})^{\mathcal{L}^+M_I, \text{diag}}.$$

It follows formally (see Lemma B.7.9(1)) that the functors $F_{\mathcal{K}}$ and $F_{\mathcal{K}^\sigma}$ defined in § 1.3 can be upgraded to \mathcal{L}^+M_I -linear functors.

The following result is deduced from Theorem 1.3.6 in § 3.5.7:

Corollary 1.4.3. (1) We have canonical isomorphisms in $\text{Funct}_{\mathcal{L}^+M_I}(\mathbf{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I^-}, \mathbf{D}(\text{Gr}_{G,I}))$

$$F_{\mathcal{K}}|_{\mathbf{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I^-}} \simeq \mathbf{oblv}^{\mathcal{L}U_I^-}.$$

(2) We have canonical isomorphisms in $\text{Funct}_{\mathcal{L}^+M_I}(\mathbf{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I}, \mathbf{D}(\text{Gr}_{G,I}))$

$$F_{\mathcal{K}^\sigma}|_{\mathbf{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I}} \simeq \mathbf{oblv}^{\mathcal{L}U_I}.$$

1.4.4. The inv-inv duality: equivariant version. Since \mathcal{L}^+M_I is a group scheme (rather than indscheme), as one would expect (see Corollary B.6.1, Lemma B.2.5), we have an equivalence¹⁷

$$\mathbf{D}(\text{Gr}_{G,I})^{\mathcal{L}^+M_I} \simeq \mathbf{D}(\text{Gr}_{G,I})_{\mathcal{L}^+M_I}.$$

Moreover, $\mathbf{D}(\text{Gr}_{G,I})^{\mathcal{L}^+M_I}$ is self-dual.

We define

$$\mathbb{D}^{\otimes 2} := \mathbf{Av}_*^{(\mathcal{L}^+M_I, \text{diag}) \rightarrow (\mathcal{L}^+M_I \times_{X^I} \mathcal{L}^+M_I)}(\Psi_{\gamma,I}[-1]),$$

where the functor

$$\mathbf{Av}_* : \mathbf{D}(\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I})^{\mathcal{L}^+M_I, \text{diag}} \rightarrow (\mathbf{D}(\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I}))^{\mathcal{L}^+M_I \times_{X^I} \mathcal{L}^+M_I}$$

is the right adjoint of the obvious forgetful functor.

The equivariant structures on $\Psi_{\gamma,I}[-1]$ formally imply (see Lemma B.5.2) that $\mathbb{D}^{\otimes 2}$ can be upgraded to an object in

$$(\mathbf{D}(\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I}))^{\mathcal{L}U_I \times_{X^I} \mathcal{L}U_I^-}{}^{\mathcal{L}^+M_I \times_{X^I} \mathcal{L}^+M_I}.$$

Moreover, as one would expect (see Lemma B.1.12 and Corollary B.6.3), this category is isomorphic to

$$\mathbf{D}(\text{Gr}_{G,I})^{(\mathcal{L}U\mathcal{L}^+M)_I} \otimes_{\mathbf{D}(X^I)} \mathbf{D}(\text{Gr}_{G,I})^{(\mathcal{L}U^-\mathcal{L}^+M)_I}.$$

¹⁷Via this equivalence, $\mathbf{pr}_{\mathcal{L}^+M_I}$ corresponds to $\mathbf{Av}_*^{\mathcal{L}^+M_I}$

The following result follows formally (see Lemma B.7.9(2)) from Corollary 1.4.3:

Corollary 1.4.5. (1) $D(\mathrm{Gr}_{G,I})^{(\mathcal{L}U\mathcal{L}^+M)_I}$ and $D(\mathrm{Gr}_{G,I})^{(\mathcal{L}U^-\mathcal{L}^+M)_I}$ are dual to each other in DGCat , with the counit given by

$$D(\mathrm{Gr}_{G,I})^{(\mathcal{L}U^-\mathcal{L}^+M)_I} \otimes D(\mathrm{Gr}_{G,I})^{(\mathcal{L}U\mathcal{L}^+M)_I} \xrightarrow{\mathrm{oblv}^{\mathcal{L}U_I^-} \otimes \mathrm{oblv}^{\mathcal{L}U_I}} D(\mathrm{Gr}_{G,I})^{\mathcal{L}^+M_I} \otimes D(\mathrm{Gr}_{G,I})^{\mathcal{L}^+M_I} \rightarrow \mathrm{Vect}$$

where the last functor is the counit of the self-duality of $D(\mathrm{Gr}_{G,I})^{\mathcal{L}^+M_I}$ in DGCat .

(2) The unit of the duality in (1) is

$$\begin{aligned} \mathrm{Vect} &\xrightarrow{\mathbb{D}^{\frac{\infty}{2}} \otimes -} (D(\mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I})^{\mathcal{L}U_I \times_{X^I} \mathcal{L}U_I^-})^{\mathcal{L}^+M_I \times_{X^I} \mathcal{L}^+M_I} \\ &\simeq D(\mathrm{Gr}_{G,I})^{(\mathcal{L}U\mathcal{L}^+M)_I} \otimes_{D(X^I)} D(\mathrm{Gr}_{G,I})^{(\mathcal{L}U^-\mathcal{L}^+M)_I} \\ &\rightarrow D(\mathrm{Gr}_{G,I})^{(\mathcal{L}U\mathcal{L}^+M)_I} \otimes D(\mathrm{Gr}_{G,I})^{(\mathcal{L}U^-\mathcal{L}^+M)_I}. \end{aligned}$$

Remark 1.4.6. The last functor in the above composition is induced by $\Delta_* : D(X^I) \rightarrow D(X^I \times X^I)$. Namely, for any $\mathcal{M}, \mathcal{N} \in D(X^I)$ -mod, we have a functor

$$\mathcal{M} \otimes_{D(X^I)} \mathcal{N} \simeq (\mathcal{M} \otimes \mathcal{N}) \otimes_{D(X^I \times X^I)} D(X^I) \xrightarrow{\mathrm{Id} \otimes \Delta_*} \mathcal{M} \otimes \mathcal{N}.$$

Remark 1.4.7. We also have a version of the above corollary for the corresponding duality as $D(X^I)$ -module categories. We omit it because the notation is too heavy.

Remark 1.4.8. In the constructible contexts, (1) remains correct. However, the functor

$$\begin{aligned} \mathrm{Shv}_c(\mathrm{Gr}_{G,I})^{(\mathcal{L}U\mathcal{L}^+M)_I} \otimes_{\mathrm{Shv}_c(X^I)} \mathrm{Shv}_c(\mathrm{Gr}_{G,I})^{(\mathcal{L}U^-\mathcal{L}^+M)_I} &\rightarrow \\ \rightarrow (\mathrm{Shv}_c(\mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I})^{\mathcal{L}U_I \times_{X^I} \mathcal{L}U_I^-})^{\mathcal{L}^+M_I \times_{X^I} \mathcal{L}^+M_I} & \end{aligned}$$

is *not* an equivalence. To make (2) correct, one needs to replace the equivalence in (2) by the right adjoint of the above functor.

As before, Corollary 1.4.3 and 1.4.5 formally imply

Corollary 1.4.9. *The inverse functors in Corollary 1.3.12 are compatible with the \mathcal{L}^+M_I -linear structures on those functors.*

1.5. Local-global compatibility. Consider the algebraic stack $Y := \mathrm{VinBun}_G^\gamma$ over \mathbb{A}^1 . In [Sch16], Schieder studied the corresponding unipotent nearby cycles sheaf of the dualizing sheaf, which we denote by $\Psi_{\gamma, \mathrm{glob}}^{\mathrm{un}}$.

Consider the local-to-global map $\pi_I : \mathrm{VinGr}_{G,I}^\gamma \rightarrow \mathrm{VinBun}_G^\gamma$. It induces a morphism

$$(1.13) \quad \Psi_{\gamma, I, \mathrm{Vin}}^{\mathrm{un}} \rightarrow (\pi_I)_0^! (\Psi_{\gamma, \mathrm{glob}}^{\mathrm{un}}),$$

where $(\pi_I)_0$ is the 0-fiber of π_I . The following theorem is proved in § 4.3.

Theorem 1.5.1. *The morphism (1.13) is an isomorphism.*

2. PREPARATIONS

We need some preparations before proving Theorem 1.3.6 and Theorem 1.5.1.

2.0.1. *Organization of this section.* In § 2.1, we review the definition of nearby cycles.

In § 2.2, we review a theorem of T. Braden, which is our main tool in the proof of the main theorems.

In § 2.3, we study the structure of the categorical players $D(\text{Gr}_{G,I})^{\mathcal{L}U_I}$ and $D(\text{Gr}_{G,I})_{\mathcal{L}U_I}$.

In § 2.4, we show $\Psi_{\gamma,I}$ has the desired equivariant structures.

In § 2.5, we define a certain \mathbb{G}_m -action on $\text{VinGr}_{G,I}^\gamma$ and study its attractor, repeller and fixed loci.

Convention 2.0.2. *We need a theory of D -modules on general prestacks. As explained in [Ras15b], there are two different theories $D^!$ and D^* , where the natural functorialities are given respectively by $!$ -pullback and $*$ -pushforward functors. A quick review of [Ras15b] is provided in Appendix A.4. In the main body of this paper, unless otherwise stated, we only use the theory $D^!$. Hence we omit the superscript “!” from the notation $D^!$.*

Also, in the main body of this paper, when discussing $$ -pushforward of D -modules, we always restrict to one of the following two cases:*

- *we work with lft prestacks and only use the $*$ -pushforward functors for ind-finite type ind-schematic maps;*
- *we work with all prestacks and only use the $*$ -pushforward functors for schematic and finitely presented maps.*

We have base-change isomorphisms between $!$ -pullback and $$ -pushforward functors in both cases. The reader can easily distinguish these two cases by looking at the fonts we are using (see Convention 0.6.3).*

Remark 2.0.3. It is well-known that the category of D -modules on finite type schemes are insensitive to non-reduced structures, i.e., for a nil-isomorphism $f : Y_1 \rightarrow Y_2$ both $f^!$ and f_* are equivalences. More or less by construction, the theories $D^!$ and D^* are also insensitive to nil-isomorphisms between prestacks. We will use this fact repeatedly in this paper without mentioning it.

2.1. Unipotent nearby cycles functor. Let $f : \mathcal{Z} \rightarrow \mathbb{A}^1$ be an \mathbb{A}^1 -family of prestacks. In this subsection, we review a definition of the unipotent nearby cycles functor for the family f . This definition is equivalent to Beilinson’s well-known construction (see [Bei87]) when \mathcal{Z} is a finite type scheme.

Construction 2.1.1. *Let $p : S \rightarrow \text{pt}$ be any finite type scheme. Recall the cohomology complex of S*

$$C^\bullet(S) := p_* \circ p^*(k).$$

The adjoint pair (p^, p_*) defines a monad structure on $p_* \circ p^*$. Hence $C^\bullet(S)$ can be upgraded to an associative algebra in Vect .*

The algebra $C^\bullet(S)$ acts naturally on the constant D -module $k_S := p^(k)$. The action morphism is given by*

$$C^\bullet(S) \otimes k_S \simeq p^* \circ p_* \circ p^*(k) \rightarrow p^*(k) \simeq k_S,$$

where the second morphism is given by the adjoint pair (p^, p_*) .*

Construction 2.1.2. *Consider the case $S = \mathbb{G}_m$. The map $1 : \text{pt} \hookrightarrow \mathbb{G}_m$ defines an augmentation of $C^\bullet(\mathbb{G}_m)$:*

$$p_* \circ p^*(k) \rightarrow p_* \circ 1_* \circ 1^* \circ p^*(k) \simeq (p \circ 1)_* \circ (p \circ 1)^*(k) \simeq k.$$

Construction 2.1.3. *Let $f : \mathcal{Z} \rightarrow \mathbb{G}_m$ be a prestack over \mathbb{G}_m . For any $\mathcal{F} \in D(\mathcal{Z})$, we have*

$$\mathcal{F} \simeq f^!(k_{\mathbb{G}_m}) \otimes^! \mathcal{F}[2].$$

Hence Construction 2.1.1 provides a natural $C^\bullet(\mathbb{G}_m)$ -action on \mathcal{F}

The above action is compatible with $!$ -pullback functors along maps defined over \mathbb{G}_m . By the base-change isomorphisms, it is also compatible with $$ -pushforward functors whenever the latter are defined.*

Notation 2.1.4. *Let \mathcal{Z} be any prestack over \mathbb{A}^1 . We write $D(\overset{\circ}{\mathcal{Z}})^{\text{good}}$ for the full subcategory of $D(\overset{\circ}{\mathcal{Z}})$ consisting of objects \mathcal{F} such that the partially defined left adjoint $j_!$ of $j^!$ is defined on \mathcal{F} . This condition is equivalent to $i^* \circ j_*(\mathcal{F})$ being defined on \mathcal{F} .*

Definition 2.1.5. Let $f : \mathcal{Z} \rightarrow \mathbb{G}_m$ be a prestack over \mathbb{G}_m . We define the unipotent nearby cycles sheaf of $\mathcal{F} \in \mathcal{D}(\overset{\circ}{\mathcal{Z}})^{\text{good}}$ to be

$$(2.1) \quad \Psi_f^{\text{un}}(\mathcal{F}) := k \otimes_{C^\bullet(\mathbb{G}_m)} i^! \circ j_!(\mathcal{F}),$$

where $C^\bullet(\mathbb{G}_m)$ acts on the RHS via \mathcal{F} , and the augmentation $C^\bullet(\mathbb{G}_m)$ -module is defined in Construction 2.1.2.

Fact 2.1.6. By the base-change isomorphisms, Ψ_f^{un} commutes with $*$ -pushforward functors along schematic proper maps (resp. $!$ -pullback functors along schematic smooth maps).

Remark 2.1.7. By the excision triangle, we also have:

$$(2.2) \quad \Psi_f^{\text{un}}(\mathcal{F}) \simeq k \otimes_{C^\bullet(\mathbb{G}_m)} i^* \circ j_*(\mathcal{F})[-1].$$

Remark 2.1.8. When \mathcal{Z} is a finite type scheme and \mathcal{F} is regular ind-holonomic, by [Cam18, Proposition 3.1.2(1)]¹⁸, the above definition coincides with the well-known definition in [Bei87]

Construction 2.1.9. A direct calculation provides an isomorphism between augmented DG-algebras

$$\text{Maps}_{C^\bullet(\mathbb{G}_m)\text{-mod}^r}(k, k) \simeq k[[t]],$$

where the RHS is contained in Vect^\heartsuit . Hence $\Psi_f^{\text{un}}(\mathcal{F})$ is equipped with an action of $k[[t]]$. The action of $t \in k[[t]]$ on $\Psi_f^{\text{un}}(\mathcal{F})$ is the monodromy endomorphism in the literature.

By the Koszul duality, we have

$$(2.3) \quad i^* \circ j_*(\mathcal{F})[-1] \simeq i^! \circ j_!(\mathcal{F}) \simeq k \otimes_{k[[t]]} \Psi_f^{\text{un}}(\mathcal{F}).$$

2.1.10. *Full nearby cycles functor.* Suppose Z is an indscheme of ind-finite type. Consider the category $\mathcal{D}_{\text{rh}}(\overset{\circ}{Z})$ of regular ind-holonomic D-modules on $\overset{\circ}{Z}$. It is well-known that

$$\mathcal{D}_{\text{rh}}(\overset{\circ}{Z}) \subset \mathcal{D}(\overset{\circ}{Z})^{\text{good}}.$$

Hence the unipotent nearby cycles functor is always defined for regular ind-holonomic D-modules on $\overset{\circ}{Z}$.

On the other hand, there is also a *full nearby cycles functor*

$$\Psi_f : \mathcal{D}_{\text{rh}}(\overset{\circ}{Z}) \rightarrow \mathcal{D}(Z_0).$$

Ψ_f satisfies the same standard properties as the unipotent one. Moreover, there is a Künneth formula for the *full* nearby cycles functors (e.g. see [BB93, Lemma 5.1.1] and the remark below it), which is not shared by the unipotent ones.

We have a canonical map $\Psi_f^{\text{un}}(\mathcal{F}) \rightarrow \Psi_f(\mathcal{F})$ for any regular ind-holonomic \mathcal{F} .

The following lemma is a folklore result (e.g. see [AB09, Claim 2])¹⁹:

Lemma 2.1.11. *Suppose that Z is equipped with a \mathbb{G}_m -action such that it can be written as a filtered colimit of closed subschemes stabilized by \mathbb{G}_m , and suppose the map $f : Z \rightarrow \mathbb{A}^1$ is \mathbb{G}_m -equivariant. Let \mathcal{F} be an regular ind-holonomic regular D-module on $\overset{\circ}{Z}$ such that both \mathcal{F} and $\Psi_f(\mathcal{F})$ are unipotently \mathbb{G}_m -monodromic²⁰. Then the obvious map $\Psi_f^{\text{un}}(\mathcal{F}) \rightarrow \Psi_f(\mathcal{F})$ is an isomorphism.*

¹⁸Although [Cam18] stated the result below with the assumption that there is a \mathbb{G}_m -action on \mathcal{Z} , it was only used in the proof of [Cam18, Proposition 3.1.2(2)].

¹⁹An erroneous version of the lemma, which did not require $\Psi_f(\mathcal{F})$ to be unipotently \mathbb{G}_m -monodromic, appeared in an earlier version of [Gai01]. (A counterexample: for a non-trivial Kummer local system χ on \mathbb{G}_m , the sheaf $\chi^{-1} \boxtimes \chi$ on $\mathbb{G}_m \times \mathbb{G}_m$ is unipotently monodromic for the diagonal action, however, for the projection $\mathbb{G}_m \times \mathbb{A}^1 \rightarrow \mathbb{A}^1$, the full nearby cycles and unipotent nearby cycles functors are different for $\chi^{-1} \boxtimes \chi$.) This wrong claim was cited by [Sch16, Lemma 8.0.4], which was then used in the proof of the factorization property of the global nearby cycles. We will *not* use this result from [Sch16]. Instead, our Corollary 3.4.4 and Theorem 1.5.1 implies it.

²⁰See Definition 2.2.8 below.

2.2. Braden's theorem and the contraction principle. In this subsection, we review Braden's theorem and the contraction principle. We first make the following observation

Remark 2.2.1. Let Z be an ind-finite type indscheme equipped with a \mathbb{G}_m -action. Then Z can be written as a filtered colimit $Z \simeq \text{colim}_\alpha Z_\alpha$ with each Z_α being a finite type closed subscheme stabilized by \mathbb{G}_m . Indeed, for any presentation $Z \simeq \text{colim}_\alpha Z'_\alpha$ of Z , we can define Z_α as the closure of the image of the map $\mathbb{G}_m \times Z'_\alpha \rightarrow Z$.

Remark 2.2.2. Let $\mathbb{G}_m \curvearrowright Z$ be an action as above. Using [DG14, Lemma 1.4.9(ii), Corollary 1.5.3(ii)]²¹, we have $Z^{\text{att}} \simeq \text{colim}_\alpha Z_\alpha^{\text{att}}$, and it exhibits Z^{att} as an ind-finite type indscheme. Using [DG14, Proposition 1.3.4], we also have similar result for Z^{fix} .

Definition 2.2.3. A retraction consists of two lft prestacks (Y, Y^0) together with morphisms $i : Y^0 \rightarrow Y$, $q : Y \rightarrow Y^0$ and an isomorphism $q \circ i \simeq \text{Id}_{Y^0}$. We abuse notation by calling (Y, Y^0) a retraction and treat the other data as implicit.

Construction 2.2.4. Let Z be an ind-finite type indscheme equipped with a \mathbb{G}_m -action. There are retractions $(Z^{\text{att}}, Z^{\text{fix}})$ and $(Z^{\text{rep}}, Z^{\text{fix}})$.

Construction 2.2.5. Let (Y, Y^0) be a retraction. We have natural transformations

$$(2.4) \quad q_* \rightarrow q_* \circ i_* \circ i^* = (q \circ i)_* \circ i^* = i^*,$$

$$(2.5) \quad i^! \rightarrow i^! \circ q^! \circ q_! = (q \circ i)^! \circ q_! = q_!.$$

between functors $D(Y) \rightarrow \text{Pro}(D(Y^0))$ (see e.g. [DG14, Appendix A] for the definition of pro-categories). We refer them as the contraction natural transformations.

Remark 2.2.6. In order to construct (2.4), we need to assume the $*$ -pushforward functors are well-defined. See Convension 2.0.2.

Definition 2.2.7. We say a retraction (Y, Y^0) is $*$ -nice (resp. $!$ -nice) for an object $\mathcal{F} \in D(Z)$ if the values of (2.4) (resp. (2.5)) on \mathcal{F} are isomorphisms.

Definition 2.2.8. Let Z first be a finite type scheme acted on by \mathbb{G}_m . The category

$$D(Z)^{\mathbb{G}_m\text{-um}} \subset D(Z)$$

of unipotently \mathbb{G}_m -monodromic D-modules²² on Z is defined as the full DG-subcategory of $D(Z)$ generated under colimits by the image of the $!$ -pullback functor $D(Z/\mathbb{G}_m) \rightarrow D(Z)$.

Let Z be an ind-finite type indscheme equipped with a \mathbb{G}_m -action. We define

$$D(Z)^{\mathbb{G}_m\text{-um}} := \lim_{! \text{-pullback}} D(Z_\alpha)^{\mathbb{G}_m\text{-um}}.$$

Remark 2.2.9. It is clear that the $!$ -pullback functor $D(Z_\beta) \rightarrow D(Z_\alpha)$ sends unipotently \mathbb{G}_m -monodromic objects to unipotently \mathbb{G}_m -monodromic ones. Hence the above limit is well-defined. Also, a standard argument shows that it does not depend on the choice of writing Z as $\text{colim}_\alpha Z_\alpha$.

By passing to left adjoints, we also have

$$(2.6) \quad D(Z)^{\mathbb{G}_m\text{-um}} \simeq \text{colim}_{* \text{-pushforward}} D(Z_\alpha)^{\mathbb{G}_m\text{-um}}.$$

Here we use the general paradigm that a limit diagram connected by right adjoints induces a colimit diagram connected by left adjoints (see e.g. [GR17a, Chapter 1, § 2.5]).

Theorem 2.2.10. (Contraction principle) Let Z be an ind-finite type indscheme equipped with a \mathbb{G}_m -action. The retractions $(Z^{\text{att}}, Z^{\text{fix}})$ and $(Z^{\text{rep}}, Z^{\text{fix}})$ are both $!$ -nice and $*$ -nice for any object in $D(Z)^{\mathbb{G}_m\text{-um}}$.

²¹There is a typo in the statement of [DG14, Lemma 1.4.9]: it should be “ $Y \subset Z$ be a \mathbb{G}_m -stable subspace” rather than “... open subspace”.

²²[DG14] referred to them as just \mathbb{G}_m -monodromic D-modules. We keep the adverb *unipotently* because we need to consider other monodromies when discussing nearby cycles.

Remark 2.2.11. When Z is a finite type scheme, the contraction principle is proved in [DG15, Theorem C.5.3]. The case of ind-finite type indschemes can be formally deduced because of (2.6).

In order to state Braden's theorem, we need more definitions.

Definition 2.2.12. *A commutative square of lft prestacks*

$$(2.7) \quad \begin{array}{ccc} V' & \xrightarrow{g'} & W' \\ \downarrow q & & \downarrow r \\ V & \xrightarrow{g} & W \end{array}$$

is quasi-Cartesian if the map $j : V' \rightarrow W' \times_W V$ induces an open embedding on reduced prestacks.

Construction 2.2.13. *For a quasi-Cartesian square as in Definition 2.2.12, we extend it to a commutative diagram*

$$\begin{array}{ccccc} V' & & & & \\ & \searrow j & & \searrow g' & \\ & & W' \times_W V & \xrightarrow{\text{pr}_1} & W' \\ & \searrow q & \downarrow \text{pr}_2 & & \downarrow r \\ & & V & \xrightarrow{g} & W \end{array}$$

Consider the category of D -modules on these prestacks. We have the following base-change transformation

$$(2.8) \quad g^! \circ r_* \simeq \text{pr}_{2,*} \circ \text{pr}_1^! \rightarrow \text{pr}_{2,*} \circ j_* \circ j^! \circ \text{pr}_1^! \simeq q_* \circ (g')^!.$$

Using the adjoint pairs

$$\begin{aligned} q^* &: \text{Pro}(\mathcal{D}(V)) \rightleftarrows \text{Pro}(\mathcal{D}(V')) : q_*, \\ r^* &: \text{Pro}(\mathcal{D}(W)) \rightleftarrows \text{Pro}(\mathcal{D}(W')) : r_*, \end{aligned}$$

we obtain a natural transformation

$$(2.9) \quad q^* \circ g^! \rightarrow (g')^! \circ r^*.$$

Definition 2.2.14. *A quasi-Cartesian square (2.7) is nice for an object $\mathcal{F} \in \mathcal{D}(W)$ if the value of (2.9) on \mathcal{F} is an isomorphism in $\mathcal{D}(V')$.*

Warning 2.2.15. *One can obtain another quasi-Cartesian square from (2.7) by exchanging the positions of V and W' . However, the above definition is not preserved by this symmetry.*

Construction 2.2.16. *Let Z be an ind-finite type indscheme equipped with a \mathbb{G}_m -action. By [DG14, Proposition 1.9.4], there are quasi-Cartesian diagrams*

$$\begin{array}{ccc} Z^{\text{fix}} & \xrightarrow{i^+} & Z^{\text{att}} \\ \downarrow i^- & & \downarrow p^+ \\ Z^{\text{rep}} & \xrightarrow{p^-} & Z \end{array} \quad \begin{array}{ccc} Z^{\text{fix}} & \xrightarrow{i^-} & Z^{\text{rep}} \\ \downarrow i^+ & & \downarrow p^- \\ Z^{\text{att}} & \xrightarrow{p^+} & Z \end{array}$$

Theorem 2.2.17. (*Braden*) *Let Z be an ind-finite type indscheme equipped with a \mathbb{G}_m -action. The above two quasi-Cartesian diagrams are nice for any object in $\mathcal{D}(Z)^{\mathbb{G}_m\text{-um}}$.*

Remark 2.2.18. When Z is a finite type scheme, Braden's theorem was proved in [Bra03] for perverse sheaves and in [DG14] for all D -modules. The case of ind-finite type indschemes can be formally deduced because of (2.6).

Remark 2.2.19. Using the contraction principle, Braden's theorem can be reformulated as the existence of a canonical adjoint pair²³

$$q_* \circ p^{\pm,!} : \mathcal{D}(Z)^{\mathbb{G}_m\text{-um}} \rightleftarrows \mathcal{D}(Z^{\text{fix}}) : p_*^{\mp} \circ q^{\mp,!}.$$

In fact, this is how [DG14] proved Braden's theorem.

²³Note that the image of the functor $p_*^{\mp} \circ q^{\mp,!} : \mathcal{D}(Z^{\text{fix}}) \rightarrow \mathcal{D}(Z)$ is contained in $\mathcal{D}(Z)^{\mathbb{G}_m\text{-um}}$.

For the purpose of this paper, we also introduce the following definition:

Definition 2.2.20. A Braden 4-tuple consists of four prestacks (Z, Z^+, Z^-, Z^0) together with

- a quasi-Cartesian square (see Definition 2.2.12):

$$\begin{array}{ccc} Z^0 & \xrightarrow{i^+} & Z^+ \\ \downarrow i^- & & \downarrow p^+ \\ Z^- & \xrightarrow{p^-} & Z. \end{array}$$

- morphisms $q^+ : Z^+ \rightarrow Z^0$ and $q^- : Z^- \rightarrow Z^0$ and isomorphisms $q^+ \circ i^+ \simeq \text{Id}_{Z^0} \simeq q^- \circ i^-$.

We abuse notation by calling (Z, Z^+, Z^-, Z^0) a Braden 4-tuple and treat the other data as implicit.

Given a Braden 4-tuple (Z, Z^+, Z^-, Z^0) , we define its opposite Braden 4-tuple to be (Z, Z^-, Z^+, Z^0) .

Construction 2.2.21. Let Z be an ind-finite type indscheme equipped with a \mathbb{G}_m -action. We have a Braden 4-tuple $(Z, Z^{\text{att}}, Z^{\text{rep}}, Z^{\text{fix}})$.

Example 2.2.22. The inverse of the dilation \mathbb{G}_m -action on \mathbb{A}^1 induces the Braden 4-tuple

$$\text{Br}_{\text{base}} := (\mathbb{A}^1, 0, \mathbb{A}^1, 0).$$

Example 2.2.23. By Example 1.2.14, we obtain a Braden 4-tuple $(\text{Gr}_{G,I}, \text{Gr}_{P,I}, \text{Gr}_{P^-,I}, \text{Gr}_{M,I})$.

Remark 2.2.24. See § 4.1 for a Braden 4-tuple that is not obtained from Construction 2.2.21.

Definition 2.2.25. For a Braden 4-tuple as in Definition 2.2.20, we say it is $*$ -nice for an object $\mathcal{F} \in \text{D}(Z)$ if

- (i) The corresponding quasi-Cartesian square is nice for \mathcal{F} ;
- (ii) The retraction (Z^-, Z^0) is $*$ -nice for $p^{-!} \circ \mathcal{F}$.

Remark 2.2.26. We do not need the notion of !-niceness in this paper.

Then Braden's theorem and the contraction principle imply

Theorem 2.2.27. Let Z be an ind-finite type indscheme equipped with a \mathbb{G}_m -action. Then $(Z, Z^{\text{att}}, Z^{\text{rep}}, Z^{\text{fix}})$ and $(Z, Z^{\text{rep}}, Z^{\text{att}}, Z^{\text{fix}})$ are $*$ -nice for any objects in $\text{D}(Z)^{\mathbb{G}_m\text{-um}}$.

2.3. Categorical players. The goal of this subsection is to describe the compact generators of $\text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I}$ and $\text{D}(\text{Gr}_{G,I})_{\mathcal{L}U_I}$. The proofs are provided in Appendix D.

2.3.1. Strata. It is well-known (see § C.3) that the map $\mathbf{p}_I^+ : \text{Gr}_{P,I} \rightarrow \text{Gr}_{G,I}$ is bijective on field-valued points, and the connected components of $\text{Gr}_{P,I}$ induce a stratification on $\text{Gr}_{G,I}$ labelled by $\Lambda_{G,P}$. For $\lambda \in \Lambda_{G,P}$, the corresponding stratum is denoted by (see Notation C.3.1)

$$\lambda \text{Gr}_{G,I} := (\text{Gr}_{P,I}^\lambda)_{\text{red}}.$$

By Proposition C.3.2(2), the map $\lambda \text{Gr}_{G,I} \rightarrow \text{Gr}_{G,I}$ is a schematic locally closed embedding.

Consider the $\mathcal{L}U_I$ -action on $\text{Gr}_{P,I}$. Note that $\mathbf{p}_I^+ : \text{Gr}_{P,I} \rightarrow \text{Gr}_{G,I}$ is $\mathcal{L}P_I$ -equivariant. Therefore the functors $\mathbf{p}_I^{+!}$ and $\mathbf{p}_I^{+,*}$ can be upgraded to morphisms in $\mathcal{L}P_I\text{-mod}$. Therefore they induce $\mathcal{L}M_I$ -linear functors:

$$(2.10) \quad \mathbf{p}_{I,*}^{+,\text{inv}} : \text{D}(\text{Gr}_{P,I})^{\mathcal{L}U_I} \rightarrow \text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I},$$

$$(2.11) \quad \mathbf{p}_I^{+!,\text{inv}} : \text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I} \rightarrow \text{D}(\text{Gr}_{P,I})^{\mathcal{L}U_I}.$$

On the other hand, consider the $\mathcal{L}M_I$ -equivariant map $\mathbf{q}_I^+ : \text{Gr}_{P,I} \rightarrow \text{Gr}_{M,I}$. Note that the $\mathcal{L}U_I$ -action on $\text{Gr}_{P,I}$ preserves the fibers of \mathbf{q}_I^+ . Hence there are $\mathcal{L}M_I$ -functors

$$(2.12) \quad \mathbf{q}_I^{+!,\text{inv}} : \text{D}(\text{Gr}_{M,I}) \rightarrow \text{D}(\text{Gr}_{P,I})^{\mathcal{L}U_I},$$

$$(2.13) \quad \mathbf{q}_{I,*}^{+,\text{co}} : \text{D}(\text{Gr}_{P,I})_{\mathcal{L}U_I} \rightarrow \text{D}(\text{Gr}_{M,I})$$

(see (B.11)). Sometimes we omit the superscripts “inv” from these notations if there is no danger of ambiguity.

Lemma 2.3.2. *Let $i_I^+ : \mathrm{Gr}_{M,I} \rightarrow \mathrm{Gr}_{P,I}$ be the map induced by $M \hookrightarrow P$. We have*

(1) (c.f. [Gai17a, Proposition 1.4.2]) *The functor (2.12) is an equivalence, with an inverse given by*

$$\mathrm{D}(\mathrm{Gr}_{P,I})^{\mathcal{L}U_I} \xrightarrow{\mathrm{oblv}^{\mathcal{L}U_I}} \mathrm{D}(\mathrm{Gr}_{P,I}) \xrightarrow{i_I^{+,!}} \mathrm{D}(\mathrm{Gr}_{M,I}).$$

(2) *The functor (2.13) is an equivalence, with an inverse given by*

$$\mathrm{D}(\mathrm{Gr}_{M,I}) \xrightarrow{i_{I,*}^+} \mathrm{D}(\mathrm{Gr}_{P,I}) \xrightarrow{\mathrm{pr}^{\mathcal{L}U_I}} \mathrm{D}(\mathrm{Gr}_{P,I})^{\mathcal{L}U_I}.$$

Proof. Follows formally (see Lemma B.4.1) from the fact that $\mathcal{L}U_I$ acts transitively along the fibers of \mathbf{q}_I^+ .

□[Lemma 2.3.2]

Lemma 2.3.3. *Let $\mathcal{F} \in \mathrm{D}(\mathrm{Gr}_{G,I})$. Suppose $\mathbf{p}_I^{+,!}(\mathcal{F}) \in \mathrm{D}(\mathrm{Gr}_{P,I})$ is contained in $\mathrm{D}(\mathrm{Gr}_{P,I})^{\mathcal{L}U_I}$, then \mathcal{F} is contained in $\mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}$.*

Proof. It follows formally that (see (B.9)), we can replace $\mathcal{L}U_I$ by one of its pro-smooth group subscheme U_α . It remains to prove that $\mathrm{oblv}^{U_\alpha} \circ \mathbf{A}\mathbf{v}_*^{U_\alpha}(\mathcal{F}) \rightarrow \mathcal{F}$ is an isomorphism. Since $\mathrm{Gr}_{P,I} \rightarrow \mathrm{Gr}_{G,I}$ is bijective on field-valued points, $\mathbf{p}_I^{+,!}$ is conservative. Hence it remains to prove

$$\mathbf{p}_I^{+,!} \circ \mathrm{oblv}^{U_\alpha} \circ \mathbf{A}\mathbf{v}_*^{U_\alpha}(\mathcal{F}) \rightarrow \mathbf{p}_I^!(\mathcal{F})$$

is an isomorphism. By [Ras16, Corollary 2.17.10], we have

$$\mathbf{p}_I^{+,!} \circ \mathrm{oblv}^{U_\alpha} \circ \mathbf{A}\mathbf{v}_*^{U_\alpha} \simeq \mathrm{oblv}^{U_\alpha} \circ \mathbf{A}\mathbf{v}_*^{U_\alpha} \circ \mathbf{p}_I^{+,!}.$$

On the other hand, the assumption on $\mathbf{p}_I^{+,!}(\mathcal{F})$ implies

$$\mathrm{oblv}^{U_\alpha} \circ \mathbf{A}\mathbf{v}_*^{U_\alpha} \circ \mathbf{p}_I^{+,!}(\mathcal{F}) \simeq \mathbf{p}_I^{+,!}(\mathcal{F}).$$

This proves the desired isomorphism.

□[Lemma 2.3.3]

The following two lemmas are proved in Appendix D.

Lemma 2.3.4. (c.f. [Gai17a, Proposition 1.5.3, Corollary 1.5.6])

(1) *Consider the \mathbb{G}_m -action on $\mathrm{Gr}_{G,I}$ in Example 1.2.14. We have*

$$\mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} \subset \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathbb{G}_m\text{-umm}} \subset \mathrm{D}(\mathrm{Gr}_{G,I}).$$

(2) *Let $\mathbf{s}_I : \mathrm{Gr}_{M,I} \rightarrow \mathrm{Gr}_{G,I}$ be the map induced by $M \hookrightarrow G$. Then the composition*

$$\mathrm{D}(\mathrm{Gr}_{M,I}) \xrightarrow{\mathbf{s}_{I,*}} \mathrm{D}(\mathrm{Gr}_{G,I}) \xrightarrow{\mathbf{A}\mathbf{v}_I^{\mathcal{L}U_I}} \mathrm{Pro}(\mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I})$$

factors through $\mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}$, where $\mathbf{A}\mathbf{v}_I^{\mathcal{L}U_I}$ is the left adjoint of the forgetful functor. Moreover, the image of this functor generates $\mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}$ under colimits and shifts. Consequently, $\mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}$ is compactly generated.

(3) *The functor (2.10) has a left adjoint²⁴*

$$\mathbf{p}_I^{+,*,\mathrm{inv}} : \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} \rightarrow \mathrm{D}(\mathrm{Gr}_{P,I})^{\mathcal{L}U_I},$$

which can be canonically identified with

$$\mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} \xrightarrow{\mathrm{oblv}^{\mathcal{L}U_I}} \mathrm{D}(\mathrm{Gr}_{G,I}) \xrightarrow{\mathbf{p}_I^{-,!}} \mathrm{D}(\mathrm{Gr}_{P^-,I}) \xrightarrow{\mathbf{q}_I^{-,*}} \mathrm{D}(\mathrm{Gr}_{M,I}) \simeq \mathrm{D}(\mathrm{Gr}_{P,I})^{\mathcal{L}U_I}.$$

In particular, $\mathbf{p}_I^{+,,\mathrm{inv}}$ is $\mathcal{L}M_I$ -linear.*

(4) *The functor (2.11) has a $\mathrm{D}(X^I)$ -linear²⁵ left adjoint*

$$\mathbf{p}_I^{+,\mathrm{inv}} : \mathrm{D}(\mathrm{Gr}_{P,I})^{\mathcal{L}U_I} \rightarrow \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}.$$

²⁴ We do not know whether the following stronger claim is true: the functor $\mathbf{p}_I^{+,*}$ is well-defined on $\mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I} \subset \mathrm{D}(\mathrm{Gr}_{G,I})$.

²⁵ One can actually prove it is $\mathcal{L}M_I$ -linear. Also, one can prove any (right or left) lax $\mathrm{D}(X^I)$ -linear functor is strict.

Lemma 2.3.5. (1) *The functor*

$$\mathrm{D}(\mathrm{Gr}_{M,I}) \xrightarrow{\mathrm{s}_{I,*}} \mathrm{D}(\mathrm{Gr}_{G,I}) \xrightarrow{\mathrm{pr}^{\mathcal{L}U_I}} \mathrm{D}(\mathrm{Gr}_{G,I})_{\mathcal{L}U_I}$$

sends compact objects to compact objects. Moreover, its image generates $\mathrm{D}(\mathrm{Gr}_{G,I})_{\mathcal{L}U_I}$. Consequently, $\mathrm{D}(\mathrm{Gr}_{G,I})_{\mathcal{L}U_I}$ is compactly generated.

(2) $\mathrm{D}(\mathrm{Gr}_{G,I})_{\mathcal{L}U_I}$ is dualizable in DGCat , and its dual is canonically identified with $\mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}$. Moreover, this identification is compatible with the $\mathcal{L}M_I$ -actions on them.

The following technical result follows formally from Lemma 2.3.5(2) (see Lemma B.1.12 and Lemma A.3.4).

Corollary 2.3.6. Let $\mathcal{H}_1, \mathcal{H}_2 \in \{X^I, \mathcal{L}U_I, \mathcal{L}U_I^-\}$ be group indschemes over X^I .

(1) *We have a commutative diagram*

$$\begin{array}{ccc} \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{H}_1} \otimes \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{H}_2} & \longrightarrow & \mathrm{D}(\mathrm{Gr}_{G,I} \times \mathrm{Gr}_{G,I})^{\mathcal{H}_1 \times \mathcal{H}_2} \\ \downarrow \mathrm{oblv}^{\mathcal{H}_1} \otimes \mathrm{oblv}^{\mathcal{H}_2} & & \downarrow \mathrm{oblv}^{\mathcal{H}_1 \times \mathcal{H}_2} \\ \mathrm{D}(\mathrm{Gr}_{G,I}) \otimes \mathrm{D}(\mathrm{Gr}_{G,I}) & \xrightarrow{\boxtimes} & \mathrm{D}(\mathrm{Gr}_{G,I} \times \mathrm{Gr}_{G,I}), \end{array}$$

where all the four functors are fully faithful, and the horizontal functors are equivalences.

(2) *We have a commutative diagram*

$$\begin{array}{ccc} \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{H}_1} \otimes_{\mathrm{D}(X^I)} \mathrm{D}(\mathrm{Gr}_{G,I})^{\mathcal{H}_2} & \longrightarrow & \mathrm{D}(\mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I})^{\mathcal{H}_1 \times_{X^I} \mathcal{H}_2} \\ \downarrow \mathrm{oblv}^{\mathcal{H}_1} \otimes \mathrm{oblv}^{\mathcal{H}_2} & & \downarrow \mathrm{oblv}^{\mathcal{H}_1 \times_{X^I} \mathcal{H}_2} \\ \mathrm{D}(\mathrm{Gr}_{G,I}) \otimes_{\mathrm{D}(X^I)} \mathrm{D}(\mathrm{Gr}_{G,I}) & \xrightarrow{\boxtimes_{X^I}} & \mathrm{D}(\mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I}). \end{array}$$

where all the four functors are fully faithful, and the horizontal functors are equivalences.

Remark 2.3.7. Corollary 2.3.6 is also (obviously) correct if we replace

- the invariants categories by the coinvariants categories;
- the forgetful functors oblv by the localization functors pr .

Remark 2.3.8. In the constructible contexts, we still have the commutative diagram in (1). However, the horizontal functors are no longer equivalences. Nevertheless, one can prove that the commutative diagram is right adjointable along the horizontal direction.

2.4. Equivariant structure. In this subsection, we prove that $\Psi_{\gamma,I}$ has our desired equivariant structures and deduce Proposition 1.3.4 from it.

Consider the $\mathcal{L}(G \times G)_I$ -action on $\mathrm{Gr}_{G \times G,I}$. Recall we have an object

$$\mathrm{D}(\mathrm{Gr}_{G \times G,I})^{\mathcal{L}(U \times U^-)_I} \in \mathcal{L}(M \times M)_I\text{-mod}.$$

By restriction along the diagonal embedding $\mathcal{L}M_I \hookrightarrow \mathcal{L}(M \times M)_I$, we view $\mathrm{D}(\mathrm{Gr}_{G \times G,I})^{\mathcal{L}(U \times U^-)_I}$ as an object in $\mathcal{L}M_I\text{-mod}$. We have:

Proposition 2.4.1. (1) *The map $\Psi_{\gamma,I}^{\mathrm{un}} \rightarrow \Psi_{\gamma,I}$ is an isomorphism.*

(2) *The object $\Psi_{\gamma,I}^{\mathrm{un}} \simeq \Psi_{\gamma,I}$ is contained in the full subcategory $\mathrm{D}(\mathrm{Gr}_{G \times G,I})^{\mathcal{L}(U \times U^-)_I}$. Moreover, it can be canonically upgraded to an object in $(\mathrm{D}(\mathrm{Gr}_{G \times G,I})^{\mathcal{L}(U \times U^-)_I})^{\mathcal{L}^+ M_I, \mathrm{diag}}$.*

Remark 2.4.2. Note that (1) implies Proposition 1.3.4 because taking (unipotent) nearby cycles commutes with proper push-forward functors.

Remark 2.4.3. It is quite possible that one can actually upgrade $\Psi_{\gamma,I}$ to an object in $\mathrm{D}(\mathrm{Gr}_{G \times G,I})^{\mathcal{L}(P \times M P^-)}$. However, because $\mathcal{L}M_I$ is not an ind-group scheme, our current techniques cannot prove it.

Proof. The rest of this subsection is devoted to the proof of the proposition. As one would expect, we have Cartesian squares (see Lemma B.5.2 and Lemma B.5.1):

$$\begin{array}{ccc}
(\mathrm{D}(\mathrm{Gr}_{G \times G, I})^{\mathcal{L}(U \times U^-)_I})^{\mathcal{L}^+ M_I, \mathrm{diag}} & \longrightarrow & \mathrm{D}(\mathrm{Gr}_{G \times G, I})^{\mathcal{L}^+ M_I, \mathrm{diag}} \\
\downarrow & & \downarrow \\
\mathrm{D}(\mathrm{Gr}_{G \times G, I})^{\mathcal{L}(U \times U^-)_I} & \longrightarrow & \mathrm{D}(\mathrm{Gr}_{G \times G, I}), \\
\downarrow & & \downarrow \\
\mathrm{D}(\mathrm{Gr}_{G \times G, I})^{\mathcal{L}(U \times U^-)_I} & \longrightarrow & \mathrm{D}(\mathrm{Gr}_{G \times G, I})^{\mathcal{L}U_I, 1} \\
\downarrow & & \downarrow \\
\mathrm{D}(\mathrm{Gr}_{G \times G, I})^{\mathcal{L}U_I^-, 2} & \longrightarrow & \mathrm{D}(\mathrm{Gr}_{G \times G, I}),
\end{array}$$

where the superscripts 1 (resp. 2) indicate that $\mathcal{L}U_I$ (resp. $\mathcal{L}U_I^-$) acts on $\mathrm{Gr}_{G \times G, I} \simeq \mathrm{Gr}_{G, I} \times_{X^I} \mathrm{Gr}_{G, I}$ via the first (resp. second) factor.

Hence we can prove the proposition in three steps:

- (i) The objects $\Psi_{\gamma, I}$ and $\Psi_{\gamma, I}^{\mathrm{un}}$ are contained in $\mathrm{D}(\mathrm{Gr}_{G \times G, I})^{\mathcal{L}U_I, 1}$ and $\mathrm{D}(\mathrm{Gr}_{G \times G, I})^{\mathcal{L}U_I^-, 2}$.
- (ii) The morphism $\Psi_{\gamma, I}^{\mathrm{un}} \rightarrow \Psi_{\gamma, I}$ is an isomorphism.
- (iii) The object $\Psi_{\gamma, I}$ can be canonically upgraded to an object in $\mathrm{D}(\mathrm{Gr}_{G \times G, I})^{\mathcal{L}^+ M_I, \mathrm{diag}}$.

2.4.4. *Proof of (i).* Recall the co-character γ provides a \mathbb{G}_m -action on G (see Example 1.2.13). Note that $U \hookrightarrow G$ is stabilized by this action. By construction, this action is compatible with the group structure on U . In particular, the corresponding Drinfeld-Gaitsgory interpolation \widetilde{U}^γ is a group scheme over \mathbb{A}^1 and the map $\widetilde{U}^\gamma \rightarrow U \times U \times \mathbb{A}^1$ is a group homomorphism (relative to \mathbb{A}^1).

Note that the above \mathbb{G}_m -action on U is contractive, i.e., its attractor locus is isomorphic to itself. Hence by [DG14, Proposition 1.4.5], the \mathbb{G}_m -action on U can be extended to an \mathbb{A}^1 -action on U , where \mathbb{A}^1 is equipped with the multiplication monoid structure. Note that the fixed locus of the \mathbb{G}_m -action on U is $1 \hookrightarrow U$. Hence by [DG14, Proposition 2.4.4], the map $\widetilde{U}^\gamma \rightarrow U \times U \times \mathbb{A}^1$ can be identified with

$$(2.14) \quad U \times \mathbb{A}^1 \rightarrow U \times U \times \mathbb{A}^1, (g, t) \mapsto (g, t \cdot g, t).$$

In particular, its 1-fiber is the diagonal embedding, while its 0-fiber is the closed embedding onto the *first* U -factor.

By taking loops, we obtain from (2.14) a homomorphism between group indschemes over $X^I \times \mathbb{A}^1$

$$a : \mathcal{L}U_I \times \mathbb{A}^1 \rightarrow \mathcal{L}U_I \times_{X^I} \mathcal{L}U_I \times \mathbb{A}^1$$

such that its 1-fiber is the diagonal embedding, while its 0-fiber is the closed embedding onto the first $\mathcal{L}U_I$ -factor. Similarly, we have a morphism between group indschemes over $X^I \times \mathbb{A}^1$:

$$r : \mathcal{L}U_I^- \times \mathbb{A}^1 \rightarrow \mathcal{L}U_I^- \times_{X^I} \mathcal{L}U_I^- \times \mathbb{A}^1$$

whose 1-fiber is the diagonal embedding and 0-fiber is the closed embedding onto the *second* $\mathcal{L}U_I^-$ -factor. In fact, the map a (resp. r) is the Drinfeld-Gaitsgory interpolation for the \mathbb{G}_m -action on $\mathcal{L}U_I$ (resp. $\mathcal{L}U_I^-$), if we generalize the definitions in [DG14] to arbitrary prestacks.

Via the group homomorphism a and r , we have an action of $\mathcal{L}U_I \times \mathbb{A}^1$ (resp. $\mathcal{L}U_I^- \times \mathbb{A}^1$) on $\mathrm{Gr}_{G \times G, I} \times \mathbb{A}^1$ relative to $X^I \times \mathbb{A}^1$. Equivalently, we have an action of $\mathcal{L}U_I$ (resp. $\mathcal{L}U_I^-$) on $\mathrm{Gr}_{G \times G, I}$ relative to X^I . We use symbols “ a ” (resp. “ r ”) to distinguish these actions from other ones.

Now consider the $\mathcal{L}U_I$ -action on $\mathrm{Gr}_{G, I}$ (relative to X^I). By construction, this action is compatible with the \mathbb{G}_m -actions on $\mathcal{L}U_I$ (as a group indscheme) and on $\mathrm{Gr}_{G, I}$ (as a plain indscheme). This implies we have the following compatibility

$$(\mathcal{L}U_I \times \mathbb{A}^1 \xrightarrow{a} \mathcal{L}U_I \times_{X^I} \mathcal{L}U_I \times \mathbb{A}^1) \curvearrowright (\widetilde{\mathrm{Gr}}_{G, I}^\gamma \rightarrow \mathrm{Gr}_{G, I} \times_{X^I} \mathrm{Gr}_{G, I} \times \mathbb{A}^1).$$

Hence by Lemma 1.2.27(2), the $(\mathcal{L}U_I, a)$ -action on $\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I} \times \mathbb{A}^1$ stabilizes the schematic closed embedding

$$(2.15) \quad \Gamma_I : \text{Gr}_{G,I} \times \mathbb{G}_m \hookrightarrow \text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I} \times \mathbb{G}_m, (x, t) \mapsto (x, t \cdot x, t).$$

Note that the restricted $\mathcal{L}U_I$ -action on $\text{Gr}_{G,I} \times \mathbb{G}_m$ is the usual one.

We also have similar results on the $(\mathcal{L}U_I^-, r)$ -action on $\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I} \times \mathbb{A}^1$. Now (i) is implied by the following stronger result (and its mirror version).

Lemma 2.4.5. (1) *Both the unipotent nearby cycles functor $\Psi_{\gamma,I}^{\text{un}}$ and $i^* \circ j_*$ send the category*

$$D(\text{Gr}_{G \times G, I} \times \mathbb{G}_m)^{\mathcal{L}U_I, a} \cap D(\text{Gr}_{G \times G, I} \times \mathbb{G}_m)^{\text{good}}$$

into $D(\text{Gr}_{G \times G, I})^{\mathcal{L}U_I, 1}$.

(2) *The full nearby cycles functor $\Psi_{\gamma,I}$ sends the category*

$$D(\text{Gr}_{G \times G, I} \times \mathbb{G}_m)^{\mathcal{L}U_I, a} \cap D_{\text{hol}}(\text{Gr}_{G \times G, I} \times \mathbb{G}_m)$$

into $D(\text{Gr}_{G \times G, I})^{\mathcal{L}U_I, 1}$.

Proof. Write $\mathcal{L}U_I$ as a filtered colimit $\mathcal{L}U_I \simeq \text{colim}_{\alpha} \mathcal{N}_{\alpha}$ of its closed pro-unipotent group subschemes. We only need to prove the lemma after replacing $\mathcal{L}U_I$ by \mathcal{N}_{α} for any α . Then (1) follows from Proposition B.8.1.

To prove (2), we claim we can choose the above presentation $\mathcal{L}U_I \simeq \text{colim}_{\alpha} \mathcal{N}_{\alpha}$ such that for each α , we can find a presentation $(\text{Gr}_{G,I})_{\text{red}} \simeq \text{colim} Y_{\beta}$ such that each Y_{β} is a finite type closed subscheme of $(\text{Gr}_{G,I})_{\text{red}}$ stabilized by \mathcal{N}_{α} . Indeed, similar to [Ras16, Remark 2.19.1], we can make each \mathcal{N}_{α} conjugate to \mathcal{L}^+U_I . Hence we only need to find a presentation $(\text{Gr}_{G,I})_{\text{red}} \simeq \text{colim} Y_{\beta}$ such that each Y_{β} is stabilized by \mathcal{L}^+U_I . Then we can choose Y_{β} to be the Schubert cells of $(\text{Gr}_{G,I})_{\text{red}}$ (which are even stabilized by \mathcal{L}^+G_I). This proves the claim.

For any \mathcal{N}_{α} as above, since full nearby cycles functors commute with proper pushforward functors, it suffices to prove the claim after replacing $\text{Gr}_{G,I}$ by Y_{β} (for any β). Then the \mathcal{N}_{α} -action on Y_{β} factors through a smooth quotient group H . We can replace \mathcal{N}_{α} by H . Then we are done by using (B.16) and the fact that taking full nearby cycles commutes with smooth pullback functors.

□[Lemma 2.4.5]

2.4.6. *Proof of (ii).* Consider the \mathbb{G}_m -action on $\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I} \times \mathbb{A}^1$ given by $s \cdot (x, y, t) = (x, s \cdot y, st)$. Note that the projection $\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I} \times \mathbb{A}^1 \rightarrow \mathbb{A}^1$ is \mathbb{G}_m -equivariant. Also note that the schematic closed embedding (2.15) is stabilized by this action. Hence by Lemma 2.1.11, it suffices to prove that the object $\Psi_{\gamma,I} \in D(\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I})$ is unipotently \mathbb{G}_m -monodromic, where \mathbb{G}_m acts on the second factor.

By (i), we have $\Psi_{\gamma,I} \in D(\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I})^{\mathcal{L}U_I^-, 2}$. Then we are done because

$$D(\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I})^{\mathcal{L}U_I^-, 2} \subset D(\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I})^{\mathbb{G}_m\text{-um}, 2}$$

by Lemma 2.3.4(1) (and Corollary 2.3.6(2)). This proves (ii).

2.4.7. *Proof of (iii).* Note that the Drinfeld-Gaitsgory interpolation $\widetilde{M}^{\gamma} \times \mathbb{A}^1 \rightarrow M \times M \times \mathbb{A}^1$ is isomorphic to the diagonal embedding $M \times \mathbb{A}^1 \rightarrow M \times M \times \mathbb{A}^1$. By an argument similar to that in § 2.4.4, we see the diagonal action of \mathcal{L}^+M_I on $\text{Gr}_{G \times G, I} \times \mathbb{G}_m$ stabilizes the schematic closed embedding (2.15) and the restricted \mathcal{L}^+M_I -action on $\text{Gr}_{G,I} \times \mathbb{G}_m$ is the usual one.

Now let \mathcal{C} be the full sub-category of $D(\text{Gr}_{G \times G, I} \times \mathbb{G}_m)$ generated by $\Gamma_{I,*}(\omega_{\text{Gr}_{G,I} \times \mathbb{G}_m})$ under colimits and shifts. By the previous discussion, \mathcal{C} is a sub- \mathcal{L}^+M_I -module of $D(\text{Gr}_{G \times G, I} \times \mathbb{G}_m)$. It follows formally that (see Proposition B.8.1), we obtain an \mathcal{L}^+M_I -linear structure on the functor $\Psi_{\gamma,I}^{\text{un}} : \mathcal{C} \rightarrow D(\text{Gr}_{G \times G, I})$. Therefore $\Psi_{\gamma,I}^{\text{un}}$ induces a functor between the \mathcal{L}^+M_I -invariants categories. Then we are done because $\Gamma_{I,*}(\omega_{\text{Gr}_{G,I} \times \mathbb{G}_m})$ can be naturally upgraded to an object in $D(\text{Gr}_{G \times G, I} \times \mathbb{G}_m)^{\mathcal{L}^+M_I, \text{diag}}$.

□[Proposition 2.4.1]

Remark 2.4.8. By Proposition 2.4.1(2), we also have

$$i^* \circ j_* \circ \Gamma_{I,*}(\omega_{\mathrm{Gr}_{G,I} \times \mathbb{G}_m}) \in \mathrm{D}(\mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I})^{\mathcal{L}(U \times U^-)_I}.$$

2.5. Geometric players - II. In this subsection, we study a certain \mathbb{G}_m -action on $\mathrm{VinGr}_{G,I}^\gamma$, which is used repeatedly in this paper.

Consider the action $T_{\mathrm{ad}} \curvearrowright \mathrm{Gr}_{G,I}$ induced by the adjoint action $T_{\mathrm{ad}} \curvearrowright G$. We have

Proposition 2.5.1. *The action*

$$(T_{\mathrm{ad}} \times T_{\mathrm{ad}}) \times (\mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I} \times T_{\mathrm{ad}}^+) \rightarrow \mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I} \times T_{\mathrm{ad}}^+, (s_1, s_2) \cdot (x, y, t) := (s_1^{-1} \cdot x, s_2^{-1} \cdot y, s_1 t s_2^{-1}).$$

preserves both $\mathrm{VinGr}_{G,I}$ and ${}_0\mathrm{VinGr}_{G,I}$.

Remark 2.5.2. The claim is obvious when restricted to $T_{\mathrm{ad}} \subset T_{\mathrm{ad}}^+$.

2.5.3. A general paradigm. Proposition 2.5.1 can be proved using the Tannakian description of VinGr_G in [FKM20, § 3.1.2]. However, we prefer to prove it in an abstract way. The construction below is a refinement of that in [Wan18, Appendix C.3].

Consider the following paradigm. Let $1 \rightarrow K \rightarrow H \rightarrow Q \rightarrow 1$ be an exact sequence of affine algebraic groups. Let $Z \rightarrow B$ be a map between finite type affine schemes. Suppose we have an H -action on Z and a Q -action on B compatible in the obvious sense. Then we have a Q -equivariant map $p: K \backslash Z \rightarrow B$.

Suppose we are further given a section $B \hookrightarrow Z$ to the map $Z \rightarrow B$. Then we obtain a map $f: B \rightarrow Z \rightarrow K \backslash Z$ such that $p \circ f = \mathrm{Id}_B$.

Suppose we are further given a splitting $s: Q \hookrightarrow H$ compatible with the actions $Q \curvearrowright B$, $H \curvearrowright Z$ and the section $B \rightarrow Z$. Consider the restricted Q -action on Z . By assumption, the map $B \rightarrow Z$ is Q -equivariant. On the other hand, there is a Q -equivariant structure on $Z \rightarrow K \backslash Z$ because of the splitting $s: Q \hookrightarrow H$. Hence we obtain a Q -equivariant structure on $f: B \rightarrow K \backslash Z$.

Combining the above paragraphs, we obtain a Q -action on the retraction $(K \backslash Z, B, p, f)$. This construction is functorial in $B \hookrightarrow Z \rightarrow B$ in the obvious sense.

In the special case when $Z = B$ and K acts trivially on B , we obtain a Q -action on the chain $B \rightarrow K \backslash \mathrm{pt} \times B \rightarrow B$. More or less by definition, this action is also induced by the given Q -action on B and the adjoint action $Q \curvearrowright K$ provided by the section s .

Applying Construction C.1.3 to these retractions, (using Lemma C.1.5) we obtain Q -actions on $\mathbf{Maps}_{I,/B}(X, K \backslash Z \leftarrow B)$ and $\mathbf{Maps}_{I,/B}(X, K \backslash \mathrm{pt} \times B \leftarrow B)$. Moreover, the map $(B \hookrightarrow Z \rightarrow B) \rightarrow (B \simeq B \simeq B)$ induces a Q -equivariant map

$$\mathbf{Maps}_{I,/B}(X, K \backslash Z \leftarrow B) \rightarrow \mathbf{Maps}_{I,/B}(X, K \backslash \mathrm{pt} \times B \leftarrow B).$$

2.5.4. Proof of Proposition 2.5.1. Let us come back to the problem. Recall we have the following exact sequence of algebraic groups $1 \rightarrow G \rightarrow G_{\mathrm{enh}} \rightarrow T_{\mathrm{ad}} \rightarrow 1$, where $G_{\mathrm{enh}} := (G \times T)/Z_G$ is the group of invertible elements in Vin_G . Also recall we have a canonical section $\mathfrak{s}: T_{\mathrm{ad}}^+ \rightarrow \mathrm{Vin}_G$ whose restriction to T_{ad} is $T/Z_G \rightarrow (G \times T)/Z_G$, $t \mapsto (t^{-1}, t)$. Note that the corresponding T_{ad} -action on G provided by \mathfrak{s} is the *inverse* of the usual adjoint action. Now applying the above paradigm to

$$(1 \rightarrow K \rightarrow H \rightarrow Q \rightarrow 1) := (1 \rightarrow G \times G \rightarrow G_{\mathrm{enh}} \times G_{\mathrm{enh}} \rightarrow T_{\mathrm{ad}} \times T_{\mathrm{ad}} \rightarrow 1)$$

$$(B \rightarrow Z \rightarrow B) := (T_{\mathrm{ad}}^+ \xrightarrow{\mathfrak{s}} \mathrm{Vin}_G \rightarrow T_{\mathrm{ad}}^+)$$

we obtain a $(T_{\mathrm{ad}} \times T_{\mathrm{ad}})$ -equivariant structure on the map $\mathrm{VinGr}_{G,I} \rightarrow \mathrm{Gr}_{G \times G, I} \times T_{\mathrm{ad}}^+$, where $Q = (T_{\mathrm{ad}} \times T_{\mathrm{ad}})$ acts on the RHS via the usual action on $B = T_{\mathrm{ad}}^+$ and the *inverse* of the usual action on $\mathrm{Gr}_{K,I} = \mathrm{Gr}_{G \times G, I}$. This is exactly the action described in the problem. This proves the claim for $\mathrm{VinGr}_{G,I}$.

Replacing Z by ${}_0\mathrm{Vin}_G$, we obtain the claim for ${}_0\mathrm{VinGr}_{G,I}$.

□[Proposition 2.5.1]

Corollary 2.5.5. *Let $\mathbb{G}_m \curvearrowright \text{Gr}_{G,I}$ be the action in Example 1.2.14. Then the action*

$$(2.16) \quad \mathbb{G}_m \times (\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I} \times \mathbb{A}^1) \rightarrow \text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I} \times \mathbb{A}^1, \quad s \cdot (x, y, t) := (s \cdot x, s^{-1} \cdot y, s^{-2}t)$$

preserves both $\text{VinGr}_{G,I}^\gamma$ and ${}_0\text{VinGr}_{G,I}^\gamma$.

Construction 2.5.6. *Consider the above action $\mathbb{G}_m \curvearrowright (\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I} \times \mathbb{A}^1)$. The Braden 4-tuple for the action (2.16) is*

$$\text{Br}_I^\gamma := (\text{Gr}_{G \times G, I} \times \mathbb{A}^1, \text{Gr}_{P \times P^-, I} \times 0, \text{Gr}_{P^- \times P, I} \times \mathbb{A}^1, \text{Gr}_{M \times M, I} \times 0).$$

Hence by [DG14, Lemma 1.4.9(ii)], the attractor (resp. repeller, fixed) locus for the action on $\text{VinGr}_{G,I}^\gamma$ is given by

$$(2.17) \quad \text{VinGr}_{G,I}^{\gamma, \text{att}} \simeq \text{VinGr}_{G,I}^\gamma \times_{(\text{Gr}_{G \times G, I} \times \mathbb{A}^1)} (\text{Gr}_{P \times P^-, I} \times 0),$$

$$(2.18) \quad \text{VinGr}_{G,I}^{\gamma, \text{rep}} \simeq \text{VinGr}_{G,I}^\gamma \times_{(\text{Gr}_{G \times G, I} \times \mathbb{A}^1)} (\text{Gr}_{P^- \times P, I} \times \mathbb{A}^1),$$

$$(2.19) \quad \text{VinGr}_{G,I}^{\gamma, \text{fix}} \simeq \text{VinGr}_{G,I}^\gamma \times_{(\text{Gr}_{G \times G, I} \times \mathbb{A}^1)} (\text{Gr}_{M \times M, I} \times 0).$$

We denote the corresponding Braden 4-tuple by

$$\text{Br}_{\text{Vin}, I}^\gamma := (\text{VinGr}_{G,I}^\gamma, \text{VinGr}_{G,I}^{\gamma, \text{att}}, \text{VinGr}_{G,I}^{\gamma, \text{rep}}, \text{VinGr}_{G,I}^{\gamma, \text{fix}}).$$

2.5.7. *An alternate description.* The reader is advised to skip the rest of this subsection and return when necessary.

The formulae in Construction 2.5.6 are not satisfactory because for example they do not describe²⁶ the map $\mathbf{q}_{\text{Vin}, I}^+ : \text{VinGr}_{G,I}^{\gamma, \text{att}} \rightarrow \text{VinGr}_{G,I}^{\gamma, \text{fix}}$. In this sub-subsection, we use mapping stacks to give an alternative description of the Braden 4-tuple $\text{Br}_{\text{Vin}, I}^\gamma$. Once we have this alternative description, we exhibit how to use them to study the geometry of $\text{VinGr}_{G,I}$ in the rest of this subsection.

We assume the reader is familiar with the constructions in § C.4.2-C.4.3 and § C.4.6.

By Lemma C.1.13, we can rewrite (2.17)-(2.19) as

$$(2.20) \quad \text{VinGr}_{G,I}^{\gamma, \text{att}} \simeq \mathbf{Maps}_{I, / \text{pt}}(X, P \setminus \text{Vin}_G |_{C_P} / P^- \leftarrow \text{pt}),$$

$$(2.21) \quad \text{VinGr}_{G,I}^{\gamma, \text{rep}} \simeq \mathbf{Maps}_{I, / \mathbb{A}^1}(X, P^- \setminus \text{Vin}_G^\gamma / P \leftarrow \mathbb{A}^1),$$

$$(2.22) \quad \text{VinGr}_{G,I}^{\gamma, \text{fix}} \simeq \mathbf{Maps}_{I, / \text{pt}}(X, M \setminus \text{Vin}_G |_{C_P} / M \leftarrow \text{pt}),$$

where the sections are all induced by the canonical section $\mathfrak{s} : T_{\text{ad}}^+ \rightarrow \text{Vin}_G$.

Recall we have a $(P \times P^-)$ -equivariant closed embedding $\overline{M} \hookrightarrow \text{Vin}_G |_{C_P}$ (see § C.4.2). By definition, the canonical section $\mathfrak{s}|_{C_P} : \text{pt} \rightarrow \text{Vin}_G |_{C_P}$ factors through this embedding. Hence the map $\text{pt} \rightarrow P \setminus \text{Vin}_G |_{C_P} / P^-$ factors as $\text{pt} \rightarrow P \setminus \overline{M} / P^- \hookrightarrow P \setminus \text{Vin}_G |_{C_P} / P^-$, where the last map is a schematic closed embedding. By Lemma C.1.8 and (2.20), we obtain an isomorphism:

$$(2.23) \quad \text{VinGr}_{G,I}^{\gamma, \text{att}} \simeq \mathbf{Maps}_{I, / \text{pt}}(X, P \setminus \overline{M} / P^- \leftarrow \text{pt}).$$

Similarly we have an isomorphism

$$(2.24) \quad \text{VinGr}_{G,I}^{\gamma, \text{fix}} \simeq \mathbf{Maps}_{I, / \text{pt}}(X, M \setminus \overline{M} / M \leftarrow \text{pt}).$$

²⁶Of course, the map $\mathbf{q}_{\text{Vin}, I}^+$ is the unique one that is compatible with the map $\text{Gr}_{P \times P^-, I} \rightarrow \text{Gr}_{M \times M, I}$. But this description is not convenient in practice.

Under these descriptions, we claim the commutative diagram

$$(2.25) \quad \begin{array}{ccccc} & & & & \text{VinGr}_{G,I}^{\gamma,\text{fix}} \\ & & & \nearrow = & \uparrow \mathfrak{q}_{\text{Vin},I}^+ \\ & & \text{VinGr}_{G,I}^{\gamma,\text{fix}} & \xrightarrow{i_{\text{Vin},I}^+} & \text{VinGr}_{G,I}^{\gamma,\text{att}} \\ & \nwarrow = & \downarrow i_{\text{Vin},I}^- & & \downarrow \mathfrak{p}_{\text{Vin},I}^+ \\ \text{VinGr}_{G,I}^{\gamma,\text{fix}} & \xleftarrow{\mathfrak{q}_{\text{Vin},I}^-} & \text{VinGr}_{G,I}^{\gamma,\text{rep}} & \xrightarrow{\mathfrak{p}_{\text{Vin},I}^-} & \text{VinGr}_{G,I}^{\gamma} \end{array}$$

is induced by a commutative diagram

$$(2.26) \quad \begin{array}{ccccc} & & & & (M \backslash \overline{M} / M \leftarrow \text{pt}) \\ & & & \nearrow = & \uparrow \mathfrak{q}_{\text{sect}}^+ \\ & & (M \backslash \overline{M} / M \leftarrow \text{pt}) & \xrightarrow{i_{\text{sect}}^+} & (P \backslash \overline{M} / P^- \leftarrow \text{pt}) \\ & \nwarrow = & \downarrow i_{\text{sect}}^- & & \downarrow \mathfrak{p}_{\text{sect}}^+ \\ (M \backslash \overline{M} / M \leftarrow \text{pt}) & \xleftarrow{\mathfrak{q}_{\text{sect}}^-} & (P^- \backslash \text{Vin}_G^\gamma / P \leftarrow \mathbb{A}^1) & \xrightarrow{\mathfrak{p}_{\text{sect}}^-} & (G \backslash \text{Vin}_G^\gamma / G \leftarrow \mathbb{A}^1), \end{array}$$

where the only non-obvious morphism is $\mathfrak{q}_{\text{sect}}^-$, which is induced by the commutative diagram (C.17). Indeed, (2.25) is induced by (2.26) because the maps in (2.25) are uniquely determined by their compatibilities with the maps in the Braden 4-tuple

$$\text{Br}_I^\gamma := (\text{Gr}_{G \times G, I} \times \mathbb{A}^1, \text{Gr}_{P \times P^-, I} \times 0, \text{Gr}_{P^- \times P, I} \times \mathbb{A}^1, \text{Gr}_{M \times M, I} \times 0).$$

2.5.8. *Stratification on $\text{VinGr}_{G,I} |_{C_P}$.* As before, the map

$$\text{VinGr}_{G,I}^{\gamma,\text{att}} \simeq \text{VinGr}_{G,I} |_{C_P} \times_{\text{Gr}_{G \times G, I}} \text{Gr}_{P \times P^-, I} \rightarrow \text{VinGr}_{G,I} |_{C_P}$$

is bijective on field valued points. Hence the connected components of $\text{VinGr}_{G,I}^{\gamma,\text{att}}$ provide a stratification on $\text{VinGr}_{G,I} |_{C_P}$. On the other hand, [Sch16] defined a *defect stratification* on $\text{VinBun}_G |_{C_P}$ (see § C.4.5 for a quick review). Let $\text{str VinBun}_G |_{C_P}$ be the disjoint union of all the defect strata. The following result says these two stratifications are compatible via the local-to-global-map.

Proposition 2.5.9. *There is a commutative diagram*

$$\begin{array}{ccccc} \text{Gr}_{P \times P^-, I} & \longleftarrow & \text{VinGr}_{G,I}^{\gamma,\text{att}} & \longrightarrow & \text{VinGr}_{G,I} |_{C_P} \\ \downarrow & & \downarrow & & \downarrow \\ \text{Bun}_{P \times P^-} & \longleftarrow & \text{str VinBun}_G |_{C_P} & \longrightarrow & \text{VinBun}_G |_{C_P} \end{array}$$

such that its right square is Cartesian.

Proof. We have the following commutative diagram

$$\begin{array}{ccccc} (P \backslash \text{pt} / P^- \leftarrow \text{pt}) & \longleftarrow & (P \backslash \overline{M} / P^- \leftarrow \text{pt}) & \longrightarrow & (G \backslash \text{Vin}_G |_{C_P} / G \leftarrow \text{pt}) \\ \downarrow & & \downarrow & & \downarrow \\ (P \backslash \text{pt} / P^- \supset P \backslash \text{pt} / P^-) & \longleftarrow & (P \backslash \overline{M} / P^- \supset P \backslash M / P^-) & \longrightarrow & (G \backslash \text{Vin}_G |_{C_P} / G \supset G \backslash_0 \text{Vin}_G |_{C_P} / G). \end{array}$$

By Construction C.1.7, we obtain the desired commutative diagram in the problem. It remains to show its right square is Cartesian. By Lemma C.1.14, it suffices to show the map

$$\text{pt} \rightarrow \text{pt}_{(G \backslash \text{Vin}_G |_{C_P} / G)} \times_{(G \backslash \text{Vin}_G |_{C_P} / G)} (P \backslash \overline{M} / P^-)$$

is an isomorphism. Using the Cartesian diagram (C.8), the RHS is isomorphic to

$$\text{pt}_{(G \backslash_0 \text{Vin}_G |_{C_P} / G)} \times_{(G \backslash_0 \text{Vin}_G |_{C_P} / G)} (P \backslash M / P^-).$$

Then we are done because ${}_0\text{VinGr}_G|_{C_P} \simeq (G \times G)/(P \times_M P^-)$.

□[Proposition 2.5.9]

Corollary 2.5.10. *Let $\lambda, \mu \in \Lambda_{G,P}$ be two elements. Then the fiber product*

$$\text{VinGr}_{G,I}^{\gamma,\text{att}} \times_{\text{Gr}_{P \times P^-,I}} (\text{Gr}_{P,I}^\lambda \times_{X^I} \text{Gr}_{P,I}^\mu)$$

is empty unless $\lambda \leq \mu$, where $\text{Gr}_{P,I}^\lambda$ is the connected component of $\text{Gr}_{P,I}$ corresponding to λ .

Proof. Using Proposition 2.5.9, it suffices to show the fiber product

$$\text{str VinBun}_G|_{C_P} \times_{\text{Bun}_{P \times P^-}} (\text{Bun}_{P^-}^{-\lambda} \times \text{Bun}_{P^-}^{-\mu})$$

is empty unless $\lambda \leq \mu$. Then we are done by (C.14) and (C.12).

□[Corollary 2.5.10]

For any $\delta \in \Lambda_{G,P}$, there is a closed sub-indscheme $\text{diff}^{\leq \delta} \text{Gr}_{G \times G,I}$ of $\text{Gr}_{G \times G,I}$ whose field-valued points are the union of the field-valued points contained in strata $\text{Gr}_{P \times P^-}^{\lambda,\mu}$ such that $\lambda - \mu \leq \delta$ (See Corollary C.3.11 for its definition). We have:

Corollary 2.5.11. *(c.f. [FKM20, Lemma 3.13]) $(\text{VinGr}_{G,I}|_{C_P})_{\text{red}}$ is contained in $\text{diff}^{\leq 0} \text{Gr}_{G \times G,I}$.*

Proof. Note that $(\text{VinGr}_{G,I}|_{C_P})_{\text{red}}$ is also a closed sub-indscheme of $\text{Gr}_{G \times G,I}$. Hence it suffices to show the set of field valued points of $\text{VinGr}_{G,I}|_{C_P}$ is a subset of that of $\text{diff}^{\leq 0} \text{Gr}_{G \times G,I}$. Then we are done by Corollary 2.5.10.

□[Corollary 2.5.11]

Proposition 2.5.12. *The following commutative square is Cartesian:*

$$\begin{array}{ccc} \text{VinGr}_{G,I}^{\gamma,\text{att}} & \longrightarrow & \text{Gr}_{P \times P^-,I} \\ \downarrow & & \downarrow \\ \text{VinGr}_{G,I}^{\gamma,\text{fix}} & \longrightarrow & \text{Gr}_{M \times M,I} \end{array}$$

Proof. Follows from Lemma C.1.13.

□[Proposition 2.5.12]

Remark 2.5.13. One can use Proposition 2.5.12 to prove the claim in Remark 1.2.29.

2.5.14. *Defect-free version.* By Proposition 2.5.1, the \mathbb{G}_m -action (2.16) also stabilizes ${}_0\text{VinGr}_{G,I}^\gamma \simeq \text{Gr}_{\tilde{G}^\gamma,I}$. Let $\text{Br}_{{}_0\text{Vin},I}^\gamma$ be the Braden 4-tuple for this restricted action.

On the other hand, there is a Braden 4-tuple

$$(\text{Gr}_{\tilde{G}^\gamma,I}, \text{Gr}_{P \times_M P^-,I} \times 0, \text{Gr}_{M,I} \times \mathbb{A}^1, \text{Gr}_{M,I} \times 0),$$

where the only non-obvious map $p^- : \text{Gr}_{M,I} \times \mathbb{A}^1 \rightarrow \text{Gr}_{\tilde{G}^\gamma,I}$ is given by the composition

$$\text{Gr}_{M,I} \times \mathbb{A}^1 \simeq \text{Gr}_{\bar{M}^\gamma,I} \rightarrow \text{Gr}_{\tilde{G}^\gamma,I}.$$

We have

Proposition 2.5.15. *There is a canonical isomorphism between Braden 4-tuples*

$$\text{Br}_{{}_0\text{Vin},I}^\gamma \simeq (\text{Gr}_{\tilde{G}^\gamma,I}, \text{Gr}_{P \times_M P^-,I} \times 0, \text{Gr}_{M,I} \times \mathbb{A}^1, \text{Gr}_{M,I} \times 0).$$

Proof. The statements concerning the attractor and fixed loci follow directly from Proposition 2.5.1 because the \mathbb{G}_m -action on ${}_0\text{VinGr}_{G,I}|_{C_P} \simeq \text{Gr}_{P \times_M P^-,I}$ is contractive.

Let us calculate the repeller locus. By [DG14, Lemma 1.4.9(i)], the map

$${}_0\text{VinGr}_{G,I}^{\gamma,\text{rep}} \rightarrow \text{VinGr}_{G,I}^{\gamma,\text{rep}} \times_{\text{VinGr}_{G,I}^{\gamma,\text{fix}}} {}_0\text{VinGr}_{G,I}^{\gamma,\text{fix}}$$

is an isomorphism. On the other hand, we have a Cartesian square (see (C.17))

$$\begin{array}{ccc} (P^- \setminus \mathrm{Vin}_G^{\gamma, \mathrm{Bruhat}} / P \leftarrow \mathbb{A}^1) & \longrightarrow & (P^- \setminus \mathrm{Vin}_G^\gamma / P \leftarrow \mathbb{A}^1) \\ \downarrow & & \downarrow \mathfrak{q}_{\mathrm{sect}}^- \\ (M \setminus M/M \leftarrow \mathrm{pt}) & \longrightarrow & (M \setminus \overline{M}/M \leftarrow \mathrm{pt}). \end{array}$$

Note that $P^- \setminus \mathrm{Vin}_G^{\gamma, \mathrm{Bruhat}} / P \simeq M \setminus M/M \times \mathbb{A}^1$ by (C.16). Hence by Lemma C.1.13, we have an isomorphism

$$\mathrm{Gr}_{M,I} \times \mathbb{A}^1 \simeq \mathrm{VinGr}_{G,I}^{\gamma, \mathrm{rep}} \times_{\mathrm{VinGr}_{G,I}^{\gamma, \mathrm{fix}}} {}_0\mathrm{VinGr}_{G,I}^{\gamma, \mathrm{fix}}.$$

This provides the desired isomorphism ${}_0\mathrm{VinGr}_{G,I}^{\gamma, \mathrm{rep}} \simeq \mathrm{Gr}_{M,I} \times \mathbb{A}^1$. It follows from construction that this isomorphism is compatible with the natural maps in the Braden 4-tuples.

□[Proposition 2.5.15]

3. PROOFS - I

3.0.1. *Organization of this section.* Our proofs of Theorem 1.3.6 and Theorem 1.5.1 use a same strategy, which we axiomize in § 3.1.

In § 3.2, we prove a technical conservativity result.

In § 3.3 and 3.4, as warm-up exercises, we use the framework in § 3.1 to prove two results about $\Psi_{\gamma, I}$: (i) its restriction to the defect-free locus is constant; (ii) the assignment $I \rightsquigarrow \Psi_{\gamma, I}[-1]$ factorizes.

In § 3.5, we use the above framework to prove Theorem 1.3.6.

In § 3.6, we sketch how to generalize our main theorems to (affine) flag varieties.

The proof of Theorem 1.5.1 is postponed to § 4 because we need more sheaf-theoretic input.

3.1. **An axiomatic framework.** The essence of our proofs of Theorem 1.3.6 and Theorem 1.5.1 is to use Braden's theorem and the contraction principle to show taking unipotent nearby cycles commutes with certain pull-push functors. In this subsection, we give an axiomatic framework for these arguments.

3.1.1. *The main result.* Suppose we are given the following data:

- A \mathbb{G}_m -action on \mathbb{A}^1 given by $s \cdot t := s^n t$, where n is a negative integer;
- Three ind-finite type ind-schemes U , V and W acted on by \mathbb{G}_m ;
- A correspondence $\alpha := (U \xleftarrow{f} V \xrightarrow{g} W)$ over \mathbb{A}^1 compatible with the \mathbb{G}_m -actions;
- An object $\mathring{\mathcal{F}} \in \mathrm{D}(\mathring{W})^{\mathbb{G}_m\text{-um}}$;
- A full subcategory $\mathcal{C} \subset \mathrm{D}(U_0)$.

By construction, we can extend α to a correspondence between Braden 4-tuples:

$$\alpha_{\mathrm{ext}} := (\alpha, \alpha^+, \alpha^-, \alpha^0) : (U, U^+, U^-, U^0) \leftarrow (V, V^+, V^-, V^0) \rightarrow (W, W^+, W^-, W^0),$$

defined over $\mathrm{Br}_{\mathrm{base}} := (\mathbb{A}^1, 0, \mathbb{A}^1, 0)$ (see Example 2.2.22), where the superscripts “+, −, 0” stands for attractor, repeller and fixed loci. As usual, we use the following notations:

$$\mathring{\alpha} := (\mathring{U} \xleftarrow{\mathring{f}} \mathring{V} \xrightarrow{\mathring{g}} \mathring{W}), \quad \alpha_0 := (U_0 \xleftarrow{f_0} V_0 \xrightarrow{g_0} W_0)$$

Note that when restricted to 0-fibers, we obtain a correspondence between Braden 4-tuples:

$$(U_0, U_0^+, U_0^-, U_0^0) \leftarrow (V_0, V_0^+, V_0^-, V_0^0) \rightarrow (W_0, W_0^+, W_0^-, W_0^0).$$

The following result is a special case of our main result (see Theorem 3.1.11 below):

Corollary 3.1.2. *Suppose the above data satisfy the following conditions (up to non-reduced structures)²⁷:*

- (P1) *The map $V^0 \rightarrow U^0 \times_{U^+} V^+$ is an isomorphism.*
- (P2) *The map $V^- \rightarrow U^- \times_U V$ is an isomorphism.*

²⁷(P) for *pullback*; (Q) for *quasi-Cartesian*; (C) for *conservative*; (G) for *good*; (M) for *morphism*.

- (P3) The map $V^- \rightarrow W^- \times_{W^0} V^0$ is an isomorphism.
- (Q) The map $V^+ \rightarrow W^+ \times_W V$ is an open embedding.
- (G1) The object \mathcal{F} is contained in $D(\mathring{W})^{\text{good}}$ (see Notation 2.1.4).
- (G2) The object $(f)_* \circ (g)^\dagger(\mathcal{F})$ is contained in $D(\mathring{U})^{\text{good}}$.
- (C) The following composition is conservative²⁸:

$$\mathcal{C} \hookrightarrow D(U_0) \xrightarrow{p_{U_0}^{+,*}} \text{Pro}(D(U_0^+)) \xrightarrow{i_{U_0}^{+,\dagger}} \text{Pro}(D(U_0^0)).$$

(M) The objects $i^* \circ f_* \circ g^\dagger \circ j_*(\mathcal{F})$ and $f_{0,*} \circ g_0^\dagger \circ i^* \circ j_*(\mathcal{F})$ are contained in $\mathcal{C} \subset \text{Pro}(D(U_0))$, then there are canonical isomorphisms

$$\begin{aligned} i^* \circ f_* \circ g^\dagger \circ j_*(\mathcal{F}) &\simeq f_{0,*} \circ g_0^\dagger \circ i^* \circ j_*(\mathcal{F}), \\ \Psi^{\text{un}} \circ (f)_* \circ (g)^\dagger(\mathcal{F}) &\simeq f_{0,*} \circ g_0^\dagger \circ \Psi^{\text{un}}(\mathcal{F}). \end{aligned}$$

To state and prove the generalization of this result, we need some definitions that generalize those in § 2.2.

Definition 3.1.3. Let $\alpha' := (U' \leftarrow V' \rightarrow W')$ and $\alpha := (U \leftarrow V \rightarrow W)$ be two correspondences of lft prestacks. A 2-morphism $\mathfrak{s} : \alpha' \rightarrow \alpha$ between them is a commutative diagram

$$\begin{array}{ccccc} \alpha' & & U' & \xleftarrow{f'} & V' & \xrightarrow{g'} & W' \\ \downarrow \mathfrak{s} & & \downarrow p & & \downarrow q & & \downarrow r \\ \alpha & & U & \xleftarrow{f} & V & \xrightarrow{g} & W. \end{array}$$

A 2-morphism $\mathfrak{s} : \alpha' \rightarrow \alpha$ is right quasi-Cartesian if the right square in the above diagram is quasi-Cartesian.

Construction 3.1.4. For a right quasi-Cartesian 2-morphism as in Definition 3.1.3, (2.8) induces a natural transformation

$$f_* \circ g^\dagger \circ r_* \rightarrow f_* \circ q_* \circ (g')^\dagger \simeq p_* \circ f'_* \circ (g')^\dagger.$$

Passing to left adjoints, we obtain a natural transformation

$$(3.1) \quad \mathfrak{s}^* : p^* \circ f_* \circ g^\dagger \rightarrow f'_* \circ (g')^\dagger \circ r^*,$$

between functors $\text{Pro}(D(W)) \rightarrow \text{Pro}(D(U'))$, which we refer as the $*$ -transformation associated to \mathfrak{s} .

Example 3.1.5. Let $(\mathcal{Y}, \mathcal{Y}^0, q, i)$ be a retraction (see Definition 2.2.3). The natural transformation $q_* \rightarrow i^*$ in Construction 2.2.5 is the $*$ -transformation associated to the following 2-morphism between correspondences:

$$(3.2) \quad \begin{array}{ccccc} \mathcal{Y}^0 & \xleftarrow{=} & \mathcal{Y}^0 & \xrightarrow{=} & \mathcal{Y}^0 \\ \downarrow \mathfrak{s} & & \downarrow i & & \downarrow i \\ \mathcal{Y}^0 & \xleftarrow{q} & \mathcal{Y} & \xrightarrow{=} & \mathcal{Y}. \end{array}$$

Definition 3.1.6. (1) A right quasi-Cartesian 2-morphism \mathfrak{s} as above is pro-nice for an object $\mathcal{F} \in \text{Pro}(D(W))$ if $\mathfrak{s}^*(\mathcal{F}) : p^* \circ f_* \circ g^\dagger(\mathcal{F}) \rightarrow f'_* \circ (g')^\dagger \circ r^*(\mathcal{F})$ is an isomorphism.

(2) Let $T : \text{Pro}(D(U')) \rightarrow \mathcal{C}$ be any functor. We say \mathfrak{s} is T -pro-nice for \mathcal{F} if $\text{Id}_T \star \mathfrak{s}^*(\mathcal{F}) : T \circ p^* \circ f_* \circ g^\dagger(\mathcal{F}) \rightarrow T \circ f'_* \circ (g')^\dagger \circ r^*(\mathcal{F})$ is an isomorphism (see Notation 0.6.2).

(3) We say \mathfrak{s} is nice for \mathcal{F} if it is pro-nice for \mathcal{F} and $\mathfrak{s}^*(\mathcal{F})$ is a morphism in $D(U')$.

Definition 3.1.7. Let $\alpha := (U \leftarrow V \rightarrow W)$ and $\beta := (W \leftarrow \mathcal{Y} \rightarrow \mathcal{Z})$ be two correspondences of prestacks. Their composition is defined to be $\alpha \circ \beta := (U \leftarrow V \times_W \mathcal{Y} \rightarrow \mathcal{Z})$.

The horizontal and vertical compositions of 2-morphisms between correspondences are defined in the obvious way.

²⁸For instance, this condition is satisfied if $U_0^+ \rightarrow U_0$ is a finite stratification and \mathcal{C} is the full subcategory of D -modules that are constant along each stratum.

The following two lemmas can be proved by diagram chasing. We leave the details to the reader.

Lemma 3.1.8. *Let α , α' and α'' be three correspondences of prestacks. Let $\mathfrak{t} : \alpha'' \rightarrow \alpha'$ and $\mathfrak{s} : \alpha' \rightarrow \alpha$ be two 2-morphisms. We depict them as*

$$\begin{array}{ccccc} \alpha'' & & U'' & \xleftarrow{f''} & V'' & \xrightarrow{g''} & W'' \\ \Downarrow \mathfrak{t} & & \downarrow \mathfrak{t} & & \downarrow m & & \downarrow n \\ \alpha' & & U' & \xleftarrow{f'} & V' & \xrightarrow{g'} & W' \\ \Downarrow \mathfrak{s} & & \downarrow p & & \downarrow q & & \downarrow r \\ \alpha & & U & \xleftarrow{f} & V & \xrightarrow{g} & W. \end{array}$$

Suppose \mathfrak{s} is right quasi-Cartesian. We have:

- (1) $\mathfrak{s} \circ \mathfrak{t}$ is right quasi-Cartesian iff \mathfrak{t} is right quasi-Cartesian.
- (2) Suppose the conditions in (1) are satisfied, then there is a canonical equivalence

$$(\mathfrak{s} \circ \mathfrak{t})^* \simeq (\mathfrak{t}^* \star \mathbf{Id}_{r,*}) \circ (\mathbf{Id}_{l,*} \star \mathfrak{s}^*).$$

Lemma 3.1.9. *Let α , α' , β and β' be four correspondences of prestacks such that $\alpha \circ \beta$ and $\alpha' \circ \beta'$ can be defined. Let $\mathfrak{s} : \alpha' \rightarrow \alpha$ and $\mathfrak{t} : \beta' \rightarrow \beta$ be two 2-morphisms. We depict them as*

$$\begin{array}{c} \begin{array}{ccc} \alpha' & & \beta' \\ \Downarrow \mathfrak{s} & & \Downarrow \mathfrak{t} \\ \alpha & & \beta \end{array} \\ \begin{array}{ccccccccc} U' & \xleftarrow{f'} & V' & \xrightarrow{g'} & W' & \xleftarrow{d'} & \mathcal{Y}' & \xrightarrow{e'} & \mathcal{Z}' \\ \downarrow p & & \downarrow q & & \downarrow r & & \downarrow m & & \downarrow n \\ U & \xleftarrow{f} & V & \xrightarrow{g} & W & \xleftarrow{d} & \mathcal{Y} & \xrightarrow{e} & \mathcal{Z}. \end{array} \end{array}$$

Suppose \mathfrak{s} and \mathfrak{t} are both right quasi-Cartesian. We have

- (1) $\mathfrak{s} \star \mathfrak{t}$ is right quasi-Cartesian.
- (2) There is a canonical equivalence

$$(\mathfrak{s} \star \mathfrak{t})^* \simeq (\mathbf{Id}_{f'_* \circ (g')^!} \star \mathfrak{t}^*) \circ (\mathfrak{s}^* \star \mathbf{Id}_{d_* \circ e^!}).$$

3.1.10. *Axioms.* We are ready to state the generalization of Corollary 3.1.2. Suppose we are given the following data:

- A correspondence of prestacks $\alpha := (U \xleftarrow{f} V \xrightarrow{g} W)$ over \mathbb{A}^1 .
- Objects $\mathring{\mathcal{F}} \in \mathring{D}(W)$ and $\mathcal{F} := j_*(\mathring{\mathcal{F}}) \in D(W)$.
- An extension of α to a correspondence between Braden 4-tuples

$$\alpha_{\text{ext}} := (\alpha, \alpha^+, \alpha^-, \alpha^0) : (U, U^+, U^-, U^0) \leftarrow (V, V^+, V^-, V^0) \rightarrow (W, W^+, W^-, W^0),$$

defined over the base Braden 4-tuple $\text{Br}_{\text{base}} := (\mathbb{A}^1, 0, \mathbb{A}^1, 0)$ (see Example 2.2.22).

- A full subcategory $\mathcal{C} \subset D(U_0)$, where as usual $U_0 := U \times_{\mathbb{A}^1} 0$.

Suppose the above data satisfy the conditions in Corollary 3.1.2 and the following additional axioms:

- (N1) The Braden 4-tuple (W, W^+, W^-, W^0) is $*$ -nice for \mathcal{F} .
- (N2) The Braden 4-tuple (U, U^+, U^-, U^0) is $*$ -nice for $f_* \circ g^!(\mathcal{F})$.
- (N3) The Braden 4-tuple $(W_0, W_0^+, W_0^-, W_0^0)$ is $*$ -nice for $i^*(\mathcal{F})$.
- (N4) The Braden 4-tuple $(U_0, U_0^+, U_0^-, U_0^0)$ is $*$ -nice for $f_{0,*} \circ g_0^! \circ i^*(\mathcal{F})$.

Then taking the unipotent nearby cycles for $\mathring{\mathcal{F}}$ commutes with $!$ -pull- $*$ -push along the correspondence α . More precisely, we have

Theorem 3.1.11. *In the above setting, there are canonical isomorphisms*

$$(3.3) \quad i^* \circ f_* \circ g^! \circ j_*(\mathring{\mathcal{F}}) \simeq f_{0,*} \circ g_0^! \circ i^* \circ j_*(\mathring{\mathcal{F}}),$$

$$(3.4) \quad \Psi^{\text{un}} \circ (f)_* \circ (g)^!(\mathring{\mathcal{F}}) \simeq f_{0,*} \circ g_0^! \circ \Psi^{\text{un}}(\mathring{\mathcal{F}}).$$

Proof. The essence of this proof is diagram chasing on a 4-cube, which we cannot draw on a paper.

By Axioms (G1) and (G2), both sides of (3.3) and (3.4) are well-defined. By (2.2), it suffices to prove the equivalence (3.3). Hence it suffices to show the morphism $\mathfrak{z}^*(\mathcal{F})$ is an isomorphism, i.e., the 2-morphism $\mathfrak{z} : \alpha_0 \rightarrow \alpha$ is nice for \mathcal{F} .

By Axioms (C) and (M), it suffices to prove that \mathfrak{z} is $(i_{U_0^+}^+ \circ p_{U_0^+}^{+,*})$ -pro-nice for \mathcal{F} .

By Axiom (Q), the 2-morphism $\mathfrak{p}^+ : \alpha^+ \rightarrow \alpha$ is right quasi-Cartesian. Hence so is its 0-fiber $\mathfrak{p}_0^+ : \alpha_0^+ \rightarrow \alpha_0$. Consider the commutative diagram

$$\begin{array}{ccc} \alpha_0^+ & \xrightarrow{\mathfrak{p}_0^+} & \alpha_0 \\ \downarrow \mathfrak{z}^+ & & \downarrow \mathfrak{z} \\ \alpha^+ & \xrightarrow{\mathfrak{p}^+} & \alpha. \end{array}$$

By Lemma 3.1.8, it suffices to prove

- (1) \mathfrak{p}_0^+ is pro-nice for $i^*(\mathcal{F})$;
- (2) $\mathfrak{z} \circ \mathfrak{p}_0^+$ is pro-nice for \mathcal{F} .

Note that we have $\mathfrak{z} \circ \mathfrak{p}_0^+ \simeq \mathfrak{p}^+ \circ \mathfrak{z}^+$. Also note that $\mathfrak{z}^+ : \alpha_0^+ \rightarrow \alpha^+$ is an isomorphism (because our Braden 4-tuples are defined over $\text{Br}_{\text{base}} := (\mathbb{A}^1, 0, \mathbb{A}^1, 0)$). Using Lemma 3.1.8 again, we see that (2) can be replaced by

- (2') \mathfrak{p}^+ is pro-nice for \mathcal{F} .

It remains to prove (1) and (2'). We will use Axioms (P1)-(P3) and (N1)-(N2) to prove (2'). One can obtain (1) similarly²⁹ from Axioms (P1)-(P3) and (N3)-(N4).

Consider 2-morphisms \mathfrak{u} , \mathfrak{p}^+ and $\mathfrak{u} \star \mathfrak{p}^+$ depicted as

$$\begin{array}{ccc} \begin{array}{ccccc} U^0 & \xleftarrow{=} & U^0 & \xrightarrow{i_U^+} & U^+ & \xleftarrow{f^+} & V^+ & \xrightarrow{g^+} & W^+ \\ \downarrow i_U^- & & \downarrow i_U^- & & \downarrow p_U^+ & & \downarrow p_V^+ & & \downarrow p_W^+ \\ U^- & \xleftarrow{=} & U^- & \xrightarrow{p_U^-} & U & \xleftarrow{f} & V & \xrightarrow{g} & W \end{array} & & \begin{array}{ccccc} U^0 & \xleftarrow{\text{pr}_1} & U^0 \times_{U^+} V^+ & \xrightarrow{g^+ \circ \text{pr}_2} & W^+ \\ \downarrow i_U^- & & \downarrow (i_U^-, p_V^+) & & \downarrow p_W^+ \\ U^- & \xleftarrow{\text{pr}_1} & U^- \times_U V & \xrightarrow{g \circ \text{pr}_2} & W. \end{array} \\ \mathfrak{u} & & \mathfrak{p}^+ & & \mathfrak{u} \star \mathfrak{p}^+ \end{array}$$

By Lemma 3.1.9, it suffices to prove

- (i) \mathfrak{u} is pro-nice for $f_* \circ g^!(\mathcal{F})$;
- (ii) $\mathfrak{u} \star \mathfrak{p}^+$ is pro-nice for \mathcal{F} .

Note that (i) is implied by (the quasi-Cartesian part of) Axiom (N2). It remains to prove (ii). Consider 2-morphisms \mathfrak{i}^- , \mathfrak{w} and $\mathfrak{i}^- \star \mathfrak{w}$ depicted as

$$\begin{array}{ccc} \begin{array}{ccccc} U^0 & \xleftarrow{f^0} & V^0 & \xrightarrow{g^0} & W^0 & \xleftarrow{=} & W^0 & \xrightarrow{i_W^+} & W^+ \\ \downarrow i_U^- & & \downarrow i_V^- & & \downarrow i_W^- & & \downarrow i_W^- & & \downarrow p_W^+ \\ U^- & \xleftarrow{f^-} & V^- & \xrightarrow{g^-} & W^- & \xleftarrow{=} & W^- & \xrightarrow{p_W^-} & W \end{array} & & \begin{array}{ccccc} U^0 & \xleftarrow{f^0} & V^0 & \xrightarrow{i_W^+ \circ g^0} & W^+ \\ \downarrow i_U^- & & \downarrow i_V^- & & \downarrow p_W^+ \\ U^- & \xleftarrow{f^-} & V^- & \xrightarrow{g^-} & W. \end{array} \\ \mathfrak{i}^- & & \mathfrak{w} & & \mathfrak{i}^- \star \mathfrak{w} \end{array}$$

By Axioms (P1) and (P2), $\mathfrak{i}^- \star \mathfrak{w}$ is nil-isomorphic to $\mathfrak{u} \star \mathfrak{p}^+$. By Lemma 3.1.9 again, it suffices to prove

- (a) \mathfrak{w} is pro-nice for \mathcal{F} ;
- (b) \mathfrak{i}^- is pro-nice for $p_W^{-!}(\mathcal{F})$.

Note that (a) is implied by (the quasi-Cartesian part of) (N1). It remains to prove (b). Consider the 2-morphism (3.2) associated to the retraction (U^-, U^0) . We denote it by \mathfrak{c}_U . Similarly we define \mathfrak{c}_W . By Axiom (P3), $\mathfrak{c}_U \star \mathfrak{i}^-$ is nil-isomorphic³⁰ to $\mathbf{Id}_{\alpha_0} \star \mathfrak{c}_W$. Using Lemma 3.1.9 again, we reduce (b) to (the retraction part of) Axioms (N1) and (N2) (because of Example 3.1.5).

²⁹Note that the 0-fiber versions of Axioms (P1)-(P3) are implied by themselves.

³⁰We ask the reader to pardon us for not drawing these compositions.

□[Theorem 3.1.11]

3.2. Two auxiliary results. In this subsection, we prove two results which play key technical roles in our proofs of the main theorems. Namely, they serve respectively as Axioms (C) and (M) in § 3.1.10.

For $\lambda \in \Lambda_{G,P}$, there is a closed sub-indscheme $\leq_\lambda \text{Gr}_{G,I}$ of $\text{Gr}_{G,I}$ whose field-valued points are the union of the field-valued points contained in strata $\text{Gr}_{P,I}^\mu$ such that $\mu \leq \lambda$ (see Proposition C.3.2 for its definition). As explained in § D.2.4, the $\mathcal{L}U_I$ -action on $\text{Gr}_{G,I}$ preserves $\leq_\lambda \text{Gr}_{G,I}$. Hence we have a fully faithful functor

$$D(\leq_\lambda \text{Gr}_{G,I})^{\mathcal{L}U_I} \hookrightarrow D(\text{Gr}_{G,I})^{\mathcal{L}U_I}.$$

Similarly, for $\delta \in \Lambda_{G,P}$, the closed subscheme $\text{diff}_{\leq \delta} \text{Gr}_{G \times G, I}$ of $\text{Gr}_{G \times G, I}$ (see Corollary 2.5.11) is preserved by the $\mathcal{L}(U \times U^-)_I$ -action on $\text{Gr}_{G \times G, I}$. Hence we have a fully faithful functor

$$D(\text{diff}_{\leq \delta} \text{Gr}_{G \times G, I})^{\mathcal{L}(U \times U^-)_I} \hookrightarrow D(\text{Gr}_{G \times G, I})^{\mathcal{L}(U \times U^-)_I}.$$

We have:

Lemma 3.2.1. (1) For $\lambda \in \Lambda_{G,P}$, the following composition is conservative

$$(3.5) \quad D(\leq_\lambda \text{Gr}_{G,I})^{\mathcal{L}U_I} \hookrightarrow D(\text{Gr}_{G,I})^{\mathcal{L}U_I} \hookrightarrow D(\text{Gr}_{G,I}) \xrightarrow{\mathbf{p}_I^{+,*}} \text{Pro}(D(\text{Gr}_{P,I})) \xrightarrow{\mathbf{i}_I^{+!}} \text{Pro}(D(\text{Gr}_{M,I})).$$

(2) For $\delta \in \Lambda_{G,P}$, the following composition is conservative

$$D(\text{diff}_{\leq \delta} \text{Gr}_{G \times G, I})^{\mathcal{L}(U \times U^-)_I} \hookrightarrow D(\text{Gr}_{G \times G, I})^{\mathcal{L}(U \times U^-)_I} \hookrightarrow D(\text{Gr}_{G \times G, I}) \xrightarrow{* \text{-pullback}} \text{Pro}(D(\text{Gr}_{P \times P^-, I})) \xrightarrow{! \text{-pullback}} \text{Pro}(D(\text{Gr}_{M \times M, I})).$$

Warning 3.2.2. We warn that (1) would be false if one replaces $\leq_\lambda \text{Gr}_{G,I}$ by the entire $\text{Gr}_{G,I}$. For example, using Braden's theorem, it is easy to see the dualizing D -module $\omega_{\text{Gr}_{G,I}}$ is sent to zero by that composition because the fibers of $\text{Gr}_{P,I} \rightarrow \text{Gr}_{M,I}$ are infinitely dimensional.

Proof. We will prove (1). The proof for (2) is similar.

Consider the \mathbb{G}_m -action on $\text{Gr}_{G,I}$ in Example 1.2.14. By Lemma 2.3.4(1), Braden's theorem and the contraction principle, the composition (3.5) is isomorphic to

$$D(\leq_\lambda \text{Gr}_{G,I})^{\mathcal{L}U_I} \hookrightarrow D(\text{Gr}_{G,I})^{\mathcal{L}U_I} \hookrightarrow D(\text{Gr}_{G,I}) \xrightarrow{\mathbf{p}_I^{-!}} D(\text{Gr}_{P^-, I}) \xrightarrow{\mathbf{q}_I^{-,*}} D(\text{Gr}_{M, I}) \hookrightarrow \text{Pro}(D(\text{Gr}_{M, I})).$$

Hence by Lemma 2.3.4(3), it is also isomorphic to

$$D(\leq_\lambda \text{Gr}_{G,I})^{\mathcal{L}U_I} \hookrightarrow D(\text{Gr}_{G,I})^{\mathcal{L}U_I} \xrightarrow{\mathbf{p}_I^{+,*,\text{inv}}} D(\text{Gr}_{P,I})^{\mathcal{L}U_I} \simeq D(\text{Gr}_{M,I}) \hookrightarrow \text{Pro}(D(\text{Gr}_{M,I})).$$

Then we are done by Lemma D.3.2.

□[Lemma 3.2.1]

Lemma 3.2.3. The object $i^* \circ j_* \circ \Gamma_{I,*}(\omega_{\text{Gr}_{G,I} \times \mathbb{G}_m}) \in D(\text{Gr}_{G \times G, I})$ is contained in

$$D(\text{diff}_{\leq 0} \text{Gr}_{G \times G, I})^{\mathcal{L}(U \times U^-)_I} \subset D(\text{Gr}_{G \times G, I})^{\mathcal{L}(U \times U^-)_I} \subset D(\text{Gr}_{G \times G, I}).$$

Proof. By Remark 2.4.8, $i^* \circ j_* \circ \Gamma_{I,*}(\omega_{\text{Gr}_{G,I} \times \mathbb{G}_m})$ is contained in $D(\text{Gr}_{G \times G, I})^{\mathcal{L}(U \times U^-)_I}$. It remains to show it is also contained in $D(\text{diff}_{\leq 0} \text{Gr}_{G \times G, I}) \subset D(\text{Gr}_{G \times G, I})$. By Lemma 1.2.27, the support of this object is contained in $\text{VinGr}_{G,I}|_{C_P} \hookrightarrow \text{Gr}_{G \times G, I}$. Hence we are done by Corollary 2.5.11.

□[Lemma 3.2.3]

3.3. Warm-up: restriction to the defect-free locus. Recall (see Lemma 1.2.27) that we have an identification

$${}_0\text{VinGr}_{G,I}^\gamma \simeq \text{Gr}_{\tilde{G}^\gamma,I}$$

as locally closed sub-indscheme of

$$\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I} \times \mathbb{A}^1 \simeq \text{Gr}_{G \times G,I} \times \mathbb{A}^1.$$

Note that the 0-fiber of $\text{Gr}_{\tilde{G}^\gamma,I}$ is $\text{Gr}_{P \times_M P^-,I}$, which is an open sub-indscheme of $\text{VinGr}_{G,I}|_{C_P}$.

Consider the map ${}_0\text{VinGr}_{G,I}^\gamma \rightarrow \mathbb{A}^1$. Let ${}_0\Psi_{\gamma,I,\text{Vin}}$ (resp. ${}_0\Psi_{\gamma,I,\text{Vin}}^{\text{un}}$) be the full (resp. unipotent) nearby cycles sheaf of the dualizing D-module for this family.

Also consider the map $\mathbb{A}^1 \rightarrow \mathbb{A}^1$. Let Ψ_{triv} (resp. $\Psi_{\text{triv}}^{\text{un}}$) be the full (resp. unipotent) nearby cycles sheaf of the dualizing D-module for this family. It is well-known that $\Psi_{\text{triv}}^{\text{un}} \simeq \Psi_{\text{triv}} \simeq k[1]$. We have

Proposition 3.3.1. *The maps*

$${}_0\Psi_{\gamma,I,\text{Vin}} \rightarrow \omega \otimes \Psi_{\text{triv}} \simeq \omega[1] \quad \text{and} \quad {}_0\Psi_{\gamma,I,\text{Vin}}^{\text{un}} \rightarrow \omega \otimes \Psi_{\text{triv}}^{\text{un}} \simeq \omega[1]$$

are isomorphisms, where ω is the dualizing D-module on ${}_0\text{VinGr}_{G,I}|_{C_P}$.

Proof. By Proposition 1.3.4 (which we have already proved in § 2.4) and the fact that taking (unipotent) nearby cycles commutes with open restrictions, we have ${}_0\Psi_{\gamma,I,\text{Vin}}^{\text{un}} \simeq {}_0\Psi_{\gamma,I,\text{Vin}}$. Hence it is enough to prove the claim for the unipotent nearby cycles.

We equip ${}_0\text{VinGr}_{G,I}^\gamma$ with the \mathbb{G}_m -action in § 2.5.14. We also equip \mathbb{A}^1 with the \mathbb{G}_m -action given by $s \cdot t := s^{-2}t$. Then we are done by applying Corollary 3.1.2 to

- the integer $n = -2$;
- the correspondence $({}_0\text{VinGr}_{G,I}^\gamma \xleftarrow{\circ} {}_0\text{VinGr}_{G,I}^\gamma \rightarrow \mathbb{A}^1)$;
- the object $\overset{\circ}{\mathcal{F}} := \omega_{\text{Gr}_{G,I} \times \mathbb{G}_m}$;
- the subcategory $\text{D}({}_0\text{VinGr}_{G,I}|_{C_P})^{\mathcal{L}(U \times U^-)_I} \subset \text{D}({}_0\text{VinGr}_{G,I}|_{C_P})$ (see Remark 1.2.28).

Indeed, Axioms (P1-P3) and (Q) follows from Proposition 2.5.15. Axioms (G1) and (G2) are obvious because $\overset{\circ}{\mathcal{F}}$ is regular ind-holonomic. Axiom (C) follows from Lemma 3.2.1(2) and Lemma 2.5.11. Axiom (M) follows from Lemma 3.2.3.

□[Proposition 3.3.1]

3.4. Warm-up: factorization.

3.4.1. Factorization of the algebraic players. We first review the factorization structures on the algebraic players $\text{D}(\text{Gr}_G)^{\mathcal{L}U}$ and $\text{D}(\text{Gr}_G)_{\mathcal{L}U}$.

As one would expect (using Lemma B.1.8(2), Corollary 2.3.6 and Remark 2.3.7), the factorization structures on $I \rightsquigarrow \text{D}(\text{Gr}_{G,I}), \text{D}(\text{Gr}_{P,I})$ induces factorization structures on

$$I \rightsquigarrow \text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I}, \text{D}(\text{Gr}_{G,I})_{\mathcal{L}U_I}, \text{D}(\text{Gr}_{P,I})^{\mathcal{L}U_I}, \text{D}(\text{Gr}_{P,I})_{\mathcal{L}U_I},$$

such that the assignments of functors $I \rightsquigarrow \mathbf{obl}^{\mathcal{L}U_I}, \mathbf{pr}_{\mathcal{L}U_I}$ are factorizable functors. Moreover, by the base-change isomorphisms, the functors in § 2.3.1 factorizes.

By its proof, the equivalences in Lemma 2.3.2 factorizes.

3.4.2. Factorization of the nearby cycles. Let $I \twoheadrightarrow J$ be a surjection between non-empty finite sets. Consider the corresponding diagonal embedding $\Delta_{J \rightarrow I} : X^J \rightarrow X^I$. For any prestack \mathcal{Z} over X^I , we abuse notation by denoting the closed embedding $\mathcal{Z} \times_{X^I} X^J \rightarrow \mathcal{Z}$ by the same symbol $\Delta_{J \rightarrow I}$.

By Remark 1.2.25, the assignment $I \rightsquigarrow (\Gamma_I : \text{Gr}_{G,I} \times \mathbb{G}_m \hookrightarrow \text{Gr}_{G \times G,I} \times \mathbb{G}_m)$ factorizes in family (relative to \mathbb{G}_m). Hence we have the base-change isomorphism:

$$\Gamma_{J,*}(\omega_{\text{Gr}_{G,J} \times \mathbb{G}_m}) \simeq \Delta_{J \rightarrow I}^! \circ \Gamma_{I,*}(\omega_{\text{Gr}_{G,I} \times \mathbb{G}_m}),$$

which induces a morphism

$$\Psi_{\gamma,J} \rightarrow \Delta_{J \rightarrow I}^!(\Psi_{\gamma,I}).$$

Proposition 3.4.3. *The above morphism $\Psi_{\gamma,J} \rightarrow \Delta^!(\Psi_{\gamma,I})$ is an isomorphism.*

Proof. Consider the \mathbb{G}_m -action on $\mathrm{Gr}_{G \times G, I} \times \mathbb{A}^1$ and $\mathrm{Gr}_{G \times G, J} \times \mathbb{A}^1$ defined in Corollary 2.5.5. We apply Corollary 3.1.2 to

- the integer $n = -2$;
- the correspondence $(\mathrm{Gr}_{G \times G, J} \times \mathbb{A}^1 \xleftarrow{=} \mathrm{Gr}_{G \times G, J} \times \mathbb{A}^1 \rightarrow \mathrm{Gr}_{G \times G, I} \times \mathbb{A}^1)$;
- the object $\mathring{\mathcal{F}} := \Gamma_{I,*}(\omega_{\mathrm{Gr}_{G,I} \times \mathbb{G}_m})$;
- the subcategory $\mathrm{D}(\mathrm{diff} \leq 0 \mathrm{Gr}_{G \times G, J})^{\mathcal{L}(U \times U^-)_J} \subset \mathrm{D}(\mathrm{Gr}_{G \times G, J})$.

Axioms (P1-P3) and (Q) follows from Construction 2.5.6. Axioms (G1) and (G2) are obvious because $\mathring{\mathcal{F}}$ is regular ind-holonomic. Axiom (C) is just Lemma 3.2.1(2). Axiom (M) is just Lemma 3.2.3.

□[Proposition 3.4.3]

Corollary 3.4.4. *The assignment*

$$I \rightsquigarrow \Psi_{\gamma,I}[-1] \in \mathrm{D}(\mathrm{Gr}_{G \times G, I})^{\mathcal{L}(U \times U^-)_I}$$

gives a factorization algebra $\Psi[-1]_{\gamma, \mathrm{fact}}$ in the factorization category $\mathrm{D}(\mathrm{Gr}_{G \times G})_{\mathrm{fact}}^{\mathcal{L}(U \times U^-)}$.

Proof. By Proposition 3.4.3, the assignment $I \rightsquigarrow \Psi_{\gamma,I}[-1]$ is compatible with diagonal restrictions. It has the factorization property because of the Künneth formula for the nearby cycles.

□[Corollary 3.4.4]

Remark 3.4.5. It follows from the proof of Proposition 2.4.1(2) that $\Psi[-1]_{\gamma, \mathrm{fact}}$ can be upgraded to a factorization algebra in the factorization category $(\mathrm{D}(\mathrm{Gr}_{G \times G})_{\mathrm{fact}}^{\mathcal{L}(U \times U^-)})^{\mathcal{L}^+ M}$. Moreover, one can show that $\Psi[-1]_{\gamma, \mathrm{fact}}$ is a *unital* factorization algebra. We do not need these facts in this paper, hence we do not provide proofs.

3.5. Proof of Theorem 1.3.6. We prove Theorem 1.3.6 (and Corollary 1.4.3) in this subsection. To simplify the notations, we denote all unipotent nearby cycles functors by Ψ^{un} . By symmetry, it is enough to prove (2).

3.5.1. Preparation. Consider the diagonal embedding

$$\Delta : \mathrm{Gr}_{G, I} \times_{X^I} \mathrm{Gr}_{G, I} \times \mathbb{A}^1 \hookrightarrow \mathrm{Gr}_{G, I} \times \mathrm{Gr}_{G, I} \times_{X^I} \mathrm{Gr}_{G, I} \times \mathbb{A}^1, (x, y, t) \mapsto (x, x, y, t).$$

We have the following diagram

$$\begin{array}{ccccc} \mathrm{Gr}_{G, I} \times \mathbb{G}_m & \xrightarrow{\Gamma^\sigma} & \mathrm{Gr}_{G, I} \times \mathrm{Gr}_{G, I} \times \mathbb{G}_m & \xrightarrow{\mathrm{pr}_1} & \mathrm{Gr}_{G, I} \\ & & \downarrow \Gamma_I^\sigma & & \downarrow \mathrm{Id} \times \Gamma_I^\sigma \\ \mathrm{Gr}_{G, I} \times \mathbb{G}_m & \xleftarrow{\mathrm{pr}_{23}} & \mathrm{Gr}_{G, I} \times_{X^I} \mathrm{Gr}_{G, I} \times \mathbb{G}_m & \xrightarrow{\Delta^0} & \mathrm{Gr}_{G, I} \times \mathrm{Gr}_{G, I} \times_{X^I} \mathrm{Gr}_{G, I} \times \mathbb{G}_m \end{array}$$

where Γ^σ and Γ_I^σ are given by the formula³¹: $(x, t) \mapsto (t \cdot x, x, t)$, the maps pr_1 and pr_{23} are the projections onto the factors indicated by the subscripts. Note that the square in this diagram is Cartesian.

We also have the following correspondence:

$$\mathrm{Gr}_{G, I} \times_{X^I} \mathrm{Gr}_{G, I} \xleftarrow{\mathrm{pr}_2} \mathrm{Gr}_{G, I} \times_{X^I} \mathrm{Gr}_{G, I} \xrightarrow{\Delta^0} \mathrm{Gr}_{G, I} \times \mathrm{Gr}_{G, I} \times_{X^I} \mathrm{Gr}_{G, I}.$$

We claim:

- (i) the functor $\Psi^{\mathrm{un}}[-1] \circ \mathrm{pr}_{23,*} \circ (\Delta^0)^! \circ (\mathrm{Id} \times \Gamma_I^\sigma)_* \circ \mathrm{pr}_1^!$ is well-defined on $\mathrm{D}(\mathrm{Gr}_{G, I})^{\mathcal{L}U_I}$, and is isomorphic to $\mathbf{oblv}^{\mathcal{L}U_I}$.

³¹Note that the order is different from that for Γ_I .

(ii) the functor $\Psi^{\text{un}}[-1] \circ (\mathbf{Id} \times \Gamma_I^\sigma)_* \circ \text{pr}_1^!$ is well-defined, and we have

$$\text{pr}_{2,*} \circ \Delta_0^! \circ \Psi^{\text{un}}[-1] \circ (\mathbf{Id} \times \Gamma_I^\sigma)_* \circ \text{pr}_1^! \simeq F_{\mathcal{K}^\sigma}.$$

Note that these two claims translate the theorem into a statement that taking certain unipotent nearby cycles commutes with certain pull-push functors (see (3.8) below).

3.5.2. *Proof of (ii).* By Lemma 3.5.3 below, for any $\mathcal{G} \in \text{D}(\text{Gr}_{G,I})$, the object

$$(\mathbf{Id} \times \Gamma_I^\sigma)_* \circ \text{pr}_1^!(\mathcal{G}) \simeq \mathcal{G} \boxtimes \Gamma_{I,*}^\sigma(\omega_{\text{Gr}_{G,I} \times \mathbb{G}_m})$$

is contained in $\text{D}(\text{Gr}_{G,I} \times \text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I} \times \mathbb{G}_m)^{\text{good}}$, and we have

$$\Psi^{\text{un}}[-1] \circ (\mathbf{Id} \times \Gamma_I^\sigma)_* \circ \text{pr}_1^!(\mathcal{G}) \simeq \Psi^{\text{un}}[-1](\mathcal{G} \boxtimes \Gamma_{I,*}^\sigma(\omega_{\text{Gr}_{G,I} \times \mathbb{G}_m})) \simeq \mathcal{G} \boxtimes \Psi^{\text{un}}[-1] \circ \Gamma_{I,*}^\sigma(\omega_{\text{Gr}_{G,I} \times \mathbb{G}_m}) \simeq \mathcal{G} \boxtimes \mathcal{K}^\sigma.$$

Then (ii) follows from the definition of $F_{\mathcal{K}^\sigma}$.

Lemma 3.5.3. *Let Z be an ind-finite type indscheme over \mathbb{A}^1 , and Y be any ind-finite type indscheme. Let $\mathcal{F} \in \text{D}(\mathring{Z})$ and $\mathcal{G} \in \text{D}(Y)$. Suppose the $!$ -restriction of \mathcal{F} on any finite type closed subscheme of \mathring{Z} is holonomic, then the object $\mathcal{G} \boxtimes \mathcal{F}$ is contained in $\text{D}(Y \times \mathring{Z})^{\text{good}}$ and we have $j_!(\mathcal{G} \boxtimes \mathcal{F}) \simeq \mathcal{G} \boxtimes j_!(\mathcal{F})$.*

Proof. (Sketch) Let us first assume Y and Z to be finite type schemes. When \mathcal{G} is compact (i.e. coherent), the claim follows from the Verdier duality. The general case can be obtained from this by a standard devissage argument.

□[Lemma 3.5.3]

3.5.4. *Proof of (i).* Consider the automorphism α on $\text{Gr}_{G,I} \times \mathbb{G}_m$ given by $(x, t) \mapsto (t \cdot x, t)$. By the base-change isomorphisms, the functor in (i) is isomorphic to

$$\Psi^{\text{un}} \circ \alpha^!(\mathcal{G} \boxtimes \omega_{\mathbb{G}_m})[-1] \simeq k_{C^\bullet(\mathbb{G}_m)} \otimes (i^* \circ j_* \circ \alpha^!(\mathcal{G} \boxtimes \omega_{\mathbb{G}_m}))[-2].$$

Suppose \mathcal{G} is contained in $\text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I}$. By Lemma 2.3.4(1), \mathcal{G} is unipotently \mathbb{G}_m -monodromic. Therefore $\mathcal{G} \boxtimes \omega_{\mathbb{G}_m} \in \text{D}(\text{Gr}_{G,I} \times \mathbb{G}_m)$ is unipotently \mathbb{G}_m -monodromic for the diagonal action, which implies $\alpha^!(\mathcal{G} \boxtimes \omega_{\mathbb{G}_m}) \in \text{D}(\text{Gr}_{G,I} \times \mathbb{G}_m)$ is unipotently \mathbb{G}_m -monodromic for the \mathbb{G}_m -action on the second factor. Hence we can apply the contraction principle to $j_* \circ \alpha^!(\mathcal{G} \boxtimes \omega_{\mathbb{G}_m})$ and obtain

$$(3.6) \quad i^* \circ j_* \circ \alpha^!(\mathcal{G} \boxtimes \omega_{\mathbb{G}_m})[-2] \simeq \text{pr}_{1,*} \circ j_* \circ \alpha^!(\mathcal{G} \boxtimes \omega_{\mathbb{G}_m})[-2],$$

where $\text{pr}_1 : \text{Gr}_{G,I} \times \mathbb{A}^1 \rightarrow \text{Gr}_{G,I}$ is the projection. In particular, the LHS of (3.6) is well-defined. Hence the functor in (i) is well-defined on \mathcal{G} .

By the base-change isomorphisms, the RHS of (3.6) is isomorphic to $\text{act}_*(\mathcal{G} \boxtimes k_{\mathbb{G}_m})$, where $\text{act} : \text{Gr}_{G,I} \times \mathbb{G}_m \rightarrow \text{Gr}_{G,I}$ is the action map. It remains to prove

$$k_{C^\bullet(\mathbb{G}_m)} \otimes \text{act}_*(\mathcal{G} \boxtimes k_{\mathbb{G}_m}) \simeq \mathcal{G}.$$

This formula is well-known for any $\mathcal{G} \in \text{D}(\text{Gr}_{G,I})^{\mathbb{G}_m\text{-unim}}$. For completeness, we provide a formal proof.

Consider the adjoint pair

$$\mathbf{oblv} : \text{D}(\text{Gr}_{G,I})^{\mathbb{G}_m} \rightleftarrows \text{D}(\text{Gr}_{G,I}) : \mathbf{Av}_*.$$

We have $\text{act}_*(\mathcal{G} \boxtimes k_{\mathbb{G}_m}) \simeq \mathbf{oblv} \circ \mathbf{Av}_*(\mathcal{G})$. Write T for the co-monad $\mathbf{oblv} \circ \mathbf{Av}_*$ and $\epsilon : T \rightarrow \mathbf{Id}$ for its counit. Using the base-change isomorphism, we have $T \circ T \simeq C^\bullet(\mathbb{G}_m) \otimes T$. Now consider the simplicial object that defines $e \otimes_{C^\bullet(\mathbb{G}_m)} \text{act}_*(\mathcal{G} \boxtimes k_{\mathbb{G}_m})$. It follows from definition that it is isomorphic to the simplicial object

$$T(\mathcal{G}) \begin{array}{c} \longleftarrow \\ \rightleftarrows \\ \longrightarrow \end{array} T \circ T(\mathcal{G}) \begin{array}{c} \longleftarrow \\ \rightleftarrows \\ \longrightarrow \end{array} T \circ T \circ T(\mathcal{G}) \quad \dots,$$

where all the rightward maps are induced by the co-multiplication on T and all the leftward maps are induced by the counit of T . This simplicial object has an augmentation

$$(3.7) \quad \mathcal{G} \longleftarrow T(\mathcal{G}) \begin{array}{c} \longleftarrow \\ \rightleftarrows \\ \longrightarrow \end{array} T \circ T(\mathcal{G}) \begin{array}{c} \longleftarrow \\ \rightleftarrows \\ \longrightarrow \end{array} T \circ T \circ T(\mathcal{G}) \quad \dots.$$

It suffices to prove that this augmentation exhibits \mathcal{G} as the geometric realization of the simplicial diagram. Since $D(\mathrm{Gr}_{G,I})^{\mathbb{G}_m\text{-unm}} \subset D(\mathrm{Gr}_{G,I})$ is generated under colimits and shifts by the image of **oblv**. It suffices to prove (3.7) is a colimit diagram for any \mathcal{G} contained in the essential image of **oblv**. However, in this case, this augmented simplicial diagram splits. This proves (i).

3.5.5. *Proof of Theorem 1.3.6.* By (i) and (ii), it remains to prove that for any \mathcal{G} contained in $D(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}$, the natural map

$$(3.8) \quad \Psi^{\mathrm{un}} \circ \mathrm{pr}_{23,*} \circ (\overset{\circ}{\Delta})^! \circ (\mathbf{Id} \times \Gamma_I^\sigma)_* \circ \mathrm{pr}_1^!(\mathcal{G}) \rightarrow \mathrm{pr}_{2,*} \circ \Delta_0^! \circ \Psi^{\mathrm{un}} \circ (\mathbf{Id} \times \Gamma_I^\sigma)_* \circ \mathrm{pr}_1^!(\mathcal{G})$$

is an isomorphism³².

Note that it is enough to prove this for a set of compact generators \mathcal{G} of $D(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}$. Hence by Lemma 2.3.4(2) and (4), we can assume that \mathcal{G} is supported on $\leq_\lambda \mathrm{Gr}_{G,I}$ for some $\lambda \in \Lambda_{G,P}$.

We apply Corollary 3.1.2 to

- the integer $n = -1$;
- the correspondence

$$(U \leftarrow V \rightarrow W) := (\mathrm{Gr}_{G,I} \times \mathbb{A}^1 \xleftarrow{\mathrm{Pr}_{23}} \mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I} \times \mathbb{A}^1 \xrightarrow{\Delta} \mathrm{Gr}_{G,I} \times \mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I} \times \mathbb{A}^1),$$

where \mathbb{G}_m acts on W by $s \cdot (x, t, z, t) := (x, y, s \cdot z, s^{-1}t)$, on V by restriction, and on U by $s \cdot (z, t) := (s \cdot z, s^{-1}t)$.

- the object $\overset{\circ}{\mathcal{F}} := (\mathbf{Id} \times \Gamma_I^\sigma)_* \circ \mathrm{pr}_1^!(\mathcal{G})$;
- the subcategory $D(\leq_\lambda \mathrm{Gr}_{G,I})^{\mathcal{L}U_I} \subset D(\mathrm{Gr}_{G,I})$.

Axioms (P1-P3) and (Q) can be checked directly using Example 1.2.14. Axioms (G1) and (G2) follow from (i) and (ii). Axiom (C) is just Lemma 3.2.1(1). It remains to check Axiom (M).

Write $\mathcal{F} := j_*(\overset{\circ}{\mathcal{F}})$. Unwinding the definition, we only need to prove that both sides of

$$(3.9) \quad i^* \circ \mathrm{pr}_{23,*} \circ \Delta^!(\mathcal{F}) \rightarrow \mathrm{pr}_{2,*} \circ \Delta_0^! \circ i^*(\mathcal{F})$$

are contained in the full subcategory $D(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}$, and are supported on $\leq_\lambda \mathrm{Gr}_{G,I}$.

For the LHS of (3.9), in § 3.5.4, we proved that it is isomorphic to $\mathrm{act}_*(\mathcal{G} \boxtimes \omega_{\mathbb{G}_m})$. Since each stratum $\mu \mathrm{Gr}_{G,I} \simeq (\mathrm{Gr}_{P,I}^\mu)_{\mathrm{red}}$ is preserved by the \mathbb{G}_m -action on $\mathrm{Gr}_{G,I}$, so is $\leq_\lambda \mathrm{Gr}_{G,I}$. Hence $\mathrm{act}_*(\mathcal{G} \boxtimes \omega_{\mathbb{G}_m})$ is supported on $\leq_\lambda \mathrm{Gr}_{G,I}$ because \mathcal{G} is so. To prove it is contained in $D(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}$, by Lemma 2.3.3, it suffices to prove that its !-pullback to $\mathrm{Gr}_{P,I}$ is contained in $D(\mathrm{Gr}_{P,I})^{\mathcal{L}U_I}$. Hence it suffices to show !-pull-* -push along the correspondence

$$\mathrm{Gr}_{P,I} \xleftarrow{\mathrm{act}} \mathrm{Gr}_{P,I} \times \mathbb{G}_m \xrightarrow{\mathrm{Pr}_1} \mathrm{Gr}_{P,I}$$

preserves the subcategory $D(\mathrm{Gr}_{P,I})^{\mathcal{L}U_I} \subset D(\mathrm{Gr}_{P,I})$. However, this follows from Lemma 2.3.2(1) and the fact that the \mathbb{G}_m -action on $\mathrm{Gr}_{P,I}$ contracts it onto $\mathrm{Gr}_{M,I}$.

To prove that the RHS of (3.9) is contained in $D(\mathrm{Gr}_{G,I})^{\mathcal{L}U_I}$, it suffices to show that

$$i^*(\mathcal{F}) \in D(\mathrm{Gr}_{G,I} \times \mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I})^{\mathcal{L}U_I,3},$$

where 3 indicates that we are considering the $\mathcal{L}U_I$ -action on the third factor. We have

$$i^*(\mathcal{F}) \simeq \mathcal{G} \boxtimes i^* \circ j_* \circ \Gamma_I^\sigma(\omega_{\mathrm{Gr}_{G,I} \times \mathbb{G}_m}).$$

Hence it suffices to prove that

$$i^* \circ j_* \circ \Gamma_I^\sigma(\omega_{\mathrm{Gr}_{G,I} \times \mathbb{G}_m}) \in D(\mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I})^{\mathcal{L}U_I,2},$$

³²Although $\Psi^{\mathrm{un}} \circ \mathrm{pr}_{23,*} \simeq \mathrm{pr}_{2,*} \circ \Psi^{\mathrm{un}}$ because pr_{23} is ind-proper, we do *not* know if the stronger claim

$$\Psi^{\mathrm{un}} \circ (\overset{\circ}{\Delta})^! \circ (\mathbf{Id} \times \Gamma_I^\sigma)_* \circ \mathrm{pr}_1^!(\mathcal{G}) \simeq \Delta_0^! \circ \Psi^{\mathrm{un}} \circ (\mathbf{Id} \times \Gamma_I^\sigma)_* \circ \mathrm{pr}_1^!(\mathcal{G})$$

is correct. The reason is that the support of the LHS might be the entire $\mathrm{Gr}_{G \times G, I}$ hence Axiom (M) is not satisfied (see Warning 3.2.2).

or equivalently

$$i^* \circ j_* \circ \Gamma_I(\omega_{\text{Gr}_{G,I} \times \mathbb{G}_m}) \in \text{D}(\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I})^{\mathcal{L}U_I,1}.$$

However, this is just Remark 2.4.8.

For the claim about the support of the RHS, by the base-change isomorphisms, it suffices to prove the following statement. If a stratum $\text{Gr}_{P^-,I}^{\mu_1} \times_{X^I} \text{Gr}_{P^-,I}^{\mu_2}$ has non-empty intersection with both $\sigma(\text{VinGr}_{G,I}|_{C_P})$ and $\leq_\lambda \text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I}$, then $\mu_2 \leq \lambda$. By Corollary 2.5.10, the first non-empty intersection implies $\mu_2 \leq \mu_1$. On the other hand, the second non-empty intersection implies $\mu_1 \leq \lambda$ by definition. Hence we have $\mu_2 \leq \lambda$ as desired. This finishes the proof of the theorem. \square [Theorem 1.3.6]

Remark 3.5.6. One can similarly prove the main theorem in the constructible contexts.

3.5.7. *Proof of Corollary 1.4.3.* By (3.8), we have the following natural transformation

$$\Psi^{\text{un}} \circ \text{pr}_{23,*} \circ (\Delta^\circ)^\dagger \circ (\text{Id} \times \Gamma_I^\sigma)_* \circ \text{pr}_1^\dagger \rightarrow \text{pr}_{2,*} \circ \Delta_0^\dagger \circ \Psi^{\text{un}} \circ (\text{Id} \times \Gamma_I^\sigma)_* \circ \text{pr}_1^\dagger$$

between two functors $\text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I} \rightarrow \text{D}(\text{Gr}_{G,I})$. By Proposition B.8.1, both sides can be upgraded to \mathcal{L}^+M_I -linear functors. It follows from construction that the above natural transformation is compatible with these \mathcal{L}^+M_I -linear structures.

It remains to prove that the isomorphisms in § 3.5.1(i) and (ii) are compatible with the \mathcal{L}^+M_I -linear structures. This is tautological for (ii) because both \mathcal{L}^+M_I -linear structures come from Proposition B.8.1 (see § 2.4.7). For the isomorphism in (i), unwinding the proof in § 3.5.4, it suffices to show that (3.7) induces a diagram in $\text{Funct}_{\mathcal{L}^+M_I}(\text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I}, \text{D}(\text{Gr}_{G,I}))$:

$$\text{oblv}^{\mathcal{L}U_I} \longleftarrow T \circ \text{oblv}^{\mathcal{L}U_I} \begin{array}{c} \longleftarrow \\ \longleftarrow \\ \longleftarrow \end{array} T \circ T \circ \text{oblv}^{\mathcal{L}U_I} \begin{array}{c} \longleftarrow \\ \longleftarrow \\ \longleftarrow \\ \longleftarrow \\ \longleftarrow \end{array} T \circ T \circ T \circ \text{oblv}^{\mathcal{L}U_I} \quad \dots$$

But this is obvious. \square [Corollary 1.4.3]

3.6. **Generalization to the (affine) flag variety.** Our main theorems (except for the local-to-global compatibility) remain valid if we replace $\text{Gr}_{G,I}$ by the affine flag variety Fl_G (resp. the finite flag variety Fl_f), and correspondingly replace $\text{VinGr}_{G,I}^\gamma$ by the closure of the Drinfeld-Gaitsgory interpolations. This is because in the proof of the main theorems we only use the following properties of $\text{Gr}_{G,I} \rightarrow X^I$, which are all shared by $\text{Fl}_G \rightarrow \text{pt}$ (resp. $\text{Fl}_f \rightarrow \text{pt}$):

- $\text{Gr}_{G,I} \rightarrow X^I$ is ind-proper;
- The attractor locus $\text{Gr}_{G,I}^{\gamma,\text{att}}$ (resp. repeller locus $\text{Gr}_{G,I}^{\gamma,\text{rep}}$) is stabilized by $\mathcal{L}U_I$ (resp. $\mathcal{L}U_I^-$), and the fixed locus $\text{Gr}_{G,I}^{\gamma,\text{fix}}$ is fixed by both $\mathcal{L}U_I$ and $\mathcal{L}U_I^-$;
- The fibers of the projection map $\text{Gr}_{G,I}^{\gamma,\text{att}} \rightarrow \text{Gr}_{G,I}^{\gamma,\text{fix}}$ (resp. $\text{Gr}_{G,I}^{\gamma,\text{rep}} \rightarrow \text{Gr}_{G,I}^{\gamma,\text{fix}}$) are acted transitively by $\mathcal{L}U_I$ (resp. $\mathcal{L}U_I^-$);
- The map $\text{Gr}_{G,I}^{\gamma,\text{att}} \times_{X^I} \text{Gr}_{G,I}^{\gamma,\text{rep}} \rightarrow \text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I}$ is surjective on k -points, and its restriction to each connected component of the source is a locally closed embedding. In particular, there is a stratification on $\text{Gr}_{G,I} \times_{X^I} \text{Gr}_{G,I}$ labelled by the set L of the connected components of $\text{Gr}_{G,I}^{\gamma,\text{att}} \times_{X^I} \text{Gr}_{G,I}^{\gamma,\text{rep}}$.
- There exists a partial order on L such that for $\lambda, \mu \in L$, the reduced closure of the stratum labelled by λ has empty intersection with the stratum labelled by μ unless $\mu \leq \lambda$.
- For any $\lambda, \mu \in L$, there are only finitely many elements between them.
- Let $L_0 \subset L$ be the subset of those strata that have non-empty intersections with $\text{VinGr}_{G,I}|_{C_P}$. Then L_0 is bounded from above.

We leave the details to the curious reader.

4. PROOFS - II

In this section, we prove Theorem 1.5.1. We want to apply Theorem 3.1.11 to the correspondence

$$(4.1) \quad \mathrm{Gr}_{G \times G, I} \times \mathbb{A}^1 \leftarrow \mathrm{VinGr}_{G, I}^\gamma \xrightarrow{\pi_I} \mathrm{VinBun}_G^\gamma.$$

The Braden 4-tuples for $\mathrm{Gr}_{G \times G, I}$ and $\mathrm{VinGr}_{G, I}^\gamma$ are provided by Construction 2.5.6. The only missing ingredient is a suitable Braden 4-tuple $\mathrm{Br}_{\mathrm{glob}}^\gamma$ for VinBun_G^γ , which we propose to be

$$(\mathrm{VinBun}_G^\gamma, \mathrm{str} \mathrm{VinBun}_G|_{C_P}, Y_{\mathrm{rel}}^{P, \gamma}, H_{M, G\text{-pos}}),$$

where

- $\mathrm{str} \mathrm{VinBun}_G|_{C_P}$ is the disjoint union of the *defect strata* of $\mathrm{VinBun}_G|_{C_P}$ constructed in [Sch16] (see § C.4.5);
- $Y_{\mathrm{rel}}^{P, \gamma}$ is (the relative) *Schieder's local model* for VinBun_G^γ constructed in [Sch16] (see § C.4.7);
- $H_{M, G\text{-pos}}$ is the *G-position Hecke stack* for Bun_M studied in [BFGM02], [BG06], [Sch16] (see § C.4.4).

In § 4.1, we construct the Braden 4-tuple $\mathrm{Br}_{\mathrm{glob}}^\gamma$ and the morphism $\mathrm{Br}_{\mathrm{Vin}, I}^\gamma \rightarrow \mathrm{Br}_{\mathrm{glob}}^\gamma$.

To prove Theorem 1.5.1, we only need to check the axioms in § 3.1.10. The first four axioms, which are geometric, are checked in § 4.1. The other axioms, which are sheaf-theoretic, are actually known results. Namely, those relevant to VinGr_G^γ and $\mathrm{Gr}_{G \times G, I}$ have been verified in § 3, while those relevant to VinBun_G^γ were either proved or sketched in [Sch16]. We review these results in § 4.2.

In § 4.3, we finish the proof of Theorem 1.5.1.

4.1. Geometric players - III. As usual, we fix a standard parabolic P and a co-character $\gamma: \mathbb{G}_m \rightarrow Z_M$ that is dominant and regular with respect to P . We assume the reader is familiar with the constructions in Appendix C.4.

Recall we have

$$\begin{aligned} \mathrm{VinBun}_G^\gamma &:= \mathbf{Maps}_{\mathrm{gen}}(X, G \backslash \mathrm{Vin}_G^\gamma / G \supset G \backslash {}_0 \mathrm{Vin}_G^\gamma / G) \\ \mathrm{str} \mathrm{VinBun}_G|_{C_P} &:= \mathbf{Maps}_{\mathrm{gen}}(X, P \backslash \overline{M} / P^- \supset P \backslash M / P^-) \\ Y_{\mathrm{rel}}^{P, \gamma} &:= \mathbf{Maps}_{\mathrm{gen}}(X, P^- \backslash \mathrm{Vin}_G^\gamma / P \supset P^- \backslash \mathrm{Vin}_G^{\gamma, \mathrm{Bruhat}} / P) \\ H_{M, G\text{-pos}} &:= \mathbf{Maps}_{\mathrm{gen}}(X, M \backslash \overline{M} / M \supset M \backslash M / M). \end{aligned}$$

By (C.17), we have the following commutative diagram (c.f. (2.26))

$$(4.2) \quad \begin{array}{ccccc} & & & & (M \backslash \overline{M} / M \supset M \backslash M / M) \\ & & & & \nearrow = \\ & & & & \uparrow \mathbf{q}_{\mathrm{pair}}^+ \\ (M \backslash \overline{M} / M \supset M \backslash M / M) & \xrightarrow{i_{\mathrm{pair}}^+} & (P \backslash \overline{M} / P^- \supset P \backslash M / P^-) & & \\ & \searrow = & \downarrow \mathbf{p}_{\mathrm{pair}}^+ & & \\ & & (G \backslash \mathrm{Vin}_G^\gamma / G \supset G \backslash {}_0 \mathrm{Vin}_G^\gamma / G) & & \\ & \xleftarrow{\mathbf{q}_{\mathrm{pair}}^-} & (P^- \backslash \mathrm{Vin}_G^\gamma / P \supset P^- \backslash \mathrm{Vin}_G^{\gamma, \mathrm{Bruhat}} / P) & \xrightarrow{\mathbf{p}_{\mathrm{pair}}^-} & \\ & & \downarrow i_{\mathrm{pair}}^- & & \end{array}$$

It induces a commutative diagram

$$(4.3) \quad \begin{array}{ccccc} & & & & H_{M, G\text{-pos}} \\ & & & & \uparrow \mathbf{q}_{\mathrm{glob}}^+ \\ & & & & \nearrow = \\ & & & & \uparrow \mathbf{q}_{\mathrm{pair}}^+ \\ & & & & \uparrow \mathbf{q}_{\mathrm{glob}}^+ \\ H_{M, G\text{-pos}} & \xrightarrow{i_{\mathrm{glob}}^+} & \mathrm{str} \mathrm{VinBun}_G|_{C_P} & & \\ & \searrow = & \downarrow \mathbf{p}_{\mathrm{glob}}^+ & & \\ & & \mathrm{VinBun}_G^\gamma & & \\ & \xleftarrow{\mathbf{q}_{\mathrm{glob}}^-} & Y_{\mathrm{rel}}^{P, \gamma} & \xrightarrow{\mathbf{p}_{\mathrm{glob}}^-} & \\ & & \downarrow i_{\mathrm{glob}}^- & & \end{array}$$

Proposition-Definition 4.1.1. *The above commutative square defines a Braden 4-tuple (see Definition 2.2.20):*

$$(\text{VinBun}_G^\gamma, \text{str VinBun}_G|_{C_P}, Y_{\text{rel}}^{P,\gamma}, H_{M,G\text{-pos}}),$$

such that $\mathbf{i}_{\text{glob}}^-$, $\mathbf{p}_{\text{glob}}^+$ and $\mathbf{q}_{\text{glob}}^-$ are ind-finite type ind-schematic.

We call it the global Braden 4-tuple $\text{Br}_{\text{glob}}^\gamma$.

Proof. To show $(\text{VinBun}_G^\gamma, \text{str VinBun}_G|_{C_P}, Y_{\text{rel}}^{P,\gamma}, H_{M,G\text{-pos}})$ defines a Braden 4-tuple, we only need to show that the square in (4.3) is quasi-Cartesian. This follows from Lemma C.1.12(1) and the open embedding

$$\text{pt}/M \rightarrow (\text{pt}/P) \times_{(\text{pt}/G)} (\text{pt}/P).$$

The map $\mathbf{p}_{\text{glob}}^+$ is ind-finite type ind-schematic because its restriction to each connected component is a schematic locally closed embedding (see [Sch16, Proposition 3.3.2(a)]). Hence $\mathbf{i}_{\text{glob}}^-$ is also ind-finite type ind-schematic because the square in (4.3) is quasi-Cartesian.

It remains to show $\mathbf{q}_{\text{glob}}^-$ is ind-finite type ind-schematic. We claim it is affine and of finite type. We only need to prove the similar claim for $Y^{P,\gamma} \rightarrow \text{Gr}_{M,G\text{-pos}}$ (because these two retractions are equivalent in the smooth topology, see Lemma C.5.5). However, this follows from [Sch16, Lemma 6.5.6] and [DG14, Theorem 1.5.2(2)].

□[Proposition-Definition 4.1.1]

Proposition-Construction 4.1.2. *The correspondence*

$$\text{Gr}_{G \times G, I} \times \mathbb{A}^1 \leftarrow \text{VinGr}_{G, I}^\gamma \xrightarrow{\pi_I} \text{VinBun}_G^\gamma$$

can be extended to a correspondence between Braden 4-tuples

$$\text{Br}_I^\gamma \leftarrow \text{Br}_{\text{Vin}, I}^\gamma \rightarrow \text{Br}_{\text{glob}}^\gamma$$

defined over $\text{Br}_{\text{base}} := (\mathbb{A}^1, 0, \mathbb{A}^1, 0)$. Moreover, this extension satisfies Axioms (P1)-(P3) and (Q) in § 3.1.10.

Proof. The morphism $\text{Br}_I^\gamma \leftarrow \text{Br}_{\text{Vin}, I}^\gamma$ was constructed in Construction 2.5.6. The morphism $\text{Br}_{\text{Vin}, I}^\gamma \rightarrow \text{Br}_{\text{glob}}^\gamma$ is induced by the obvious morphism from the diagram (2.26) to (4.2) (see Construction C.1.7).

Axioms (P1)-(P2) follow from the calculation in Construction 2.5.6. Axiom (Q) follows from Proposition 2.5.9. It remains to verify Axiom (P3). In other words, we only need to show the commutative diagram

$$\begin{array}{ccc} \text{VinGr}_{G, I}^{\gamma, \text{rep}} & \longrightarrow & \text{VinGr}_{G, I}^{\gamma, \text{fix}} \\ \downarrow & & \downarrow \\ Y_{\text{rel}}^{P,\gamma} & \longrightarrow & H_{M, G\text{-pos}} \end{array}$$

is Cartesian. Recall it is obtained by applying Construction C.1.7 to the following commutative diagram

$$\begin{array}{ccc} (P^- \setminus \text{Vin}_G^\gamma / P \leftarrow \mathbb{A}^1) & \xrightarrow{\mathbf{q}_{\text{sect}}} & (M \setminus \overline{M} / M \leftarrow \text{pt}) \\ \downarrow & & \downarrow \\ (P^- \setminus \text{Vin}_G^\gamma / P \supset P^- \setminus \text{Vin}_G^{\gamma, \text{Bruhat}} / P) & \xrightarrow{\mathbf{q}_{\text{pair}}} & (M \setminus \overline{M} / M \supset M \setminus M / M). \end{array}$$

By Lemma C.1.14, it suffices to show the map

$$\mathbb{A}^1 \rightarrow \text{pt} \times_{(M \setminus \overline{M} / M)} (P^- \setminus \text{Vin}_G^\gamma / P)$$

is an isomorphism. Using the Cartesian diagram (C.17), the RHS is isomorphic to

$$\text{pt} \times_{(M \setminus M / M)} (P^- \setminus \text{Vin}_G^{\gamma, \text{Bruhat}} / P).$$

Then we are done by the $(M \times M)$ -equivariant isomorphism (C.16).

□Proposition-Construction 4.1.2

4.2. Input from [Sch16]. We need some sheaf-theoretic results on VinBun_G and its relative local models. They were implicit (but without proofs) in [Sch16]. For completeness, we provide proofs for them.

Recall the \mathbb{G}_m -locus of VinBun_G^γ is given by $\text{Bun}_G \times \mathbb{G}_m$. In this subsection, we write ω for $\omega_{\text{Bun}_G \times \mathbb{G}_m}$.

Lemma 4.2.1. *The object $\mathbf{p}_{\text{glob}}^{+,!} \circ i^* \circ j_*(\omega)$ is contained in the essential image of $\mathbf{q}_{\text{glob}}^{+,!}$.*

Remark 4.2.2. This lemma is a corollary of (the Verdier dual of) [Sch16, Theorem 4.3.1]. However, the proof of [Sch16, Theorem 4.3.1] implicitly used (the Verdier dual of) this lemma. Namely, what S. Schieder called the *interplay principle* only proved his theorem up to a possible twist by local systems pulled back from $\text{Bun}_{P \times P^-}$, and one needs the above lemma to rule out such twists³³.

For the mixed sheaf context as in [Sch16], thanks to the sheaf-function-correspondence, the lemma can be easily proved by showing that the stalks are constant along $\mathbf{q}_{\text{glob}}^+$ (a similar argument can be found in [BG02, Subsection 6.3]). However, in the D-module context, one needs more work. We prove it in Appendix E.

Corollary 4.2.3. *Consider the correspondence*

$$\text{Gr}_{G \times G, I} \xleftarrow{(\iota_I)_0} \text{VinGr}_{G, I} |_{C_P} \xrightarrow{(\pi_I)_0} \text{VinBun}_G |_{C_P}.$$

We have

$$(\iota_I)_{0,*} \circ (\pi_I)_0^! \circ i^* \circ j_*(\omega) \in \text{D}(\text{diff}_{\leq 0} \text{Gr}_{G \times G, I})^{\mathcal{L}(U \times U^-)_I}.$$

Proof. By Corollary 2.5.11, this object is indeed supported on $\text{diff}_{\leq 0} \text{Gr}_{G \times G, I}$. It remains to show it is contained in $\text{D}(\text{Gr}_{G \times G, I})^{\mathcal{L}(U \times U^-)_I}$.

By Lemma 2.3.3, it suffices to show the $!$ -pullback of the desired object along $\text{Gr}_{P \times P^-, I} \rightarrow \text{Gr}_{G \times G, I}$ is contained in $\text{D}(\text{Gr}_{P \times P^-, I})^{\mathcal{L}(U \times U^-)_I}$. Let \mathcal{G} be this $!$ -pullback. By Proposition 4.1.2, we have the following commutative diagram

$$\begin{array}{ccccc} \text{Gr}_{M \times M, I} & \longleftarrow & \text{VinGr}_{G, I}^{\gamma, \text{fix}} & \longrightarrow & H_{M, G\text{-pos}} \\ \uparrow & & \uparrow & & \uparrow \\ \text{Gr}_{P \times P^-, I} & \longleftarrow & \text{VinGr}_{G, I}^{\gamma, \text{att}} & \longrightarrow & \text{str VinBun}_G |_{C_P} \\ \downarrow & & \downarrow & & \downarrow \\ \text{Gr}_{G \times G, I} & \longleftarrow & \text{VinGr}_{G, I} |_{C_P} & \longrightarrow & \text{VinBun}_G |_{C_P}. \end{array}$$

The bottom left square is Cartesian by the calculations in Construction 2.5.6, the bottom right square is Cartesian by Proposition 2.5.9, and the top left square is Cartesian by Proposition 2.5.12. By the base-change isomorphisms and Lemma 4.2.1, \mathcal{G} is contained in the essential image of the $!$ -pullback functor $\text{D}(\text{Gr}_{M \times M, I}) \rightarrow \text{D}(\text{Gr}_{P \times P^-, I})$. Then we are done by Lemma 2.3.2(1). □[Corollary 4.2.3]

Lemma 4.2.4. (1) *The global Braden 4-tuple $\text{Br}_{\text{glob}}^\gamma$ is $*$ -nice for $j_*(\omega)$ (see Definition 2.2.25).*

(2) *The 0-fiber of $\text{Br}_{\text{glob}}^\gamma$:*

$$(\text{Br}_{\text{glob}}^\gamma)_0 := (\text{VinBun}_G |_{C_P}, \text{str VinBun}_G |_{C_P}, Y_{\text{rel}}^{P, \gamma} |_{C_P}, H_{M, G\text{-pos}})$$

is $$ -nice for $i^* \circ j_*(\omega)$.*

Proof. We only prove (1). The proof of (2) is similar.

We first show that the retraction $(Y_{\text{rel}}^{P, \gamma}, H_{M, G\text{-pos}})$ is both $*$ -nice and $!$ -nice for $\mathbf{p}_{\text{glob}}^{-,!} \circ j_*(\omega)$. We only need to prove the similar claim for $(Y^{P, \gamma}, \text{Gr}_{M, G\text{-pos}})$ (because these two retractions are equivalent

³³See [BG06, proof of Proposition 4.4] for an analog of this logic for the interplay principle between the Zastava spaces and $\overline{\text{Bun}}_B$.

in the smooth topology, see Lemma C.5.5). However, this follows from [Sch16, Lemma 6.5.6] and the contraction principle.

Note that the retraction $(\text{strVinBun}_G|_{C_P}, H_{M,G\text{-pos}})$ is both $*$ -nice and $!$ -nice for $\mathfrak{p}_{\text{glob}}^{+,*} \circ j_*(\omega)$ by the *stacky* contraction principle in [DG15]. Indeed, there is an \mathbb{A}^1 -action on $\text{Bun}_P \times \text{Bun}_{P^-}$ that contracts it onto $\text{Bun}_M \times \text{Bun}_M$ in the sense of [loc.cit., § C.5]. Hence by change of the base, there is an \mathbb{A}^1 -action on $\text{strVinBun}_G|_{C_P}$ that contracts it onto $H_{M,G\text{-pos}}$.

It remains to show the quasi-Cartesian square in $\text{Br}_{\text{glob}}^\gamma$ is nice for $j_*(\omega)$. This can be proved by using the framework in [Dri13, Appendix C]. See [Che, Theorem 6.1.3] for a similar result for the quasi-Cartesian square

$$\begin{array}{ccc} H_{M,G\text{-pos}} & \longrightarrow & \text{strVinBun}_G|_{C_P} \\ \downarrow & & \downarrow \\ Y_{\text{rel}}^P & \longrightarrow & \text{VinBun}_{G,\geq C_P} \end{array}$$

(The proof there also works for the γ -version.)

□[Lemma 4.2.4]

4.3. **Proof of Theorem 1.5.1.** We apply Theorem 3.1.11 to

- the correspondence $\text{Gr}_{G \times G, I} \times \mathbb{A}^1 \leftarrow \text{VinGr}_{G, I}^\gamma \xrightarrow{\pi_I} \text{VinBun}_G^\gamma$;
- the object $\overset{\circ}{\mathcal{F}} := \omega_{\text{Bun}_G \times \mathbb{G}_m}$;
- the correspondence between Braden 4-tuples $\text{Br}_I^\gamma \leftarrow \text{Br}_{\text{Vin}, I}^\gamma \rightarrow \text{Br}_{\text{glob}}^\gamma$ defined in Proposition-Construction 4.1.2;
- the subcategory $\text{D}(\text{diff}_{\leq 0} \text{Gr}_{G \times G, I})^{\mathcal{L}(U \times U^-)_I} \subset \text{D}(\text{Gr}_{G \times G, I})$.

The Axioms (P1)-(P3) and (Q) are verified in Proposition-Construction 4.1.2. Axioms (G1) and (G2) are obvious because $\overset{\circ}{\mathcal{F}}$ is regular ind-holonomic. Axiom (C) is just Lemma 3.2.1(2). Axiom (M) is just Corollary 4.2.3 and Lemma 3.2.3. Axioms (N1) and (N3) are just Lemma 4.2.4. Axioms (N2), (N4) follow from Braden's theorem and the contraction principle.

□[Theorem 1.5.1]

APPENDIX A. ABSTRACT MISCELLANEA

A.1. **Colimits and limits of categories.** In this subsection, we review colimits and limits in DGCat . We provide proofs only when we fail to find a good reference.

Following [Lur09], we have the following categories:

	objects	morphisms
Cat^{st}	stable categories	exact functors
Pr^L, Pr^R	presentable categories	commuting with colimits (resp. limits)
$\text{Pr}^{\text{st}, L}, \text{Pr}^{\text{st}, R}$	presentable stable categories	commuting with colimits (resp. limits)
$\text{DGCat}, \text{DGCat}^R$	cocomplete DG categories	commuting with colimits (resp. limits).

Passing to adjoints provides equivalences $(\text{Pr}^L)^{\text{op}} \simeq \text{Pr}^R$, $(\text{Pr}^{\text{st}, L})^{\text{op}} \simeq \text{Pr}^{\text{st}, R}$ and $\text{DGCat}^{\text{op}} \simeq \text{DGCat}^R$.

Lemma A.1.1. (1) ([Lur09, Proposition 5.5.3.13, Proposition 5.5.3.18]) $\text{Pr}^L \rightarrow \text{Cat}$ and $\text{Pr}^R \rightarrow \text{Cat}$ commute with limits.

(1') Pr^L (resp. Pr^R) contains all colimits and limits.

(2) ([Lur12, Theorem 1.1.4.4]) $\text{Cat}^{\text{st}} \rightarrow \text{Cat}$ commutes with limits.

(2') $\text{Pr}^{\text{st}, L} \rightarrow \text{Pr}^L$ and $\text{Pr}^{\text{st}, R} \rightarrow \text{Pr}^R$ commute with colimits and limits.

(3) $\text{DGCat} \rightarrow \text{Pr}^{\text{st}, L}$ and $\text{DGCat}^R \rightarrow \text{Pr}^{\text{st}, R}$ commute with colimits and limits.

Proof. (1') is obtained from (1) by $\mathrm{Pr}^L \simeq (\mathrm{Pr}^R)^{\mathrm{op}}$. (2') follows from (1), (2) and the equivalence $\mathrm{Pr}^{\mathrm{st},L} \simeq (\mathrm{Pr}^{\mathrm{st},R})^{\mathrm{op}}$. (3) is a particular case of the following general fact. Let \mathcal{C} be a presentable symmetric monoidal category whose tensor products preserve colimits, and A be a commutative algebra object in \mathcal{C} , then the forgetful functor $A\text{-mod}(\mathcal{C}) \rightarrow \mathcal{C}$ commutes with both colimits and limits. \square [Lemma A.1.1]

Remark A.1.2. The lemma provides a description for colimits in DGCat as follows. For a diagram $F : I \rightarrow \mathrm{DGCat}$, passing to right adjoints provides a diagram $G : I^{\mathrm{op}} \rightarrow \mathrm{DGCat}^R$. Tautologically there is an equivalence $\mathrm{colim}_I F \simeq \lim_{I^{\mathrm{op}}} G$ such that the insertion functor $\mathrm{ins}_i : F(i) \rightarrow \mathrm{colim}_I F$ corresponds to the left adjoint of the evaluation functor $\mathrm{ev}_i : \lim_{I^{\mathrm{op}}} G \rightarrow G(i)$. By the lemma, the above limit can be calculated in Cat , whose objects and morphisms can be described explicitly as in [Lur09, § 3.3.3].

Lemma A.1.3. (1) Let $F_1, F_2 : I \rightarrow \mathrm{Pr}^L$ be two diagrams, and $\alpha : F_1 \rightarrow F_2$ be a natural transformation. Suppose that for any morphism $i \rightarrow j$ in I , the commutative square

$$\begin{array}{ccc} F_1(i) & \longrightarrow & F_1(j) \\ \downarrow \alpha(i) & & \downarrow \alpha(j) \\ F_2(i) & \longrightarrow & F_2(j) \end{array}$$

is left adjointable along the vertical direction, so that we have a natural transformation $\alpha^L : F_2 \rightarrow F_1$. Then we have an adjoint pair

$$\mathrm{colim}_I \alpha^L : \mathrm{colim}_I F_2 \rightleftarrows \mathrm{colim}_I F_1 : \mathrm{colim}_I \alpha.$$

(2) Let $G_1, G_2 : I^{\mathrm{op}} \rightarrow \mathrm{Pr}^R$ be two diagrams, and $\beta : G_2 \rightarrow G_1$ be a natural transformation. Suppose that for any morphism $i \rightarrow j$ in I , the commutative square

$$\begin{array}{ccc} G_1(i) & \longleftarrow & G_1(j) \\ \beta(i) \uparrow & & \beta(j) \uparrow \\ G_2(i) & \longleftarrow & G_2(j) \end{array}$$

is left adjointable along the vertical direction, so that we have a natural transformation $\beta^L : G_1 \rightarrow G_2$. Then we have an adjoint pair

$$\lim_{I^{\mathrm{op}}} \beta^L : \lim_{I^{\mathrm{op}}} G_1 \rightleftarrows \lim_{I^{\mathrm{op}}} G_2 : \lim_{I^{\mathrm{op}}} \beta.$$

Proof. (1) is obtained from (2) by passing to left adjoints. For (2), consider objects $x \in \lim_{I^{\mathrm{op}}} G_1$ and $y \in \lim_{I^{\mathrm{op}}} G_2$. Write x_i (resp. y_i) for their evaluations in $G_1(i)$ (resp. $G_2(i)$). By [Lur09, § 3.3.3], we have functorial isomorphisms

$$\begin{aligned} & \mathrm{Maps}(\lim_{I^{\mathrm{op}}} \beta^L(x), y) \\ & \simeq \lim_{I^{\mathrm{op}}} \mathrm{Maps}(\mathrm{ev}_i(\lim_{I^{\mathrm{op}}} \beta^L(x)), \mathrm{ev}_i(y)) \\ & \simeq \lim_{I^{\mathrm{op}}} \mathrm{Maps}(\beta(i)^L(x_i), y_i) \\ & \simeq \lim_{I^{\mathrm{op}}} \mathrm{Maps}(x_i, \beta(i)(y_i)) \\ & \simeq \lim_{I^{\mathrm{op}}} \mathrm{Maps}(\mathrm{ev}_i(x), \mathrm{ev}_i(\lim_{I^{\mathrm{op}}} \beta(y))) \\ & \simeq \mathrm{Maps}(x, \lim_{I^{\mathrm{op}}} \beta(y)). \end{aligned}$$

\square [Lemma A.1.3]

Remark A.1.4. By Lemma A.1.1, the lemma remains correct if we replace Pr by $\mathrm{Pr}^{\mathrm{st}}$ or DGCat .

Lemma A.1.5. ([DG15, Corollary 1.9.4, Lemma 1.9.5]) Let $F : I \rightarrow \mathrm{Pr}^{\mathrm{st},L}$ (or $F : I \rightarrow \mathrm{DGCat}$) be a diagram such that each $F(i)$ is compactly generated and each functor $F(i) \rightarrow F(j)$ sends compact objects to compact objects, then $\mathrm{colim}_I F$ is compactly generated by objects of the form $\mathrm{ins}_i(x_i)$ with x_i being compact in $F(i)$. If I is further assumed to be filtered, then every compact object in $\mathrm{colim}_I F$ is of the above form.

A.2. Duality. In this subsection we review the notion of duality for bimodules developed in [Lur12, Sub-section 4.6]. The unproven claims can be found in *loc.cit.*

Let \mathcal{C} be a monoidal category that admits geometric realizations such that the multiplication functor \otimes preserves geometric realizations. Let A, B be two associative algebra objects in \mathcal{C} . We write ${}_A \text{BiMod}_B(\mathcal{C})$ for the category of (A, B) -bimodules in \mathcal{C} .

A.2.1. Duality data. For $x \in {}_A \text{BiMod}_B(\mathcal{C})$ and $y \in {}_B \text{BiMod}_A(\mathcal{C})$, and a (B, B) -linear map $c : B \rightarrow y \otimes_A x$ (resp. an (A, A) -linear map $e : x \otimes_B y \rightarrow A$), we say (c, e) exhibits x as the right-dual of y , or y as the left-dual of x , if the following compositions are both isomorphic to the identity maps:

$$\begin{aligned} x \simeq x \otimes_B B &\xrightarrow{c} x \otimes_B (y \otimes_A x) \simeq (x \otimes_B y) \otimes_A x \xrightarrow{e} A \otimes_A x \simeq x, \\ y \simeq B \otimes_B y &\xrightarrow{c} (y \otimes_A x) \otimes_B y \simeq y \otimes_A (x \otimes_B y) \xrightarrow{e} y \otimes_A A \simeq y. \end{aligned}$$

We refer c (resp. e) as the *unit* (resp. *counit*) map for this duality.

For a fixed x (resp. y), the data (y, c, e) (resp. (x, c, e)) satisfying the above conditions is unique if it exists. Also, for fixed (x, y, c) (resp. (x, y, e)), the map e (resp. c) satisfying the above conditions is unique if exists. Hence if x (resp. y) is left-dualizable (resp. right-dualizable), we write $x^{\vee, L}$ (resp. $y^{\vee, R}$) for its left-dual (resp. right-dual) and treating (c, e) as implicit. We also write $x^{\vee, A}$ (resp. $y^{\vee, A}$) for the reason of § A.2.3 below.

A.2.2. Universal properties. Let (x, y, c, e) be a duality data as above. For any $m \in A\text{-mod}^l(\mathcal{C})$ and $n \in B\text{-mod}^l(\mathcal{C})$, it is easy to check that the following two compositions are quasi-inverse to each other.

$$\begin{aligned} &\text{Maps}_A(x \otimes_B n, m) \rightarrow \text{Maps}_B(y \otimes_A x \otimes_B n, y \otimes_A m) \rightarrow \\ &\xrightarrow{\circ(e \otimes \text{Id})} \text{Maps}_B(B \otimes_B n, y \otimes_A m) \simeq \text{Maps}_B(n, y \otimes_A m), \\ &\text{Maps}_B(n, y \otimes_A m) \rightarrow \text{Maps}_A(x \otimes_B n, x \otimes_B y \otimes_A m) \rightarrow \\ &\xrightarrow{(c \otimes \text{Id}) \circ -} \text{Maps}_A(x \otimes_B n, A \otimes_A m) \simeq \text{Maps}_A(x \otimes_B n, m) \end{aligned}$$

In particular, they are both isomorphisms. Similarly, for any $m \in A\text{-mod}^r(\mathcal{C})$ and $n \in B\text{-mod}^r(\mathcal{C})$, there is an isomorphism $\text{Maps}_{A^{\text{rev}}}(n \otimes_B y, m) \simeq \text{Maps}_{B^{\text{rev}}}(n, m \otimes_A x)$.

Conversely, if for given $x \in {}_A \text{BiMod}_B(\mathcal{C})$ and $y \in {}_B \text{BiMod}_A(\mathcal{C})$, there are functorial (in m and n) isomorphisms $\text{Maps}_A(x \otimes_B n, m) \simeq \text{Maps}_B(n, y \otimes_A m)$ (or $\text{Maps}_{A^{\text{rev}}}(n \otimes_B y, m) \simeq \text{Maps}_{B^{\text{rev}}}(n, m \otimes_A x)$), one can recover a duality for x and y .

A.2.3. Case of $B = \mathbf{1}$. In the special case when $B = \mathbf{1}$ is the unit object, we obtain the usual notion of duality between left A -modules and right A -modules. Moreover, by [Lur12, Proposition 4.6.2.13], an object x in ${}_A \text{BiMod}_B(\mathcal{C})$ (resp. y in ${}_B \text{BiMod}_A(\mathcal{C})$) is left-dualizable (resp. right-dualizable) if and only if its underlying object $\underline{x} \in A\text{-mod}^l(\mathcal{C})$ (resp. $\underline{y} \in A\text{-mod}^r(\mathcal{C})$) is left-dualizable (resp. right-dualizable) as a left (resp. right) A -module. Moreover, the underlying right (resp. left) A -module structure on $x^{\vee, L}$ (resp. $y^{\vee, R}$) is isomorphic to $\underline{x}^{\vee, L}$ (resp. $\underline{y}^{\vee, R}$).

Explicitly, the corresponding B -action maps $B \otimes \underline{x}^{\vee, L} \rightarrow \underline{x}^{\vee, L}$, $\underline{y}^{\vee, R} \otimes B \rightarrow \underline{y}^{\vee, R}$ are induced respectively by the universal properties from the action maps $\underline{x} \otimes B \rightarrow \underline{x}$, $B \otimes \underline{y} \rightarrow \underline{y}$.

The following lemma, whose proof is obvious, is put here for future reference:

Lemma A.2.4. (*c.f. [Lur12, Proposition 4.6.2.13]*) *Let $x \in {}_A \text{BiMod}_B(\mathcal{C})$ and $y \in {}_B \text{BiMod}_A(\mathcal{C})$. Suppose $e : \underline{x} \otimes \underline{y} \rightarrow A$ is the counit map of a duality between \underline{x} and \underline{y} as A -modules. Then there is an isomorphism between the space of B -linear structures on the isomorphism $\underline{x} \simeq \underline{y}^{\vee, R}$ and the space of factorizations of e as $\underline{x} \otimes \underline{y} \rightarrow x \otimes_B y \rightarrow A$.*

A.2.5. *Symmetric monoidal case.* Suppose that \mathcal{C} is a symmetric monoidal category and A, B are commutative algebra objects in it. Then there is no difference between left and right modules, or left-duals and right-duals.

In the special case when $B := \mathbf{1}$, one can replace the duality data in § A.2.1 by A -linear maps $c' : A \rightarrow y \otimes_A x$ and $e' : x \otimes_A y \rightarrow A$, such that both the following compositions are isomorphic to the identity maps.

$$\begin{aligned} x &\simeq x \otimes_A A \xrightarrow{c'} x \otimes_A (y \otimes_A x) \simeq (x \otimes_A y) \otimes_A x \xrightarrow{e'} A \otimes_A x \simeq x, \\ y &\simeq A \otimes_A y \xrightarrow{c'} (y \otimes_A x) \otimes_A y \simeq y \otimes_A (x \otimes_A y) \xrightarrow{e'} y \otimes_A A \simeq y. \end{aligned}$$

A.2.6. *Duality in DGCat.* Let \mathcal{A} and \mathcal{B} be two associative algebra objects in DGCat, \mathcal{M} (resp. \mathcal{N}) be an $(\mathcal{A}, \mathcal{B})$ -bimodule (resp. a $(\mathcal{B}, \mathcal{A})$ -bimodule) DG category. If \mathcal{M} and \mathcal{N} are dual to each other, the universal properties can be upgraded to equivalences between categories:

$$\begin{aligned} \text{Funct}_{\mathcal{A}}(\mathcal{M}, -) &\simeq \text{Funct}(\text{Vect}, \mathcal{N} \otimes_{\mathcal{A}} -) \simeq \mathcal{N} \otimes_{\mathcal{A}} -, \\ \text{Funct}_{\mathcal{A}^{\text{rev}}}(\mathcal{N}, -) &\simeq \text{Funct}(\text{Vect}, - \otimes_{\mathcal{A}} \mathcal{M}) \simeq - \otimes_{\mathcal{A}} \mathcal{M}. \end{aligned}$$

Moreover, the above equivalences are \mathcal{B} -linear (resp. \mathcal{B}^{rev} -linear), where \mathcal{B} acts leftly (resp. rightly) on the LHS's via its right (resp. left) action on \mathcal{M} (resp. \mathcal{N}).

Conversely, in the special case when $\mathcal{B} := \text{Vect}$, given an invertible natural transformation $\text{Funct}_{\mathcal{A}}(\mathcal{M}, -) \simeq \mathcal{N} \otimes_{\mathcal{A}} -$ (or $\text{Funct}_{\mathcal{A}^{\text{rev}}}(\mathcal{N}, -) \simeq - \otimes_{\mathcal{A}} \mathcal{M}$), one can recover a duality for \mathcal{M} and \mathcal{N} .

Note that a priori (without the duality) the functors

$$- \otimes_{\mathcal{A}} \mathcal{M} : \mathcal{A}\text{-mod}^r \rightarrow \mathcal{B}\text{-mod}^r, \quad \mathcal{N} \otimes_{\mathcal{A}} - : \mathcal{A}\text{-mod}^l \rightarrow \mathcal{B}\text{-mod}^l$$

commute with colimits, and the functors

$$\text{Funct}_{\mathcal{A}}(\mathcal{M}, -) : \mathcal{A}\text{-mod}^l \rightarrow \mathcal{B}\text{-mod}^l, \quad \text{Funct}_{\mathcal{A}^{\text{rev}}}(\mathcal{N}, -) : \mathcal{A}\text{-mod}^r \rightarrow \mathcal{B}\text{-mod}^r$$

commute with limits. Hence if \mathcal{M} and \mathcal{N} are dual to each other, by the universal properties, these functors commute with both colimits and limits.

A.2.7. *Conjugate functors.* Let $F : \mathcal{M} \rightarrow \mathcal{N}$ be a morphism in DGCat. It follows from definition that if F has a continuous right adjoint F^R , then it sends compact objects to compact objects. Moreover, the converse is also correct if we assume \mathcal{M} to be compactly generated.

On the other hand, it is well-known that if \mathcal{M} is compactly generated, then it is dualizable. Moreover, there is a canonical equivalence $(\mathcal{M}^\vee)^c \simeq \mathcal{M}^{c, \text{op}}$.

Now suppose both \mathcal{M} and \mathcal{N} are compactly generated and F sends compact objects to compact objects. Then we obtain a functor $F^c : \mathcal{M}^c \rightarrow \mathcal{N}^c$ and therefore a functor $F^{c, \text{op}} : \mathcal{M}^{c, \text{op}} \rightarrow \mathcal{N}^{c, \text{op}}$. Hence by ind-completion, we obtain a functor $F^{\text{conj}} : \mathcal{M}^\vee \rightarrow \mathcal{N}^\vee$, known as the *conjugate functor* of F . On the other hand, using the universal properties (twice), we obtain a functor $F^\vee : \mathcal{N}^\vee \rightarrow \mathcal{M}^\vee$, known as the *dual functor* of F . We have:

Lemma A.2.8. (*[Gai16, Lemma 1.5.3]³⁴*) *In the above setting, F^{conj} is the left adjoint of F^\vee . Therefore F^{conj} is isomorphic to $(F^R)^\vee$.*

A.3. Duality for module DG categories vs. for plain DG categories. We put this subsection here for future reference. The main result is Lemma A.3.4, which to the best of our knowledge, has not appeared in the literature.

³⁴The functor F^{conj} was denoted by F^{op} in *loc.cit.*.

A.3.1. let \mathcal{A} be a monoidal DG category which is dualizable as a plain DG category. By § A.2.3, the dual DG category \mathcal{A}^\vee has a natural $(\mathcal{A}, \mathcal{A})$ -bimodule structure. The following lemma was proved³⁵ in [GR17a, Chapter 1, Proposition 9.4.4].

Lemma A.3.2. *Let \mathcal{A} be as above and \mathcal{M} be a left-dualizable object in $\mathcal{A}\text{-mod}$. We have*

(1) \mathcal{M} is dualizable in DGCat

(2) *Suppose we have an equivalence $\varphi : \mathcal{A} \simeq \mathcal{A}^\vee$ between $(\mathcal{A}, \mathcal{A})$ -bimodule DG categories. Then we have an equivalence (depending on φ) $\mathcal{M}^{\vee, \mathcal{A}} \simeq \mathcal{M}^\vee$ in $\mathcal{A}\text{-mod}^r$.*

Remark A.3.3. For a finite type scheme Y , the DG category $(\text{D}(Y), \otimes^!)$ of D-modules on Y satisfies the assumption of (2) thanks to the Verdier duality.

On the other hand, if \mathcal{A} is rigid (see [GR17a, Chapter 1, Section 9] for what this means), the converse of Lemma A.3.2 is also correct. Unfortunately, $\text{D}(Y)$ is *not* rigid even for nicest variety Y . Nevertheless, the lemma below shows that the converse of Lemma A.3.2 is still correct for $\text{D}(Y)$ when Y is separated.

Lemma A.3.4. *Let Y be a separated finite type scheme, and \mathcal{M} be an object in $\text{D}(Y)\text{-mod}$, i.e. a $\text{D}(Y)$ -module DG category. Then \mathcal{M} is dualizable in $\text{D}(Y)\text{-mod}$ if and only if it is dualizable in DGCat .*

A.3.5. *Strategy of proof.* The rest of this subsection is devoted to proof of the lemma. In fact, we provide two proofs. The first (which is an overkill) uses the fact that Y_{dR} is 1-affine (see [Gai15] for what this means), while the second (which is more elementary) uses the fact that the multiplication functor $\otimes^!$ has a fully faithful dual functor.

A.3.6. *First proof of Lemma A.3.4.* By Remark A.3.3, it is enough to show that the dualizability of \mathcal{M} in DGCat implies its dualizability in $\text{D}(Y)\text{-mod}$.

By [Gai15, Theorem 2.6.3], Y_{dR} is 1-affine. Hence by [Gai15, Corollary 1.4.3, Proposition 1.4.5], it is enough to show that for a finite type affine test scheme S over Y , $\mathcal{M} \otimes_{\text{D}(Y)} \text{QCoh}(S)$ is dualizable in DGCat . By Lemma A.3.7 below, it is enough to show that $\text{QCoh}(S)$ is dualizable in $\text{D}(Y)\text{-mod}$.

Since $\text{QCoh}(Y)$ is rigid and $\text{QCoh}(S)$ is dualizable in DGCat , $\text{QCoh}(S)$ is dualizable in $\text{QCoh}(Y)\text{-mod}$. Hence by Lemma A.3.8 below, it is enough to show that $\text{QCoh}(Y)$ is left dualizable as a $(\text{D}(Y), \text{QCoh}(Y))$ -bimodule DG category. By § A.2.3, it is enough to show that $\text{QCoh}(Y)$ is dualizable in $\text{D}(Y)\text{-mod}$. By [Gai15, Corollary 1.4.3, Proposition 1.4.5] again, it is enough to show that for a finite type affine scheme S over Y , $\text{QCoh}(Y) \otimes_{\text{D}(Y)} \text{QCoh}(S)$ is dualizable in DGCat .

Note that we have

$$\text{QCoh}(Y) \otimes_{\text{D}(Y)} \text{QCoh}(S) \simeq (\text{QCoh}(Y) \otimes_{\text{D}(Y)} \text{QCoh}(Y)) \otimes_{\text{QCoh}(Y)} \text{QCoh}(S).$$

Hence by Lemma A.3.7 below again, it is enough to show $\text{QCoh}(Y) \otimes_{\text{D}(Y)} \text{QCoh}(Y)$ is dualizable in DGCat . By [Gai15, Proposition 3.1.9], we have $\text{QCoh}(Y) \otimes_{\text{D}(Y)} \text{QCoh}(Y) \simeq \text{QCoh}(Y \times_{Y_{\text{dR}}} Y)$. Since Y is separated, the prestack $Y \times_{Y_{\text{dR}}} Y$ is the formal completion of $Y \times Y$ along its diagonal. Now we are done by [GR14, Corollary 7.2.1].

□[First proof of Lemma A.3.4]

Lemma A.3.7. *Let \mathcal{A} be any monoidal DG category, and $\mathcal{M} \in \mathcal{A}\text{-mod}^l, \mathcal{N} \in \mathcal{A}\text{-mod}^r$. Suppose \mathcal{M} is dualizable in DGCat , and \mathcal{N} is right-dualizable as a right \mathcal{A} -module DG category, then $\mathcal{N} \otimes_{\mathcal{A}} \mathcal{M}$ is dualizable in DGCat , and its dual is canonically identified with $\mathcal{M}^\vee \otimes_{\mathcal{A}} \mathcal{N}^{\vee, \mathcal{A}}$.*

Proof. Recall that \mathcal{M}^\vee is equipped with the right \mathcal{A} -module structure described in § A.2.3. We have

$$\text{Funct}(\mathcal{N} \otimes_{\mathcal{A}} \mathcal{M}, -) \simeq \text{Funct}_{\mathcal{A}^{\text{op}}}(\mathcal{N}, \text{Funct}(\mathcal{M}, -)) \simeq \text{Funct}(\mathcal{M}, -) \otimes_{\mathcal{A}} \mathcal{N}^{\vee, \mathcal{A}} \simeq - \otimes_{\mathcal{A}} \mathcal{M}^\vee \otimes_{\mathcal{A}} \mathcal{N}^{\vee, \mathcal{A}},$$

which provides the desired duality by § A.2.6.

□[Lemma A.3.7]

³⁵In *loc.cit.*, the ambient symmetric monoidal category is the category of stable presentable categories and continuous functors. However, the proof there also works for DG categories.

Lemma A.3.8. *Let $F : \mathcal{A} \rightarrow \mathcal{B}$ be a morphism between two monoidal DG-categories, and $\mathcal{M} \in \mathcal{B}\text{-mod}^l$. We can view \mathcal{B} and \mathcal{M} as objects in $\mathcal{A}\text{-mod}^l$ by restriction along F . Suppose \mathcal{M} is left-dualizable as a left \mathcal{B} -module DG category, and \mathcal{B} is left-dualizable as a $(\mathcal{A}, \mathcal{B})$ -bimodule DG category. Then \mathcal{M} is left-dualizable as a left \mathcal{A} -module category, and its dual is canonically identified with $\mathcal{M}^{\vee, \mathcal{B}} \otimes_{\mathcal{B}} \mathcal{B}^{\vee, \mathcal{A}}$.*

Proof. We have

$$\begin{aligned} \text{Funct}_{\mathcal{A}}(\mathcal{M}, -) &\simeq \text{Funct}_{\mathcal{A}}(\mathcal{B} \otimes_{\mathcal{B}} \mathcal{M}, -) \simeq \text{Funct}_{\mathcal{B}}(\mathcal{M}, \text{Funct}_{\mathcal{A}}(\mathcal{B}, -)) \simeq \\ &\text{Funct}_{\mathcal{B}}(\mathcal{M}, \mathcal{B}^{\vee, \mathcal{A}} \otimes_{\mathcal{A}} -) \simeq \mathcal{M}^{\vee, \mathcal{B}} \otimes_{\mathcal{B}} \mathcal{B}^{\vee, \mathcal{A}} \otimes_{\mathcal{A}} -, \end{aligned}$$

which provides the desired duality data by § A.2.6.

□[Lemma A.3.8]

A.3.9. Second proof of Lemma A.3.4. As before, it is enough to prove that any object $\mathcal{M} \in \text{D}(Y)\text{-mod}$ that is dualizable in DGCat is also dualizable in $\text{D}(Y)\text{-mod}$. In this proof we construct the duality data directly.

We only use the following formal properties of $\mathcal{A} := \text{D}(Y)$:

- (i) There is an equivalence $\varphi : \mathcal{A} \simeq \mathcal{A}^{\vee}$ as $(\mathcal{A}, \mathcal{A})$ -bimodule DG categories.
- (ii) The compositions

$$\text{Vect} \xrightarrow{c} \mathcal{A}^{\vee} \otimes \mathcal{A} \xrightarrow{\varphi^{-1} \otimes \text{Id}} \mathcal{A} \otimes \mathcal{A} \xrightarrow{\mathbf{mult}} \mathcal{A}, \quad \text{Vect} \xrightarrow{c} \mathcal{A} \otimes \mathcal{A}^{\vee} \xrightarrow{\text{Id} \otimes \varphi^{-1}} \mathcal{A} \otimes \mathcal{A} \xrightarrow{\mathbf{mult}} \mathcal{A},$$

are both isomorphic to the functor $\mathbf{1} : \text{Vect} \rightarrow \mathcal{A}$.

Note that the first property is given by the Verdier duality, while the second property is guaranteed by the fact that \mathbf{mult} has a fully faithful dual functor.

The unit functor for the desired duality is defined as the composition $\text{Vect} \rightarrow \mathcal{M}^{\vee} \otimes \mathcal{M} \rightarrow \mathcal{M}^{\vee} \otimes_{\mathcal{A}} \mathcal{M}$, where the first functor is the unit functor for the duality between \mathcal{M} and \mathcal{M}^{\vee} in DGCat , and the second functor is the obvious one.

Consider the functor $\mathbf{coact} : \mathcal{M} \rightarrow \mathcal{A}^{\vee} \otimes \mathcal{M}$ induced from the action functor $\mathbf{act} : \mathcal{A} \otimes \mathcal{M} \rightarrow \mathcal{M}$. Recall that \mathbf{coact} has a natural \mathcal{A} -linear structure, where \mathcal{A} acts on the target via its left action on \mathcal{A}^{\vee} . Similarly, the right action of \mathcal{A} on \mathcal{M}^{\vee} gives another functor $\mathbf{coact} : \mathcal{M}^{\vee} \rightarrow \mathcal{M}^{\vee} \otimes \mathcal{A}^{\vee}$, which has a natural \mathcal{A}^{rev} -linear structure. Moreover, by construction, we have the following commutative diagram:

$$(A.1) \quad \begin{array}{ccc} \mathcal{M} \otimes \mathcal{M}^{\vee} & \xrightarrow{\text{Id} \otimes \mathbf{coact}} & \mathcal{M} \otimes \mathcal{M}^{\vee} \otimes \mathcal{A}^{\vee} \\ \downarrow \mathbf{coact} \otimes \text{Id} & & \downarrow e \otimes \text{Id} \\ \mathcal{A}^{\vee} \otimes \mathcal{M} \otimes \mathcal{M}^{\vee} & \xrightarrow{\text{Id} \otimes e} & \mathcal{A}^{\vee}. \end{array}$$

Hence the functor from the left-top corner to the right-bottom corner has a natural $(\mathcal{A}, \mathcal{A})$ -linear structure, which is declared to be the counit functor for the desired duality.

It remains to check the axioms for duality, which reduces to (ii) by a routine diagram chasing.

□[Second proof of Lemma A.3.4]

Remark A.3.10. We do *not* know whether Lemma A.3.4 holds in the constructible contexts because of failure of knowing (ii).

A.4. D-modules. In this subsection we review the two different notions (D^l and D^*) of categories of D-modules on general prestacks. We refer the reader to [Ras15b] for details and proofs.

A.4.1. Base-change isomorphisms and correspondences. Recall that we have a symmetric monoidal functor

$$\text{D}_{\text{ft}} : (\text{Sch}_{\text{ft}}^{\text{aff}})^{\text{op}} \rightarrow \text{DGCat}, \quad Y \mapsto \text{D}(Y), \quad (f : Y_1 \rightarrow Y_2) \mapsto (f^! : \text{D}(Y_2) \rightarrow \text{D}(Y_1)),$$

where $\text{D}(Y)$ is the DG categories of D-modules on Y . The symmetric monoidal structure mentioned above is given by the equivalences $\boxtimes : \text{D}(Y_1) \otimes \text{D}(Y_2) \simeq \text{D}(Y_1 \times Y_2)$, which we refer as the *product*

formula. As in [Gai18a, § 1.2.3], the functor D_{ft} encodes not only the $!$ -pullback functors, but also the $*$ -pushforward ones. Moreover, they can be extended and assembled into a functor

$$(A.2) \quad D : \text{Corr}(\text{Sch}_{\text{ft}})_{\text{all,all}} \rightarrow \text{DGCat}$$

that also encodes the base-change isomorphisms, where $\text{Corr}(\text{Sch}_{\text{ft}})_{\text{all,all}}$ is the category of finite type schemes whose morphisms are given by correspondences.

We refer the reader to [GR17a, Chapter 7] for the theory of categories of correspondences. Roughly speaking, for a category \mathcal{C} and two classes $vert, hori$ of morphisms satisfying certain properties, one can define a category $\text{Corr}(\mathcal{C})_{vert, hori}$, such that a 2-functor $\Phi : \text{Corr}(\mathcal{C})_{vert, hori} \rightarrow \text{DGCat}$ encodes the following data:

- An assignment $c \in \mathcal{C} \rightsquigarrow \Phi(c) \in \text{DGCat}$, which is covariant for morphisms in $vert$, contravariant for morphisms in $hori$. For $f : c_1 \rightarrow c_2$ in $vert$ (resp. $hori$), the functor $\Phi(c_1) \rightarrow \Phi(c_2)$ (resp. $\Phi(c_2) \rightarrow \Phi(c_1)$) is referred as the $*$ -pushforward functor (resp. $!$ -pullback functor).
- *Base-change isomorphisms* for Cartesian squares between the $*$ -pushforward functors and $!$ -pullback functors whenever they are defined.

The above data should be compatible homotopy-coherently. On the other hand, if the readers do not worry about homotopy-coherence, they can ignore the appearance of Corr in this paper.

A.4.2. *D-modules on prestacks.* We summarize various categories of D-modules on prestacks appeared in the literature as below.

(1) Let $\text{IndSch}_{\text{ift}}$ be the category of ind-schemes of ind-finite type. Using [GR17a, Chapter 8, Theorem 1.1.9] and [GR17a, Chapter 9], there is a symmetric monoidal functor

$$(A.3) \quad D : \text{Corr}(\text{IndSch}_{\text{ift}})_{\text{all,all}} \rightarrow \text{DGCat}$$

extending the functor (A.2), such that

- the restriction $D|_{(\text{IndSch}_{\text{ift}})^{\text{op}}}$ is the right Kan extension of $D|_{(\text{Sch}_{\text{ft}})^{\text{op}}}$;
- the restriction $D|_{\text{IndSch}_{\text{ift}}}$ is the left Kan extension of $D|_{\text{Sch}_{\text{ft}}}$.

(2) Let $indsch$ be the class of morphisms in $\text{PreStk}_{\text{ift}}$ that are ind-schematic. Using [GR17b, Chapter 4, Theorem 2.1.2], there is a *right-lax* symmetric monoidal functor

$$(A.4) \quad D^! : \text{Corr}(\text{PreStk}_{\text{ift}})_{\text{indsch,all}} \rightarrow \text{DGCat}$$

extending the functor (A.3), such that

- the restriction $D^!|_{(\text{PreStk}_{\text{ift}})^{\text{op}}}$ is the right Kan extension of $D|_{(\text{IndSch}_{\text{ift}})^{\text{op}}}$.

(3) Let fp be the class of morphisms in PreStk that are schematic and of finite presentation. As in [Ras15b]³⁶, there are *right-lax* symmetric monoidal functors

$$(A.5) \quad D^! : \text{Corr}(\text{PreStk})_{\text{fp,all}} \rightarrow \text{DGCat}, \quad D^* : \text{Corr}(\text{PreStk})_{\text{all,fp}} \rightarrow \text{DGCat},$$

extending the functor of (A.2), such that

- $D^!$ coincides with (A.4) when restricted to $\text{Corr}(\text{PreStk}_{\text{ift}})_{\text{sch,all}}$;
- D^* coincides with (A.3) when restricted to $\text{Corr}(\text{IndSch}_{\text{ift}})_{\text{all,fp}}$.

In other words, there are two different theories $D^!$ and D^* of D-modules on prestacks, which coincide on ind-schemes of ind-finite type. The always-existing functoriality for $D^!$ (resp. D^*) is given by $!$ -pullback (resp. $*$ -pushforward) functors. Moreover, if a map $f : \mathcal{Y}_1 \rightarrow \mathcal{Y}_2$ is of finite presentation, we also have functors

$$f_*^{D^!} : D^!(\mathcal{Y}_1) \rightarrow D^!(\mathcal{Y}_2), \quad f_{D^*}^! : D^*(\mathcal{Y}_2) \rightarrow D^*(\mathcal{Y}_1)^{37},$$

³⁶ [Ras15b, Subsection 6.3] only stated these functors out of categories of correspondences for ind-schemes. However, the constructions there work for all prestacks. In details, one can define the desired functor $\text{Corr}(\text{PreStk})_{\text{fp,all}} \rightarrow \text{DGCat}$ as the right Kan extension of the functor $D^! : \text{Corr}(\text{Sch}_{\text{qcqs}})_{\text{fp,all}}$ (defined in [Ras15b, Subsection 3.8]) along the fully faithful functor $\text{Corr}(\text{Sch}_{\text{qcqs}})_{\text{fp,all}} \subset \text{Corr}(\text{PreStk})_{\text{fp,all}}$. The restriction of the resulting extension to $\text{PreStk}^{\text{op}}$ coincides with the functor in *loc.cit.* by an obvious check of cofinality. The construction of $\text{Corr}(\text{PreStk})_{\text{all,fp}} \rightarrow \text{DGCat}$ is similar.

³⁷They were denoted by $f_{*,1-dR}$ and $f^!$ respectively in [Ras15b].

characterized by:

- For open embeddings f , we have adjoint pairs $(f^!, f_*^{D^!})$ and $(f_{D^*}^!, f_*)$;
- For schematic and proper maps f , we have adjoint pairs $(f_*^{D^!}, f^!)$ and $(f_*, f_{D^*}^!)$.

Moreover, when restricted to lft prestacks, the functors $f_*^{D^!}$ are also defined for ind-schematic maps.

For two prestacks $\mathcal{Y}_1, \mathcal{Y}_2$, we write $\boxtimes^* : D^*(\mathcal{Y}_1) \otimes D^*(\mathcal{Y}_2) \rightarrow D^*(\mathcal{Y}_1 \times \mathcal{Y}_2)$ (resp. $\boxtimes^! : D^!(\mathcal{Y}_1) \otimes D^!(\mathcal{Y}_2) \rightarrow D^!(\mathcal{Y}_1 \times \mathcal{Y}_2)$) for the functors witnessing the right-lax symmetric monoidal structures mentioned before. They are not equivalences in general.

Remark A.4.3. By construction, all the D-module theories considered in this subsection are insensitive to nil-isomorphisms.

A.4.4. *D-modules on placid indschemes.* Write $\text{IndSch}_{\text{placid}}$ for the full subcategory of PreStk consisting of placid indschemes³⁸. It is known that the right-lax symmetric monoidal structures on the restrictions $D^!|_{\text{Corr}(\text{IndSch}_{\text{placid}})_{\text{fp}, \text{all}}}$ and $D^*|_{\text{Corr}(\text{IndSch}_{\text{placid}})_{\text{all}, \text{fp}}}$ are both strict.

Let $\mathcal{Y} \in \text{IndSch}_{\text{placid}}$. It is known that both $D^!(\mathcal{Y})$ and $D^*(\mathcal{Y})$ are compactly generated hence dualizable. Moreover, there is a commutative diagram

$$(A.6) \quad \begin{array}{ccc} (\text{Corr}(\text{IndSch}_{\text{placid}})_{\text{all}, \text{fp}})^{\text{op}} & \xrightarrow{(D^*)^{\text{op}}} & (\text{DGCat}^d)^{\text{op}} \\ \simeq \downarrow \varpi & & \simeq \downarrow \text{dualize} \\ \text{Corr}(\text{IndSch}_{\text{placid}})_{\text{fp}, \text{all}} & \xrightarrow{D^!} & \text{DGCat}^d, \end{array}$$

where ϖ is the anti-involution whose restriction on the sets of objects is the identity map (see [GR17a, Chapter 9, Subsection 2.2]), and DGCat^d is the full subcategory of DGCat consisting of dualizable DG categories. Also, the above diagram is compatible with the Verdier duality for D-modules on indschemes of ind-finite type.

The following lemma is put here for future reference

Lemma A.4.5. (c.f. [Ras15b, Lemma 6.9.1(2)]) For a separated finite type scheme S , and two placid indschemes $\mathcal{Y}_1, \mathcal{Y}_2$ over S , write $\Delta' : \mathcal{Y}_1 \times_S \mathcal{Y}_2 \rightarrow \mathcal{Y}_1 \times \mathcal{Y}_2$ for the base-change of the diagonal map $\Delta : S \rightarrow S \times S$. Then the functor

$$D^*(\mathcal{Y}_1) \otimes D^*(\mathcal{Y}_2) \xrightarrow{\boxtimes^*} D^*(\mathcal{Y}_1 \times \mathcal{Y}_2) \xrightarrow{(\Delta')_{D^*}^!} D^*(\mathcal{Y}_1 \times_S \mathcal{Y}_2)$$

induces an isomorphism

$$D^*(\mathcal{Y}_1) \otimes_{D(S)} D^*(\mathcal{Y}_2) \simeq D^*(\mathcal{Y}_1 \times_S \mathcal{Y}_2).$$

Proof. Note that $(\Delta')_{D^*}^!$ has a fully faithful left adjoint Δ'_* . Also note that the obvious functor $p : D^*(\mathcal{Y}_1) \otimes D^*(\mathcal{Y}_2) \rightarrow D^*(\mathcal{Y}_1) \otimes_{D(S)} D^*(\mathcal{Y}_2)$ can be identified with

$$(D(S) \otimes D(S)) \otimes_{D(S \times S)} (D^*(\mathcal{Y}_1) \otimes D^*(\mathcal{Y}_2)) \xrightarrow{\otimes^! \otimes \mathbf{Id}} D(S) \otimes_{D(S \times S)} (D^*(\mathcal{Y}_1) \otimes D^*(\mathcal{Y}_2)).$$

It has a left adjoint p^L induced by the $D(S \times S)$ -linear functor

$$D(S) \xrightarrow{\Delta_*} D(S \times S) \simeq D(S) \otimes D(S).$$

By construction, the corresponding natural transformation $\mathbf{Id} \rightarrow p \circ p^L$ is an isomorphism. Hence p^L is also fully faithful. Therefore, it remains to show that the endo-functor $p^L \circ p$ is identified with the endo-functor $\Delta'_* \circ (\Delta')_{D^*}^!$ via the equivalence $\boxtimes^* : D^*(\mathcal{Y}_1) \otimes D^*(\mathcal{Y}_2) \simeq D^*(\mathcal{Y}_1 \times \mathcal{Y}_2)$. However, this follows from the compatibility between exterior products and base-change isomorphisms.

□[Lemma A.4.5]

³⁸We refer the reader to [Ras15b, Subsection 6.8] for the notion of placid indschemes. All indschemes appear in this paper are placid.

A.4.6. *Ind-holonomic D-modules.* Let Z be a finite type scheme. Write $D_{\text{rh}}(Z)$ for the full DG-subcategory of $D(Z)$ generated by holonomic D-modules. By definition, $D_{\text{rh}}(Z)$ is compactly generated by holonomic D-modules on Z . We refer the objects in $D_{\text{rh}}(Z)$ as *regular ind-holonomic D-modules on Z* . It is well known that $!$ -pullback and $*$ -pushforward functors send regular ind-holonomic D-modules to regular ind-holonomic D-modules. Moreover, the Verdier duality induces an equivalence $D_{\text{rh}}(Z) \simeq D_{\text{rh}}(Z)^\vee$.

Let Y be a lft prestack. One define

$$D_{\text{rh}}(Y) := \lim_{Z \in ((\text{Sch}_{\text{ft}}^{\text{aff}})_Y)^{\text{op}}} D_{\text{rh}}(Z),$$

where the connecting functors are $!$ -pullback functors. We refer objects in it as *regular ind-holonomic D-modules on Y* .

Suppose $Y \simeq \text{colim } Y_\alpha$ is an ind-finite type indscheme. It is known that

$$D_{\text{rh}}(Y) \simeq \lim_{! \text{-pullback}} D_{\text{rh}}(Y_\alpha).$$

Using Remark A.1.2, we also have an equivalence

$$D_{\text{rh}}(Y) \simeq \text{colim}_{* \text{-pushforward}} D_{\text{rh}}(Y_\alpha).$$

Hence by Lemma A.1.5, $D_{\text{rh}}(Y)$ is compactly generated by holonomic D-modules supported on one of the Y_α 's.

APPENDIX B. GROUP ACTIONS ON CATEGORIES

In this Appendix, we review the general framework of categories acted on by *relative placid group indschemes*, which was established in [Ras16, Subsection 2.17].

B.1. Invariance and coinvariants.

B.1.1. *Categories acted on by group indschemes.* Let S be a separated finite type scheme and $p: \mathcal{H} \rightarrow S$ be a group indscheme over S whose underlying indscheme is placid. The symmetric monoidal structure on $D^* : \text{Corr}(\text{IndSch}_{\text{placid}})_{\text{all,fp}} \rightarrow \text{DGCat}$ upgrades $D^*(\mathcal{H})$ to an augmented associative algebra object in $D(S)\text{-mod}$. Forgetting the $D(S)$ -linearity, we obtain a monoidal DG category $(D^*(\mathcal{H}), \star)$, whose multiplication is given by convolutions.

Dually, the pair $D^1(\mathcal{H})$ can be upgraded to a co-augmented co-associative coalgebra object in $D(S)\text{-mod}$. And we obtain a co-monoidal DG category $(D^1(\mathcal{H}), \delta)$. By construction, it is dual to the monoidal DG category $(D^*(\mathcal{H}), \star)$.

Moreover, by Lemma A.3.4, A.3.2, $D^*(\mathcal{H})$ and $D^1(\mathcal{H})$ are dual in $D(S)\text{-mod}$. Therefore we have:

Proposition-Definition B.1.2. *The following categories are equivalent:*

- (1) $(D^*(\mathcal{H}), \star)\text{-mod}$;
- (2) $D^*(\mathcal{H})\text{-mod}(D(S)\text{-mod})$;
- (3) $(D^1(\mathcal{H}), \delta)\text{-comod}$;
- (4) $(D^1(\mathcal{H}))\text{-comod}(D(S)\text{-mod})$.

Moreover, the above equivalences are compatible with forgetful functors to DGCat and tensoring with objects in DGCat .

We define $\mathcal{H}\text{-mod}$ as any/all of the above categories, and refer it as the category of categories acted on by \mathcal{H} (relative to S).

Remark B.1.3. In the constructible contexts, because of lack of Lemma A.3.4, we do not know whether $\text{Shv}^1(\mathcal{H})$ can be upgraded to a coalgebra object in $\text{Shv}(S)\text{-mod}$. Hence (4) does not make sense. However, (1)(2)(3) remain valid in the constructible contexts.

Remark B.1.4. As usual, $\mathcal{H}\text{-mod}$ can be enriched over $D(S)\text{-mod}$, i.e. for any $\mathcal{M}, \mathcal{N} \in \mathcal{H}\text{-mod}$, we have an object

$$\text{Funct}_{\mathcal{H}}(\mathcal{M}, \mathcal{N}) \in D(S)\text{-mod}$$

satisfying the following universal property:

$$\text{Funct}_S(\mathcal{C}, \text{Funct}_{\mathcal{H}}(\mathcal{M}, \mathcal{N})) \simeq \text{Funct}_{\mathcal{H}}(\mathcal{M} \otimes_{D(S)} \mathcal{C}, \mathcal{N}).$$

B.1.5. Invariance and coinvariants. Let \mathcal{H} be as before. The augmentation $p_* : D^*(\mathcal{H}) \rightarrow D(S)$ induces a functor (the trivial action functor)

$$\mathbf{triv}_{\mathcal{H}} : D(S)\text{-mod} \rightarrow \mathcal{H}\text{-mod},$$

which commutes with both colimits and limits. It has both a left adjoint and a right adjoint, which we refer respectively as taking *coinvariants* and *invariants*:

$$\mathbf{coinv}_{\mathcal{H}} : \mathcal{H}\text{-mod} \rightarrow D(S)\text{-mod}, \mathcal{C} \mapsto \mathcal{C}_{\mathcal{H}},$$

$$\mathbf{inv}_{\mathcal{H}} : \mathcal{H}\text{-mod} \rightarrow D(S)\text{-mod}, \mathcal{C} \mapsto \mathcal{C}^{\mathcal{H}}.$$

Explicitly, they are given by formula

$$\mathcal{C}_{\mathcal{H}} \simeq D(S) \otimes_{D^*(\mathcal{H})} \mathcal{C}, \mathcal{C}^{\mathcal{H}} \simeq \text{Funct}_{\mathcal{H}}(D(S), \mathcal{C}),$$

and can be calculated via bar (resp. cobar) constructions. Note that the adjunction natural transformations for the pairs $(\mathbf{coinv}_{\mathcal{H}}, \mathbf{triv}_{\mathcal{H}})$ and $(\mathbf{triv}_{\mathcal{H}}, \mathbf{inv}_{\mathcal{H}})$ are given respectively by

$$\mathbf{pr}_{\mathcal{H}} : \mathcal{C} \simeq D^*(\mathcal{H}) \otimes_{D^*(\mathcal{H})} \mathcal{C} \xrightarrow{p_* \otimes \text{Id}} D(S) \otimes_{D^*(\mathcal{H})} \mathcal{C} \simeq \mathbf{triv}_{\mathcal{H}}(\mathcal{C}_{\mathcal{H}}),$$

$$\mathbf{oblv}^{\mathcal{H}} : \mathbf{triv}_{\mathcal{H}}(\mathcal{C}^{\mathcal{H}}) \simeq \text{Funct}_{\mathcal{H}}(D(S), \mathcal{C}) \xrightarrow{- \circ p_*} \text{Funct}_{\mathcal{H}}(D^*(\mathcal{H}), \mathcal{C}) \simeq \mathcal{C}.$$

We abuse notation by using the same symbols to denote the functors between the underlying DG categories.

Let $\mathcal{H} \rightarrow \mathcal{G}$ be a morphism between two group indschemes as above. The restriction functors $\mathbf{res}_{\mathcal{G} \rightarrow \mathcal{H}} : \mathcal{G}\text{-mod} \rightarrow \mathcal{H}\text{-mod}$ commutes with both colimits and limits. It has both a left adjoint $\mathbf{ind}_{\mathcal{H} \rightarrow \mathcal{G}}$ and a right adjoint $\mathbf{coind}_{\mathcal{H} \rightarrow \mathcal{G}}$ calculated by obvious formulae.

The following lemma is put here for future reference.

Lemma B.1.6. *Let $\mathcal{D} \rightarrow \mathcal{C}$ be a morphism in $\mathcal{H}\text{-mod}$. Suppose the underlying functor $\mathcal{D} \rightarrow \mathcal{C}$ is fully faithful, then the induced functor $\mathcal{D}^{\mathcal{H}} \rightarrow \mathcal{C}^{\mathcal{H}}$ is also fully faithful, and the obvious functor $\mathcal{D}^{\mathcal{H}} \rightarrow \mathcal{C}^{\mathcal{H}} \times_{\mathcal{C}} \mathcal{D}$ is an equivalence.*

Proof. It follows from the cobar construction .

□[Lemma B.1.6]

B.1.7. Change-of-base. Let $\mathcal{H}_S \rightarrow S$ be as before and $T \rightarrow S$ be a separated finite type scheme over S . Write $\mathcal{H}_T \rightarrow T$ for the base-change of p_S . This sub-subsection is devoted to the study of the relationships between taking invariants or coinvariants in $\mathcal{H}_S\text{-mod}$ and $\mathcal{H}_T\text{-mod}$.

Note that the projection map $\phi : \mathcal{H}_T \rightarrow \mathcal{H}_S$ is finitely presented, hence we have the functor $\phi_{D^*}^! : D^*(\mathcal{H}_S) \rightarrow D^*(\mathcal{H}_T)$. Thanks to the symmetric monoidal structure on

$$D^* : \text{Corr}(\text{IndSch}_{\text{placid}})_{\text{all,fp}} \rightarrow \text{DGCat},$$

$\phi_{D^*}^!$ can be upgraded to a monoidal functor. Hence we have the following commutative diagrams:

$$(B.1) \quad \begin{array}{ccc} \mathcal{H}_T\text{-mod} & \xrightarrow{\mathbf{res}_{\mathcal{H}_T \rightarrow \mathcal{H}_S}} & \mathcal{H}_S\text{-mod} \\ \mathbf{res}_{\mathcal{H}_T \rightarrow T} \downarrow & & \downarrow \mathbf{res}_{\mathcal{H}_S \rightarrow S} \\ D(T)\text{-mod} & \xrightarrow{\mathbf{res}_{T \rightarrow S}} & D(S)\text{-mod}, \end{array} \quad \begin{array}{ccc} \mathcal{H}_T\text{-mod} & \xrightarrow{\mathbf{res}_{\mathcal{H}_T \rightarrow \mathcal{H}_S}} & \mathcal{H}_S\text{-mod} \\ \mathbf{triv}_{\mathcal{H}_T} \uparrow & & \uparrow \mathbf{triv}_{\mathcal{H}_S} \\ D(T)\text{-mod} & \xrightarrow{\mathbf{res}_{T \rightarrow S}} & D(S)\text{-mod}. \end{array}$$

We have:

Lemma B.1.8. (1) Both commutative squares in (B.1) are left adjointable along the horizontal directions. In other words, we have commutative diagrams

$$\begin{array}{ccc} \mathcal{H}_T\text{-mod} & \xleftarrow{\text{ind}_{\mathcal{H}_S \rightarrow \mathcal{H}_T}} & \mathcal{H}_S\text{-mod} \\ \text{res}_{\mathcal{H}_T \rightarrow T} \downarrow & & \downarrow \text{res}_{\mathcal{H}_S \rightarrow S} \\ \text{D}(T)\text{-mod} & \xleftarrow{\text{ind}_{S \rightarrow T}} & \text{D}(S)\text{-mod}, \end{array} \quad \begin{array}{ccc} \mathcal{H}_T\text{-mod} & \xleftarrow{\text{ind}_{\mathcal{H}_S \rightarrow \mathcal{H}_T}} & \mathcal{H}_S\text{-mod} \\ \text{triv}_{\mathcal{H}_T} \uparrow & & \uparrow \text{triv}_{\mathcal{H}_S} \\ \text{D}(T)\text{-mod} & \xleftarrow{\text{ind}_{S \rightarrow T}} & \text{D}(S)\text{-mod}. \end{array}$$

(2) The second commutative square in (1) is both left adjointable and right adjointable along the vertical directions. In other words, for any $\mathcal{C} \in \mathcal{H}_S\text{-mod}$, the base-change $\text{D}(T) \otimes_{\text{D}(S)} \mathcal{C}$ can be upgraded to an object in $\mathcal{H}_T\text{-mod}$ such that there are $\text{D}(S)$ -linear isomorphisms

$$\begin{aligned} (\text{D}(T) \otimes_{\text{D}(S)} \mathcal{C})_{\mathcal{H}_T} &\simeq \text{D}(T) \otimes_{\text{D}(S)} \mathcal{C}_{\mathcal{H}_S}, \\ \text{D}(T) \otimes_{\text{D}(S)} \mathcal{C}^{\mathcal{H}_S} &\simeq (\text{D}(T) \otimes_{\text{D}(S)} \mathcal{C})^{\mathcal{H}_T}. \end{aligned}$$

(3) The second commutative square in (B.1) is both left adjointable and right adjointable along the vertical directions. In other words, for any $\mathcal{C} \in \mathcal{H}_T\text{-mod}$, it can be viewed as an object in $\mathcal{H}_S\text{-mod}$ via restriction such that there are $\text{D}(S)$ -linear isomorphisms $\mathcal{C}_{\mathcal{H}_S} \simeq \mathcal{C}_{\mathcal{H}_T}$, $\mathcal{C}^{\mathcal{H}_T} \simeq \mathcal{C}^{\mathcal{H}_S}$.

Proof. We first prove the first commutative diagram in (1). Let $\mathcal{C} \in \mathcal{H}_S\text{-mod}$. It suffices to show that the natural functor

$$(\text{D}(T) \otimes_{\text{D}(S)} \text{D}^*(\mathcal{H}_S)) \otimes_{\text{D}^*(\mathcal{H}_S)} \mathcal{C} \rightarrow \text{D}^*(\mathcal{H}_T) \otimes_{\text{D}^*(\mathcal{H}_S)} \mathcal{C}$$

is an isomorphism. However, by [Ras16, Proposition 6.9.1], we have

$$(B.2) \quad \text{D}(T) \otimes_{\text{D}(S)} \text{D}^*(\mathcal{H}_S) \simeq \text{D}^*(\mathcal{H}_T)$$

as desired.

The proof for the second commutative diagram in (1) is similar. In fact, it is a formal consequence of this first one, because $\text{res}_{\mathcal{H}_T \rightarrow T}$ is conservative.

Now we prove (2). The left adjointability is obtained by passing to left adjoints in the second commutative square of (B.1). For the right adjointability, let $\mathcal{C} \in \mathcal{H}_S\text{-mod}$. It suffices to show that the natural functor

$$\text{D}(T) \otimes_{\text{D}(S)} \text{Funct}_{\mathcal{H}_S}(\text{D}(S), \mathcal{C}) \rightarrow \text{Funct}_{\mathcal{H}_T}(\text{D}(T), \text{D}^*(\mathcal{H}_T) \otimes_{\text{D}^*(\mathcal{H}_S)} \mathcal{C})$$

is an isomorphism. Unwinding the definitions, the above functor is the composition of functors

$$(B.3) \quad \text{D}(T) \otimes_{\text{D}(S)} \text{Funct}_{\mathcal{H}_S}(\text{D}(S), \mathcal{C}) \rightarrow \text{Funct}_{\mathcal{H}_S}(\text{D}(S), \text{D}(T) \otimes_{\text{D}(S)} \mathcal{C}),$$

$$(B.4) \quad \begin{aligned} &\text{Funct}_{\mathcal{H}_S}(\text{D}(S), \text{D}(T) \otimes_{\text{D}(S)} \mathcal{C}) \\ &\simeq \text{Funct}_{\mathcal{H}_S}(\text{D}(S), \text{D}^*(\mathcal{H}_T) \otimes_{\text{D}^*(\mathcal{H}_S)} \mathcal{C}) \\ &\simeq \text{Funct}_{\mathcal{H}_T}(\text{D}^*(\mathcal{H}_T) \otimes_{\text{D}^*(\mathcal{H}_S)} \text{D}(S), \text{D}^*(\mathcal{H}_T) \otimes_{\text{D}^*(\mathcal{H}_S)} \mathcal{C}) \\ &\simeq \text{Funct}_{\mathcal{H}_T}(\text{D}(T), \text{D}^*(\mathcal{H}_T) \otimes_{\text{D}^*(\mathcal{H}_S)} \mathcal{C}), \end{aligned}$$

where the equivalences (B.4) are due to (B.2). Therefore it suffices to prove that (B.3) is an equivalence.

Rewrite (B.3) as

$$\text{D}(T) \otimes_{\text{D}(S)} \lim_{\Delta} \text{Funct}_S(\text{D}^*(\mathcal{H}_S)^{\otimes_{\text{D}(S)}}, \mathcal{C}) \rightarrow \lim_{\Delta} \text{Funct}_S(\text{D}^*(\mathcal{H}_S)^{\otimes_{\text{D}(S)}}, \text{D}(T) \otimes_{\text{D}(S)} \mathcal{C}).$$

Recall $D(T)$ is self-dual in $D(S)$ -mod (see § B.7.1). Hence $D(T) \otimes_{D(S)} -$ commutes with limits. Hence it remains to prove

$$D(T) \otimes_{D(S)} \text{Funct}_S(D^*(\mathcal{H}_S)^{\otimes_{D(S)}}, \mathcal{C}) \simeq \text{Funct}_S(D^*(\mathcal{H}_S)^{\otimes_{D(S)}}, D(T) \otimes_{D(S)} \mathcal{C}).$$

Note that $D^*(\mathcal{H}_S)$ is dualizable in DGCat (see § A.4.4). By Lemma A.3.2, it is also dualizable in $D(S)$ -mod. Hence it suffices to prove

$$D(T) \otimes_{D(S)} \text{Funct}_S(\mathcal{D}, \mathcal{C}) \simeq \text{Funct}_S(\mathcal{D}, D(T) \otimes_{D(S)} \mathcal{C})$$

for any dualizable object $\mathcal{D} \in D(S)$ -mod. However, we have $\text{Funct}_S(\mathcal{D}, -) \simeq \mathcal{D}^{\vee, D(S)} \otimes_{D(S)} -$, which makes the desired claim obvious.

It remains to prove (3). The right adjointability is obtained by passing to right adjoints in the second commutative square of (1). For the left adjointability, let $\mathcal{C} \in \mathcal{H}_T$ -mod. It suffices to show that

$$(D(S) \otimes_{D^*(\mathcal{H}_S)} D^*(\mathcal{H}_T)) \otimes_{D^*(\mathcal{H}_T)} \mathcal{C} \rightarrow D(T) \otimes_{D^*(\mathcal{H}_T)} \mathcal{C}$$

is an equivalence. However, this follows from the equivalence (B.2).

□[Lemma B.1.8]

Remark B.1.9. In the constructible contexts, we can only prove the lemma when $T \rightarrow S$ is either a closed or open embedding.

B.1.10. Duality. Let $\mathcal{C} \in \mathcal{H}$ -mod. Assume \mathcal{C} is dualizable in DGCat . By § A.2.3, it is right-dualizable as a $(D^*(\mathcal{H}), \text{Vect})$ -bimodule DG category. We denote its right-dual by \mathcal{C}^\vee , which is a $(\text{Vect}, D^*(\mathcal{H}))$ -bimodule DG category, i.e. a right $D^*(\mathcal{H})$ -module DG category.

Consider the anti-involution on \mathcal{H} given by taking inverse. It induces an anti-involution $(D^*(\mathcal{H}), \star) \simeq (D^*(\mathcal{H}), \star)^{\text{rev}}$. Hence we can also view \mathcal{C}^\vee as a *left* $D^*(\mathcal{H})$ -module DG category. In other words, \mathcal{C}^\vee can be upgraded to an object in \mathcal{H} -mod.

The following lemmas are put here for future reference.

Lemma B.1.11. *Suppose $\mathcal{C}_{\mathcal{H}}$ is dualizable in DGCat . Then we have a S -linear equivalence*

$$(\mathcal{C}_{\mathcal{H}})^\vee \simeq (\mathcal{C}^\vee)_{\mathcal{H}}.$$

Moreover, via this duality, the functors $\mathbf{pr}_{\mathcal{H}} : \mathcal{C} \rightarrow \mathcal{C}_{\mathcal{H}}$ and $\mathbf{obl}_{\mathcal{H}} : (\mathcal{C}^\vee)_{\mathcal{H}} \rightarrow \mathcal{C}^\vee$ are dual to each other.

Proof. We have

$$\begin{aligned} \text{Funct}(\mathcal{C}_{\mathcal{H}}, \text{Vect}) &\simeq \text{Funct}(D(S) \otimes_{D^*(\mathcal{H})} \mathcal{C}, \text{Vect}) \simeq \text{Funct}_{\mathcal{H}^{\text{rev}}}(D(S), \text{Funct}(\mathcal{C}, \text{Vect})) \simeq \\ &\simeq \text{Funct}_{\mathcal{H}^{\text{rev}}}(D(S), \mathcal{C}^\vee) \simeq (\mathcal{C}^\vee)_{\mathcal{H}}. \end{aligned}$$

□[Lemma B.1.11]

Lemma B.1.12. *Let $\mathcal{C} \in \mathcal{H}$ -mod.*

(1) *For any $\mathcal{D} \in \text{DGCat}$, there is a canonical functor*

$$\mathcal{C}^{\mathcal{H}} \otimes \mathcal{D} \rightarrow (\mathcal{C} \otimes \mathcal{D})^{\mathcal{H}}.$$

(2) *For any $\mathcal{D} \in D(S)$ -mod, there is a canonical functor*

$$\mathcal{C}^{\mathcal{H}} \otimes_{D(S)} \mathcal{D} \rightarrow (\mathcal{C} \otimes_{D(S)} \mathcal{D})^{\mathcal{H}}.$$

(3) *The functors in (1) and (2) are equivalences if \mathcal{D} is dualizable in DGCat .*

(4) *Suppose \mathcal{C} is dualizable in DGCat . The following statements are equivalent:*

- (a) *the functor in (1) is an equivalence for any $\mathcal{D} \in \text{DGCat}$;*
- (b) *the functor in (2) is an equivalence for any $\mathcal{D} \in D(S)$ -mod;*
- (c) *$(\mathcal{C}^\vee)_{\mathcal{H}}$ is dualizable in $D(S)$ -mod,*

(d) $(\mathcal{C}^\vee)_{\mathcal{H}}$ is dualizable in DGCat .

Proof. The functor in (2) is given by

$$\mathcal{C}^{\mathcal{H}} \otimes_{\text{D}(S)} \mathcal{D} \simeq \text{Funct}_{\mathcal{H}}(\text{D}(S), \mathcal{C}) \otimes_{\text{D}(S)} \mathcal{D} \rightarrow \text{Funct}_{\mathcal{H}}(\text{D}(S), \mathcal{C} \otimes_{\text{D}(S)} \mathcal{D}) \simeq (\mathcal{C} \otimes_{\text{D}(S)} \mathcal{D})^{\mathcal{H}}.$$

The functor in (1) is obtained by replacing \mathcal{D} in (2) by $\mathcal{D} \otimes \text{D}(S)$.

If \mathcal{D} is dualizable in $\text{D}(S)$ -mod, writing \mathcal{E} for its dual, we have

$$\begin{aligned} \text{Funct}_{\mathcal{H}}(\text{D}(S), \mathcal{C}) \otimes_{\text{D}(S)} \mathcal{D} &\simeq \text{Funct}_S(\mathcal{E}, \text{Funct}_{\mathcal{H}}(\text{D}(S), \mathcal{C})) \simeq \\ &\simeq \text{Funct}_{\mathcal{H}}(\text{D}(S), \text{Funct}_S(\mathcal{E}, \mathcal{C})) \simeq \text{Funct}_{\mathcal{H}}(\text{D}(S), \mathcal{C} \otimes_{\text{D}(S)} \mathcal{D}). \end{aligned}$$

This proves (3).

It remains to prove (4). Note that by Lemma A.3.4, A.3.2, \mathcal{C} is also dualizable in $\text{D}(S)$ -mod, and the duals of \mathcal{C} in these two senses are identified.

By construction, we have $(b) \Rightarrow (a)$.

Suppose that (c) holds. By Lemma B.1.11, $(\mathcal{C}^\vee)_{\mathcal{H}}$ and $\mathcal{C}^{\mathcal{H}}$ are dual to each other in $\text{D}(S)$ -mod. Hence we have

$$\begin{aligned} \mathcal{C}^{\mathcal{H}} \otimes_{\text{D}(S)} \mathcal{D} &\simeq \text{Funct}_S((\mathcal{C}^\vee)_{\mathcal{H}}, \mathcal{D}) \simeq \text{Funct}_S(\mathcal{C}^\vee \otimes_{\text{D}^*(\mathcal{H})} \text{D}(S), \mathcal{D}) \simeq \\ &\simeq \text{Funct}_{\mathcal{H}}(\text{D}(S), \text{Funct}_S(\mathcal{C}^\vee, \mathcal{D})) \simeq \text{Funct}_{\mathcal{H}}(\text{D}(S), \mathcal{C} \otimes_{\text{D}(S)} \mathcal{D}) \simeq (\mathcal{C} \otimes_{\text{D}(S)} \mathcal{D})^{\mathcal{H}}. \end{aligned}$$

It follows from construction that this equivalence is the functor in (2). This proves $(c) \Rightarrow (b)$.

By Lemma A.3.4, we have $(d) \Rightarrow (c)$.

It remains to prove $(a) \rightarrow (d)$. For any testing $\mathcal{D} \in \text{DGCat}$, we have

$$\begin{aligned} \text{Funct}_{\text{Vect}}((\mathcal{C}^\vee)_{\mathcal{H}}, \mathcal{D}) &\simeq \text{Funct}_{\text{Vect}}(\mathcal{C}^\vee \otimes_{\text{D}^*(\mathcal{H})} \text{D}(S), \mathcal{D}) \simeq \text{Funct}_{\mathcal{H}}(\text{D}(S), \text{Funct}_{\text{Vect}}(\mathcal{C}^\vee, \mathcal{D})) \simeq \\ &\simeq \text{Funct}_{\mathcal{H}}(\text{D}(S), \mathcal{C} \otimes \mathcal{D}) \simeq (\mathcal{C} \otimes \mathcal{D})^{\mathcal{H}} \simeq \mathcal{C}^{\mathcal{H}} \otimes \mathcal{D}. \end{aligned}$$

This proves that $(\mathcal{C}^\vee)_{\mathcal{H}}$ and $\mathcal{C}^{\mathcal{H}}$ are dual to each other.

□[Lemma B.1.12]

Remark B.1.13. In the constructible contexts, we can only prove $(b) \Leftrightarrow (c) \Rightarrow (d) \Leftrightarrow (a)$.

B.2. Pro-smooth group schemes. Suppose $p : \mathcal{H} \rightarrow S$ is a *pro-smooth group scheme*, i.e. a filtered limit of smooth affine groups schemes under smooth surjections. In the proof of [Ras16, Proposition 2.17.9], it is shown³⁹ that the functor p_* has a $(\mathcal{H}, \mathcal{H})$ -linear left adjoint $p^* : \text{D}(S) \rightarrow \text{D}^*(\mathcal{H})$ ⁴⁰.

Therefore for any $\mathcal{C} \in \mathcal{H}$ -mod, the functor $\mathbf{oblv}^{\mathcal{H}}$ has a \mathcal{H} -linear right adjoint

$$(B.5) \quad \mathbf{Av}_*^{\mathcal{H}} : \mathcal{C} \simeq \text{Funct}_{\mathcal{H}}(\text{D}^*(\mathcal{H}), \mathcal{C}) \xrightarrow{- \circ p^*} \text{Funct}_{\mathcal{H}}(\text{D}(S), \mathcal{C}) \simeq \mathbf{triv}_{\mathcal{H}}(\mathcal{C}^{\mathcal{H}}).$$

By [Ras16, Proposition 2.17.9], the adjoint pair $(\mathbf{oblv}^{\mathcal{H}}, \mathbf{Av}_*^{\mathcal{H}})$ is co-monadic.

Similarly, the functor $\mathbf{pr}_{\mathcal{H}}$ has a \mathcal{H} -linear left adjoint

$$\mathbf{pr}_{\mathcal{H}}^L : \mathbf{triv}_{\mathcal{H}}(\mathcal{C}_{\mathcal{H}}) \simeq \text{D}(S) \otimes_{\text{D}^*(\mathcal{H})} \mathcal{C} \xrightarrow{p^* \otimes \text{Id}} \text{D}^*(\mathcal{H}) \otimes_{\text{D}^*(\mathcal{H})} \mathcal{C} \simeq \mathcal{C}.$$

We have

Lemma B.2.1. *The adjoint pair $(\mathbf{pr}_{\mathcal{H}}^L, \mathbf{pr}_{\mathcal{H}})$ is co-monadic.*

Proof. Using the (co-monadic) Barr-Beck-Lurie theorem, it suffices to prove

³⁹In fact, *loc.cit.* proved that $p^! : \text{D}(S) \rightarrow \text{D}^1(\mathcal{H})$ has a $(\mathcal{H}, \mathcal{H})$ -linear right adjoint. We get the desired claim by passing to duals.

⁴⁰It is denoted by $p^{!, \text{ren}}$ in [Ras15b].

- the functor $\mathbf{pr}_{\mathcal{H}}^L$ is conservative;
- the functor $\mathbf{pr}_{\mathcal{H}}^L$ preserves limits of $\mathbf{pr}_{\mathcal{H}}^L$ -split cosimplicial objects.

We will prove the following stronger results:

- (1) the endo-functor $\mathbf{pr}_{\mathcal{H}} \circ \mathbf{pr}_{\mathcal{H}}^L$ is conservative;
- (2) any $\mathbf{pr}_{\mathcal{H}}^L$ -split cosimplicial object in $\mathcal{C}_{\mathcal{H}}$ splits.

Define $A := p_* \circ p^*(\omega_S) \in \mathbf{D}(S)$. Note that A is naturally an augmented commutative Hopf algebra object in the monoidal category $(\mathbf{D}(S), \otimes^!)$. Indeed, the commutative algebra structure is given by the monad $p_* \circ p^*$, and the co-associative co-algebra structure is given by the group structure on $\mathcal{H} \rightarrow S$. These two structures can be assembled to a Hopf algebra structure because the functor

$$(\mathrm{Sch}_{\mathrm{placid\ over\ } S})^{\mathrm{op}} \rightarrow \mathrm{CommAlg}(\mathbf{D}(S)), (p : \mathcal{Y} \rightarrow S) \mapsto p_* \circ p^*(\omega_S)$$

can be upgraded to a symmetric monoidal functor. It follows from construction that this commutative Hopf algebra object is augmented.

Now consider the full subcategory $\mathbf{D}^*(\mathcal{H})^0$ of $\mathbf{D}^*(\mathcal{H})$ generated (under colimits and shifts) by the image of p^* . Since p^* sends compact objects to compact objects, the category $\mathbf{D}^*(\mathcal{H})^0$ is compactly generated, and the inclusion functor $\iota : \mathbf{D}^*(\mathcal{H})^0 \rightarrow \mathbf{D}^*(\mathcal{H})$ sends compact objects to compact objects. Hence ι has a continuous right adjoint ι^R . Consider the functor $F : \mathbf{D}(S) \rightarrow \mathbf{D}^*(\mathcal{H})^0$ obtained from p^* (such that $p^* \simeq \iota \circ F$). Note that the adjoint pair (p^*, p_*) induces an adjoint pair

$$F : \mathbf{D}(S) \rightleftarrows \mathbf{D}^*(\mathcal{H})^0 : p_* \circ \iota,$$

which is monadic by the (monadic) Barr-Beck-Lurie theorem. Moreover, this monad is given by tensoring with the commutative algebra object $A \in \mathbf{D}(S)$. Hence we obtain a commutative diagram of adjoint pairs:

$$(B.6) \quad \begin{array}{ccc} \mathbf{D}(S) & \begin{array}{c} \xleftarrow{p^*} \\ \xrightarrow{p_*} \end{array} & \mathbf{D}^*(\mathcal{H}) \\ \mathbf{ind}_A \downarrow \uparrow \mathbf{oblv}_A & & \iota \uparrow \downarrow \iota^R \\ A\text{-mod}(\mathbf{D}(S)) & \begin{array}{c} \xleftarrow{\simeq} \\ \xrightarrow{\simeq} \end{array} & \mathbf{D}^*(\mathcal{H})^0. \end{array}$$

By Lemma B.2.2 below, $\mathbf{D}^*(\mathcal{H})^0$ is a monoidal ideal of $(\mathbf{D}^*(\mathcal{H}), \star)$ and the functor ι^R is monoidal. Hence all the four categories in (B.6) are naturally (\mathcal{H}, S) -bimodule categories. We claim all the functors in (B.6) are naturally (S, \mathcal{H}) -linear. The claim is obvious for \mathbf{ind}_A and \mathbf{oblv}_A . The claim for ι and ι^R follows from Lemma B.2.2. Also, as mentioned in § B.2, p_* and p^* are naturally $(\mathcal{H}, \mathcal{H})$ -linear therefore (S, \mathcal{H}) -linear. Finally, it follows formally that the equivalence $A\text{-mod}(\mathbf{D}(S)) \simeq \mathbf{D}^*(\mathcal{H})^0$ is naturally (\mathcal{H}, S) -linear.

Therefore we can tensor (B.6) with the object $\mathcal{C} \in \mathcal{H}\text{-mod}$ and obtain the following commutative diagram of adjoint pairs:

$$(B.7) \quad \begin{array}{ccc} \mathcal{C}_{\mathcal{H}} & \begin{array}{c} \xleftarrow{\mathbf{pr}^L} \\ \xrightarrow{\mathbf{pr}} \end{array} & \mathcal{C} \\ \mathbf{ind}_A \downarrow \uparrow \mathbf{oblv}_A & & \epsilon \downarrow \uparrow \epsilon^R \\ A\text{-mod}(\mathcal{C}_{\mathcal{H}}) & \begin{array}{c} \xleftarrow{\simeq} \\ \xrightarrow{\simeq} \end{array} & \mathbf{D}^*(\mathcal{H})^0 \otimes_{\mathbf{D}^*(\mathcal{H})} \mathcal{C}. \end{array}$$

Note that all the four categories are naturally $\mathbf{D}(S)$ -modules and all the functors are naturally $\mathbf{D}(S)$ -linear. Since ι is fully faithful, the unit natural transformation $\mathbf{Id} \rightarrow \iota^R \circ \iota$ is an isomorphism. Hence by construction, the unit natural transformation $\mathbf{Id} \rightarrow \epsilon^R \circ \epsilon$ is an isomorphism. Therefore ϵ is fully faithful.

This implies the endo-functor $\mathbf{pr} \circ \mathbf{pr}^L$ is isomorphic to the endo-functor $\mathbf{oblv}_A \circ \mathbf{ind}_A$. Note that \mathbf{oblv}_A is conservative. On the other hand, \mathbf{ind}_A is conservative because the augmentation $A \rightarrow \omega_S$ provides a left inverse to it. Hence $\mathbf{pr} \circ \mathbf{pr}^L$ is conservative. This proves (1).

Now let x^\bullet be a \mathbf{pr}^L -split cosimplicial object in $\mathcal{C}_{\mathcal{H}}$. Let $y \in \mathcal{C}$ be the totalization of $\mathbf{pr}^L(x^\bullet)$. By definition, we have a split augmented cosimplicial diagram $y \rightarrow \mathbf{pr}^L(x^\bullet)$. Applying the endo-functor $\epsilon \circ \epsilon^R$ to this diagram, we obtain another split augmented cosimplicial diagram

$$\epsilon \circ \epsilon^R(y) \rightarrow \epsilon \circ \epsilon^R \circ \mathbf{pr}^L(x^\bullet).$$

However, it follows from (B.7) (and ϵ being fully faithful) that $\epsilon \circ \epsilon^R \circ \mathbf{pr}^L \simeq \mathbf{pr}^L$. Hence by uniqueness of splitting, we obtain an isomorphism $y \simeq \epsilon \circ \epsilon^R(y)$. In particular, y is contained in the essential image of ϵ . Since ϵ is fully faithful, using (B.7), we see that x^\bullet is \mathbf{ind}_A -split. Therefore x^\bullet itself splits because \mathbf{ind}_A has a left inverse. This proves (2).

□[Lemma B.2.1]

Lemma B.2.2. (1) $D^*(\mathcal{H})^0$ is a monoidal ideal of the monoidal category $(D^*(\mathcal{H}), \star)$.

(2) The right-lax monoidal functor $\iota^R : D^*(\mathcal{H}) \rightarrow D^*(\mathcal{H})^0$ (between non-unital monoidal categories) is strict. In particular, $D^*(\mathcal{H})^0$ is an unital monoidal category.

Proof. To prove (1), by symmetry, it suffices to show that $D^*(\mathcal{H})^0$ is a left monoidal ideal of $(D^*(\mathcal{H}), \star)$. It suffices to prove that for any $\mathcal{F} \in D^*(\mathcal{H})$ and $\mathcal{G} \in D(S)$, the object $\mathcal{F} \star p^*(\mathcal{G})$ is contained in $D^*(\mathcal{H})^0$. We first claim there is a canonical commutative diagram

$$\begin{array}{ccc} D^*(\mathcal{H} \times \mathcal{H}) & \xrightarrow{!-\text{pullback}} & D^*(\mathcal{H} \times_S \mathcal{H}) \\ (\text{Id} \times p)^* \uparrow & & p_1^* \uparrow \\ D^*(\mathcal{H} \times S) & \xrightarrow{!-\text{pullback}} & D^*(\mathcal{H}). \end{array}$$

Indeed, by [Ras15b, Example 6.12.4], after choosing a suitable dimension theory on \mathcal{H} and using it to identify D^* with $D^!$, all the functors in the above diagram are $!$ -pullback functors (in the theory $D^!$).

Using the above diagram, to prove (1), it suffices to prove that the image of

$$m_* \circ p_1^* : D^*(\mathcal{H}) \rightarrow D^*(\mathcal{H} \times_S \mathcal{H}) \rightarrow D^*(\mathcal{H})$$

is contained in $D^*(\mathcal{H})^0$. However, this functor is isomorphic to $p_{2,*} \circ p_1^* \simeq p^* \circ p_*$. This proves (1).

It remains to prove (2). By (1), $D^*(\mathcal{H})^0$ is a *non-unital* monoidal category and ι is a *non-unital* monoidal functor. Recall that p_* is naturally a monoidal functor. Hence $p_* \circ \iota$ is naturally a non-unital monoidal functor. Note that $p_* \circ \iota$ is conservative because its left adjoint F generates (under colimits and shifts) the category $D^*(\mathcal{H})^0$. Hence it remains to prove that the right-lax monoidal functor $p_* \circ \iota \circ \iota^R$ is strict. However, this right-lax monoidal functor is isomorphic to p_* by (B.6). This proves (2).

□[Lemma B.2.2]

B.2.3. Invariance vs. coinvariants. For any pro-smooth \mathcal{H} , applying the adjoint pair $(\mathbf{triv}_{\mathcal{H}}^L, \mathbf{triv}_{\mathcal{H}})$ to (B.5), we obtain a S -linear functor $\theta_{\mathcal{H}} : \mathcal{C}_{\mathcal{H}} \rightarrow \mathcal{C}^{\mathcal{H}}$ such that $\mathbf{Av}_*^{\mathcal{H}} \simeq \theta_{\mathcal{H}} \circ \mathbf{pr}_{\mathcal{H}}$. We have:

Lemma B.2.4. The functor $\theta_{\mathcal{H}} : \mathcal{C}_{\mathcal{H}} \rightarrow \mathcal{C}^{\mathcal{H}}$ defined above is an equivalence.

Proof. By [Ras16, Proposition 2.17.9] and Lemma B.2.1, the co-monadic adjoint pairs $(\mathbf{oblv}^{\mathcal{H}}, \mathbf{Av}_*^{\mathcal{H}})$ and $(\mathbf{pr}_{\mathcal{H}}^L, \mathbf{pr}_{\mathcal{H}})$ are both co-monadic. Hence it remains to show that the corresponding co-monads are isomorphic. Write $T := p^* \circ p_*$ for the co-monad acting on $D^*(\mathcal{H})$. Note that T is naturally $(\mathcal{H}, \mathcal{H})$ -linear. It follows from definition that the desired two co-monads are given respectively by

$$\begin{aligned} \mathcal{C} &\simeq \text{Funct}_{\mathcal{H}}(D^*(\mathcal{H}), \mathcal{C}) \xrightarrow{-\circ T} \text{Funct}_{\mathcal{H}}(D^*(\mathcal{H}), \mathcal{C}) \simeq \mathcal{C}, \\ \mathcal{C} &\simeq D^*(\mathcal{H}) \otimes_{D^*(\mathcal{H})} \mathcal{C} \xrightarrow{T \otimes \text{Id}} D^*(\mathcal{H}) \otimes_{D^*(\mathcal{H})} \mathcal{C} \simeq \mathcal{C}. \end{aligned}$$

This makes the desired claim formal and manifest.

□[Lemma B.2.4]

Lemma B.2.5. Let $\mathcal{H} \rightarrow S$ be a pro-smooth group scheme. Suppose $\mathcal{C} \in \mathcal{H}\text{-mod}$ is dualizable in DGCat . Then $\mathcal{C}_{\mathcal{H}}$ is dualizable in DGCat .

Proof. We have:

$$\begin{aligned} \mathcal{C}_{\mathcal{H}} \otimes - &\simeq (\mathcal{C} \otimes -)_{\mathcal{H}} \stackrel{\theta_{\mathcal{H}}}{\simeq} (\mathcal{C} \otimes -)^{\mathcal{H}} \simeq \text{Funct}_{\mathcal{H}}(\mathcal{D}(S), \mathcal{C} \otimes -) \simeq \\ &\simeq \text{Funct}_{\mathcal{H}}(\mathcal{D}(S), \text{Funct}(\mathcal{C}^{\vee}, -)) \simeq \text{Funct}(\mathcal{C}^{\vee} \underset{\mathcal{D}^*(\mathcal{H})}{\otimes} \mathcal{D}(S), -). \end{aligned}$$

Hence by §A.2.6, $\mathcal{C}_{\mathcal{H}}$ is dualizable in DGCat .

□[Lemma B.2.5]

B.2.6. *Case of pro-unipotent group schemes.* If \mathcal{H} is further assumed to be *pro-unipotent* (see [Ras16, Definition 2.18.1]), then p^* is fully faithful. Then the natural transformation $\mathbf{Id} \rightarrow \mathbf{Av}_*^{\mathcal{H}} \circ \mathbf{oblv}^{\mathcal{H}}$ is also an isomorphism. Hence $\mathbf{oblv}^{\mathcal{H}}$ is fully faithful. Similarly, the natural transformation $\mathbf{Id} \rightarrow \mathbf{pr}_{\mathcal{H}} \circ \mathbf{pr}_{\mathcal{H}}^L$ is an isomorphism. Hence $\mathbf{pr}_{\mathcal{H}}^L$ (and therefore the non-continuous functor $\mathbf{pr}_{\mathcal{H}}^R$) is fully faithful. Using these, it is easy to show

$$\mathbf{triv}_{\mathcal{H}}(\mathcal{D})_{\mathcal{H}} \simeq \mathcal{D} \simeq \mathbf{triv}_{\mathcal{H}}(\mathcal{D})^{\mathcal{H}}.$$

We warn that the same formula is *false* for general \mathcal{H} .

B.3. **Case of ind-group schemes.** Suppose that \mathcal{H} is an (placid) *ind-group scheme* over S . This means we can write it as a filtered colimit of group schemes connected by closed embeddings. By construction, we have an equivalence of monoidal categories

$$\mathcal{D}^*(\mathcal{H}) \simeq \underset{* \text{-pushforward}}{\text{colim}} \mathcal{D}^*(\mathcal{H}_{\alpha}).$$

Hence we have a

$$\mathcal{H}\text{-mod} \simeq \underset{\text{res}}{\text{lim}} \mathcal{H}_{\alpha}\text{-mod}.$$

It follows formally that, for any $\mathcal{C} \in \mathcal{H}\text{-mod}$, we have

$$(B.8) \quad \underset{\alpha}{\text{colim}} \mathbf{ind}_{\mathcal{H}_{\alpha} \rightarrow \mathcal{H}} \circ \mathbf{res}_{\mathcal{H} \rightarrow \mathcal{H}_{\alpha}}(\mathcal{C}) \simeq \mathcal{C}, \quad \mathcal{C} \simeq \underset{\alpha}{\text{lim}} \mathbf{coind}_{\mathcal{H}_{\alpha} \rightarrow \mathcal{H}} \circ \mathbf{res}_{\mathcal{H} \rightarrow \mathcal{H}_{\alpha}}(\mathcal{C}).$$

Therefore we have

$$(B.9) \quad \mathcal{C}_{\mathcal{H}} \simeq \underset{\alpha}{\text{colim}} (\mathbf{res}_{\mathcal{H} \rightarrow \mathcal{H}_{\alpha}}(\mathcal{C}))_{\mathcal{H}_{\alpha}}, \quad \mathcal{C}^{\mathcal{H}} \simeq \underset{\alpha}{\text{lim}} (\mathbf{res}_{\mathcal{H} \rightarrow \mathcal{H}_{\alpha}}(\mathcal{C}))^{\mathcal{H}_{\alpha}}.$$

B.3.1. *Case of ind-pro-unipotent groups schemes.* If \mathcal{H} is further assumed to be *ind-pro-unipotent* (i.e. each \mathcal{H}_{α} is pro-unipotent), the functors $\mathbf{oblv}^{\mathcal{H}_{\alpha}}$ (resp. $\mathbf{pr}_{\mathcal{H}_{\alpha}}$) are fully faithful (resp. localization functors). Hence the functors $\mathbf{oblv}^{\mathcal{H}_{\beta} \rightarrow \mathcal{H}_{\alpha}}$ (resp. $\mathbf{pr}_{\mathcal{H}_{\alpha} \rightarrow \mathcal{H}_{\beta}}$) are fully faithful (resp. localization functors). Note that the index category in (B.9) is filtered. It follows formally that $\mathbf{oblv}^{\mathcal{H}}$ is fully faithful and $\mathbf{pr}_{\mathcal{H}}$ is a localization functor.

As before, we also have

$$\mathbf{triv}(\mathcal{D})_{\mathcal{H}} \simeq \mathcal{D} \simeq \mathbf{triv}(\mathcal{D})^{\mathcal{H}}.$$

B.4. **Geometric action.** Let $\mathcal{H} \rightarrow S$ be a (placid) group indscheme, and $\mathcal{Y} \rightarrow S$ be a placid indscheme equipped with an \mathcal{H} -action. By definition, we can upgrade $\mathcal{D}^*(\mathcal{Y})$ to an object in $\mathcal{H}\text{-mod}$. Explicitly, the $\mathcal{D}^*(\mathcal{H})$ -module structure is given by

$$\mathcal{D}^*(\mathcal{H}) \underset{\mathcal{D}(S)}{\otimes} \mathcal{D}^*(\mathcal{Y}) \simeq \mathcal{D}^*(\mathcal{H} \times_S \mathcal{Y}) \xrightarrow{\text{act}_*} \mathcal{D}^*(\mathcal{Y}),$$

where the first equivalence is given by Lemma A.4.5. Dually, we can upgrade $\mathcal{D}^1(\mathcal{Y})$ to be in $\mathcal{H}\text{-mod}$, with the $\mathcal{D}^1(\mathcal{H})$ -comodule structure given by

$$\mathcal{D}^1(\mathcal{Y}) \xrightarrow{\text{act}^!} \mathcal{D}^1(\mathcal{H} \times_S \mathcal{Y}) \simeq \mathcal{D}^1(\mathcal{H}) \underset{\mathcal{D}(S)}{\otimes} \mathcal{D}^1(\mathcal{Y}),$$

where the last equivalence is by [Ras15b, Proposition 6.9.1(2)]. By construction, the duality between $\mathcal{D}^1(\mathcal{Y})$ and $\mathcal{D}^*(\mathcal{Y})$ are compatible with the \mathcal{H} -module structures in the sense of § B.1.10.

Using Lemma A.4.5 and [Ras15b, Proposition 6.9.1(2)], one can write the cobar and bar constructions as

$$(B.10) \quad \mathcal{D}^1(\mathcal{Y})^{\mathcal{H}} \simeq \underset{\Delta}{\text{lim}} \mathcal{D}^1(\mathcal{H}^{\times_S} \times_S \mathcal{Y}), \quad \mathcal{D}^*(\mathcal{Y})_{\mathcal{H}} \simeq \underset{\Delta^{\text{op}}}{\text{colim}} \mathcal{D}^*(\mathcal{H}^{\times_S} \times_S \mathcal{Y}).$$

Suppose we have an augmented simplicial diagram (over S):

$$\mathcal{H}^{\times_S} \times_S \mathcal{Y} \rightarrow \mathcal{Q},$$

where \mathcal{Q} is any prestack. Using (B.10), we obtain functors

$$(B.11) \quad D^1(\mathcal{Q}) \rightarrow D^1(\mathcal{Y})^{\mathcal{H}}, \quad D^*(\mathcal{Y})_{\mathcal{H}} \rightarrow D^*(\mathcal{Q}).$$

We have the following technical result:

Lemma B.4.1. *In the above setting, suppose*

- $Y := \mathcal{Y}$ and $Q := \mathcal{Q}$ are ind-finite type indschemes,
- the projection $q: Y \rightarrow Q$ admits a section $s: Q \rightarrow Y$,
- \mathcal{H} is ind-pro-unipotent and acts transitively on the fibers of $Y \rightarrow Q$.

Then the functors B.11 are isomorphisms.

Proof. Consider the map

$$(B.12) \quad \mathcal{H}^{\times_S^n} \times_S Y \rightarrow Y \times_Q Y^{\times_Q^n}, \quad (g_1, \dots, g_n, y) \mapsto (g_1 \cdots g_n y, g_2 \cdots g_n y, \dots, y).$$

It induces cosimplicial (resp. simplicial) functors:

$$(B.13) \quad D(Y \times_Q Y^{\times_Q}) \rightarrow D^1(\mathcal{H}^{\times_S} \times_S Y),$$

$$(B.14) \quad D^*(\mathcal{H}^{\times_S} \times_S Y) \rightarrow D(Y \times_Q Y^{\times_Q}).$$

By assumption, (B.12) is surjective and has ind-contractible fibers, hence the functors in (B.13) are fully faithful, and the functors in (B.14) are localizations. Note that the $[0]$ -terms of (B.13) and (B.14) are both equivalences. It follows formally that they induce equivalences

$$\lim_{\Delta} D(Y \times_Q Y^{\times_Q}) \rightarrow \lim_{\Delta} D^1(\mathcal{H}^{\times_S} \times_S Y), \quad \text{colim}_{\Delta^{\text{op}}} D^*(\mathcal{H}^{\times_S} \times_S Y) \rightarrow \text{colim}_{\Delta^{\text{op}}} D(Y \times_Q Y^{\times_Q}).$$

Hence it remains to prove the following equivalences:

$$(B.15) \quad D(Q) \simeq \lim_{\Delta} D(Y \times_Q Y^{\times_Q}), \quad \text{colim}_{\Delta^{\text{op}}} D(Y \times_Q Y^{\times_Q}) \simeq D(Q).$$

A standard argument reduces to the case when Q is an affine scheme of finite type.

Consider the base-change functor $D(Y) \otimes_Q -: Q\text{-mod} \rightarrow D(Y)\text{-mod}$. By the existence of the section s , the above functor has a left inverse, hence is conservative. Hence it suffices to prove (B.15) become equivalences after applying this base-change. However, since $D(Y)$ is dualizable in $Q\text{-mod}$, $D(Y) \otimes_Q -$ commutes with both colimits and limits. Hence it remains to prove

$$D(Y) \simeq \lim_{\Delta} D(Y) \otimes_Q D(Y \times_Q Y^{\times_Q}), \quad \text{colim}_{\Delta^{\text{op}}} D(Y) \otimes_Q D(Y \times_Q Y^{\times_Q}) \simeq D(Y).$$

Using Lemma A.4.5, it remains to prove

$$D(Y) \simeq \lim_{\Delta} D(Y \times_Q Y \times_Q Y^{\times_Q}), \quad \text{colim}_{\Delta^{\text{op}}} D(Y \times_Q Y \times_Q Y^{\times_Q}) \simeq D(Y).$$

Now we are done because the above augmented cosimplicial (resp. simplicial) diagram splits.

□[Lemma B.4.1]

B.4.2. Geometric action: finite type case. Let $H \rightarrow S$ be a smooth group scheme, and $Y \rightarrow S$ be an ind-finite type indscheme acted on by H . Suppose further that Y can be written as a filtered colimit of finite type schemes stabilized by H connected by closed embeddings. This implies $Q := Y/H$ exists as an ind-algebraic stack.

By construction, the identification $D^*(Y) \simeq D^1(Y)$ is compatible with the H -module structures. Therefore, (B.10) and smooth descent for D -modules (on finite type schemes) imply

$$(B.16) \quad D(Y)^H \simeq D^1(Y/H), \quad D(Y)_H \simeq D^*(Y/H).$$

B.5. Action by quotient group. Let $\mathcal{H} \rightarrow S$ be a (placid) group indscheme, and \mathcal{N} be a normal (placid) sub-group indscheme. Consider the functor $(\text{Sch}_{/S}^{\text{aff}})^{\text{op}} \rightarrow \text{Set}, T \mapsto \text{Maps}_S(T, \mathcal{H}) / \text{Maps}_S(T, \mathcal{N})$. Suppose it is represented by a placid indscheme \mathcal{Q} over S . Then $\mathcal{Q} \rightarrow S$ is a (placid) group indscheme. We refer \mathcal{Q} as the *quotient group indscheme* of \mathcal{H} by \mathcal{N} .

Consider the obvious commutative diagram

$$(B.17) \quad \begin{array}{ccc} \mathcal{Q}\text{-mod} & \xrightarrow{\text{res}_{\mathcal{Q} \rightarrow \mathcal{H}}} & \mathcal{H}\text{-mod} \\ \downarrow \text{res}_{\mathcal{Q} \rightarrow S} & & \downarrow \text{res}_{\mathcal{Q} \rightarrow \mathcal{N}} \\ D(S)\text{-mod} & \xrightarrow{\text{triv}_{\mathcal{N}}} & \mathcal{N}\text{-mod}. \end{array}$$

We have

Lemma B.5.1. *Consider the \mathcal{N} -action on \mathcal{H} given by left multiplication. Suppose the functor $D^*(\mathcal{H})_{\mathcal{N}} \rightarrow D^*(\mathcal{Q})$ (in (B.11)) is an equivalence. Then:*

(1) *The commutative square (B.17) is both left adjointable and right adjointable along the horizontal directions.*

(2) *For any $\mathcal{C} \in \mathcal{H}\text{-mod}$, there are natural \mathcal{Q} -module structures on $\mathcal{C}^{\mathcal{N}}$ and $\mathcal{C}_{\mathcal{N}}$ such that $\mathcal{C}^{\mathcal{H}} \simeq (\mathcal{C}^{\mathcal{N}})^{\mathcal{Q}}$ and $\mathcal{C}_{\mathcal{H}} \simeq (\mathcal{C}_{\mathcal{N}})_{\mathcal{Q}}$.*

(3) *The commutative diagram*

$$\begin{array}{ccc} \mathcal{C}^{\mathcal{H}} & \xrightarrow{\text{oblv}^{\mathcal{H} \rightarrow \mathcal{Q}}} & \mathcal{C}^{\mathcal{Q}} \\ \downarrow \text{oblv}^{\mathcal{H} \rightarrow \mathcal{N}} & & \downarrow \text{oblv}^{\mathcal{Q}} \\ \mathcal{C}^{\mathcal{N}} & \xrightarrow{\text{oblv}^{\mathcal{N}}} & \mathcal{C}. \end{array}$$

is right adjointable along the vertical direction.

Proof. Note that (2) is a corollary of (1). We first prove (1). For any $\mathcal{C} \in \mathcal{H}\text{-mod}$, we have

$$D(S) \otimes_{D^*(\mathcal{N})} \mathcal{C} \simeq D(S) \otimes_{D^*(\mathcal{N})} D^*(\mathcal{H}) \otimes_{D^*(\mathcal{H})} \mathcal{C} \simeq D^*(\mathcal{H})_{\mathcal{N}} \otimes_{D^*(\mathcal{H})} \mathcal{C} \simeq D^*(\mathcal{Q}) \otimes_{D^*(\mathcal{H})} \mathcal{C}.$$

This proves the claim on left adjointable in (1).

Consider the \mathcal{N} -action on \mathcal{H} given by right multiplication. By symmetry, the functor $D^*(\mathcal{H})_{\mathcal{N},r} \rightarrow D^*(\mathcal{Q})$ is also an equivalence. Hence for any $\mathcal{C} \in D(S)\text{-mod}$, we have

$$D^*(\mathcal{H}) \otimes_{D^*(\mathcal{N})} \text{triv}_{\mathcal{N}}(\mathcal{C}) \simeq D^*(\mathcal{H}) \otimes_{D^*(\mathcal{N})} D(S) \otimes_{D(S)} \mathcal{C} \simeq D^*(\mathcal{H})_{\mathcal{N},r} \otimes_{D(S)} \mathcal{C} \simeq D^*(\mathcal{Q}) \otimes_{D(S)} \mathcal{C}.$$

This proves that (B.17) is left adjointable along the vertical directions, which implies its right adjointability along the horizontal direction (because the relevant right adjoints exist). This proves (1).

(3) follows from [Ras16, Corollary 2.17.10]. \square [Lemma B.5.1]

Lemma B.5.2. *Suppose $\mathcal{H} \rightarrow \mathcal{Q}$ has a splitting $\mathcal{Q} \rightarrow \mathcal{H}$, then the assumption of Lemma B.5.1 is satisfied. Moreover:*

(1) *For any $\mathcal{C} \in \mathcal{H}\text{-mod}$, the functors $\text{oblv}^{\mathcal{N}} : \mathcal{C}^{\mathcal{N}} \rightarrow \mathcal{C}$ and $\text{pr}_{\mathcal{N}} : \mathcal{C} \rightarrow \mathcal{C}_{\mathcal{N}}$ are \mathcal{Q} -linear, where the \mathcal{Q} -module structures on \mathcal{C} is given by restriction along the splitting $\mathcal{Q} \rightarrow \mathcal{H}$.*

(2) *If \mathcal{N} is further assumed to be ind-pro-unipotent, then for any $\mathcal{C} \in \mathcal{H}\text{-mod}$, the commutative diagram in Lemma B.5.1(3) is Cartesian. Moreover, both horizontal functors are fully faithful.*

Proof. Note that the splitting provides an isomorphism between \mathcal{H} and $\mathcal{N} \times_S \mathcal{Q}$ as indschemes equipped with \mathcal{N} -actions. Hence by [Ras15b, Proposition 6.7.1]⁴¹ and obtain an equivalence

$$\text{colim}_{\Delta^{\bullet}} D^*(\mathcal{N}^{\times_S^{\bullet}} \times \mathcal{H}) \simeq D^*(\mathcal{Q}).$$

By Lemma A.4.5, the above simplicial diagram can be identified with the bar construction calculating $D^*(\mathcal{H})_{\mathcal{N}}$. This proves the desired equivalence $D^*(\mathcal{H})_{\mathcal{N}} \simeq D^*(\mathcal{Q})$.

⁴¹We apply *loc.cit.* to the case where the triple $(S, \mathcal{G}, \mathcal{P}_{\mathcal{G}})$ there is given by our $(\mathcal{Q}, \mathcal{N} \times_S \mathcal{Q}, \mathcal{H})$.

Let $\mathcal{C} \in \mathcal{H}\text{-mod}$. By Lemma B.5.1, the functor $\mathbf{oblv}^{\mathcal{N}} : \mathcal{C}^{\mathcal{N}} \rightarrow \mathcal{C}$ can be upgraded to a \mathcal{H} -linear functor $\mathbf{res}_{\mathcal{Q} \rightarrow \mathcal{H}}(\mathcal{C}) \circ \mathbf{coind}_{\mathcal{H} \rightarrow \mathcal{Q}} \rightarrow \mathcal{C}$. The desired \mathcal{Q} -linear structure on $\mathbf{oblv}^{\mathcal{N}}$ is obtained by restriction along the splitting. This proves the claim for the invariants in (1). The proof for the coinvariants is similar.

It remains to prove (2). Consider the \mathcal{Q} -linear functor $\mathbf{oblv}^{\mathcal{N}} : \mathcal{C}^{\mathcal{N}} \rightarrow \mathcal{C}$ obtained in (1). It is fully faithful because \mathcal{N} is ind-pro-unipotent. Now we are done by Lemma B.5.1(2) and Lemma B.1.6.

□[Lemma B.5.2]

B.6. Application: \mathcal{L}^+M -invariants and coinvariants. Using [Ras16, Lemma 2.5.1], the group scheme \mathcal{L}^+M_I over X^I is pro-smooth. Hence by Lemma B.2.4, we have

Corollary B.6.1. *For any $\mathcal{C} \in \mathcal{L}^+M_I$, there is a $D(X^I)$ -linear equivalence $\theta : \mathcal{C}_{\mathcal{L}^+M_I} \rightarrow \mathcal{C}^{\mathcal{L}^+M_I}$ such that $\mathbf{Av}_*^{\mathcal{L}^+M_I} \simeq \theta \circ \mathbf{pr}_{\mathcal{L}^+M_I}$.*

B.6.2. $\mathcal{LU}_I \mathcal{L}^+M_I$. We define $\mathcal{LU} \mathcal{L}^+M_I := \mathcal{L}P_I \times_{\mathcal{L}M_I} \mathcal{L}^+M_I$. In other words, it is the relative version of $\mathcal{LU} \mathcal{L}^+M$. Similar to [Ras16, Subsection 2.19], it is a placid ind-group scheme over X^I .

Corollary B.6.3. (1) *There exists a $D(X^I)$ -linear equivalence*

$$D(\text{Gr}_{G,I})^{\mathcal{LU} \mathcal{L}^+M_I} \simeq (D(\text{Gr}_{G,I})^{\mathcal{LU}_I})^{\mathcal{L}^+M_I}.$$

(2) *There exists a $D(X^I)$ -linear equivalence*

$$D(\text{Gr}_{G,I})_{\mathcal{LU} \mathcal{L}^+M_I} \simeq (D(\text{Gr}_{G,I})_{\mathcal{LU}_I})^{\mathcal{L}^+M_I}.$$

(3) *$(D(\text{Gr}_{G,I})_{\mathcal{LU}_I})^{\mathcal{L}^+M_I}$ and $(D(\text{Gr}_{G,I})^{\mathcal{LU}_I})^{\mathcal{L}^+M_I}$ are dual to each other in DGCat .*

Proof. Note that the sequence $\mathcal{LU}_I \rightarrow \mathcal{LU} \mathcal{L}^+M_I \rightarrow \mathcal{L}^+M_I$ has a splitting. Hence by Lemma B.5.2 and Lemma B.5.1(2), we obtain (1). We also obtain an X^I -linear equivalence

$$(B.18) \quad D(\text{Gr}_{G,I})_{\mathcal{LU} \mathcal{L}^+M_I} \simeq (D(\text{Gr}_{G,I})_{\mathcal{LU}_I})_{\mathcal{L}^+M_I}.$$

Then we obtain (2) by using Corollary B.6.1. Now by Lemma B.2.5 and Lemma 2.3.5(2), the RHS of (B.18) is dualizable in DGCat , hence so is the LHS. Now we are done by Lemma B.1.11.

□[Corollary B.6.3]

Remark B.6.4. In fact, one can show that the categories appeared in the corollary are all compactly generated. The proof is similar to that in Appendix D and uses the well-known fact that the spherical Hecke category $D(\text{Gr}_{M,I})^{\mathcal{L}^+M_I}$ is compactly generated. Since we do not use this result, we omit the proof.

B.7. Application: functors given by kernels in equivariant settings.

B.7.1. Functors given by kernels. We first review the usual construction of functors given by kernels.

Let S be a separated finite type scheme, and $f : Y \rightarrow S$ be an ind-finite type indscheme over it. We consider $D(Y)$ as an object in $D(S)\text{-mod}$, with the action functor given by $\mathcal{A} \cdot \mathcal{F} := f^!(\mathcal{A}) \otimes^! \mathcal{F}$.

Recall that $D(Y)$ is dualizable in DGCat . By § A.2.3, $D(Y)^\vee$ is equipped with a $D(S)$ -module DG category structure. It follows from Lemma A.2.4 that the Verdier duality $D(Y) \simeq D(Y)^\vee$ has a $D(S)$ -linear structure. On the other hand, by Lemma A.3.4, $D(Y)$ is also dualizable in $D(S)\text{-mod}$, and its dual $D(Y)^{\vee, D(S)}$ is identified with $D(Y)^\vee$ by Lemma A.3.2. Therefore $D(Y)$ is also self-dual as a $D(S)$ -module DG category.

Let $g : Z \rightarrow S$ be another ind-finite type indscheme over S . Consider the functor

$$F_{Y \rightarrow Z} : D(Y \times_S Z) \rightarrow \text{Funct}_S(D(Y), D(Z))$$

given by $F_{Y \rightarrow Z}(\mathcal{K})(\mathcal{F}) := p_{2,*}(\mathcal{K} \otimes^! p_1^!(\mathcal{F}))$, where p_1, p_2 are the projections. The functor $F_{Y \rightarrow Z}(\mathcal{K})$ is known as the *functor given by the kernel \mathcal{K}* .

On the other hand, we have an equivalence (e.g. see [Ras15b, Lemma 6.9.2])

$$(B.19) \quad \boxtimes_S : D(Y) \otimes_{D(S)} D(Z) \simeq D(Y \times_S Z),$$

which sends $(\mathcal{F}, \mathcal{G}) \in \mathrm{D}(Y) \times \mathrm{D}(Z)$ to $p_1^!(\mathcal{F}) \otimes^! p_2^!(\mathcal{G})$. The following lemma is well-known and can be proved by unwinding the definitions.

Lemma B.7.2. *The composition*

$$\mathrm{D}(Y \times_S Z) \xrightarrow{F_{Y \rightarrow Z}} \mathrm{Funct}_S(\mathrm{D}(Y), \mathrm{D}(Z)) \simeq \mathrm{D}(Y)^\vee \otimes_{\mathrm{D}(S)} \mathrm{D}(Z) \simeq \mathrm{D}(Y) \otimes_{\mathrm{D}(S)} \mathrm{D}(Z)$$

is quasi-inverse to \boxtimes_S , where the second functor is given by the universal properties of dualities, and the third functor is given by the self-duality of $\mathrm{D}(Y)$ in $\mathrm{D}(S)$ -mod.

Remark B.7.3. In the constructible contexts, when $S = \mathrm{pt}$, the composition in the lemma is canonically isomorphic to the right adjoint of \boxtimes . The proof is obvious modulo homotopy-coherence. However, it becomes subtle when one is serious about such issues.

B.7.4. Equivariant version. In this subsection, we generalize Lemma B.7.2 to equivariant settings.

Let us point out that although the results from this subsection are correct in the constructible contexts with minor modifications, the statements and proofs would be much more technical. In fact, this is the main reason we choose to work in the D-module context in this paper.

B.7.5. Settings. Throughout this subsection, we fix a pro-smooth group scheme $\mathcal{H} \rightarrow S$. By Lemma B.2.4, for any $\mathcal{C} \in \mathcal{H}\text{-mod}$, there is an equivalence $\theta_{\mathcal{H}} : \mathcal{C}_{\mathcal{H}} \rightarrow \mathcal{C}^{\mathcal{H}}$. Consequently, for any two ind-finite type indschemes Y, Z acted on by \mathcal{H} , we have

- $\mathrm{D}(Y)^{\mathcal{H}}$ is self-dual both in DGCat and $\mathrm{D}(S)$ -mod (by Lemma B.2.5 and Lemma A.3.4);
- a commutative diagram (by Lemma B.1.12 and (B.19))

$$\begin{array}{ccccc} \mathrm{D}(Y)^{\mathcal{H}} \otimes_{\mathrm{D}(S)} \mathrm{D}(Z)^{\mathcal{H}} & \xrightarrow{\mathrm{Id} \otimes \mathrm{oblv}^{\mathcal{H}}} & \mathrm{D}(Y)^{\mathcal{H}} \otimes_{\mathrm{D}(S)} \mathrm{D}(Z)^{\mathcal{H}} & \xrightarrow{\mathrm{oblv}^{\mathcal{H}} \otimes \mathrm{Id}} & \mathrm{D}(Y) \otimes_{\mathrm{D}(S)} \mathrm{D}(Z) \\ \downarrow \simeq & & \downarrow \simeq & & \downarrow \simeq \\ \mathrm{D}(Y \times_S Z)^{\mathcal{H} \times_S \mathcal{H}} & \xrightarrow{\mathrm{oblv}^{\mathcal{H} \times_S \mathcal{H} \rightarrow (\mathcal{H}, 1)}} & \mathrm{D}(Y \times_S Z)^{\mathcal{H}, 1} & \xrightarrow{\mathrm{oblv}^{\mathcal{H}}} & \mathrm{D}(Y \times_S Z), \end{array}$$

where $(\mathcal{H}, 1)$ indicates that \mathcal{H} acts on the first factor of $Y \times_S Z$.

We shall use these results in this subsection without repeating the above arguments.

B.7.6. Functors given by kernels: bi-equivariant case. Consider the composition

$$(B.20) \quad \mathrm{D}(Y \times_S Y)^{\mathcal{H} \times_S \mathcal{H}} \simeq \mathrm{D}(Y)^{\mathcal{H}} \otimes_{\mathrm{D}(S)} \mathrm{D}(Y)^{\mathcal{H}} \rightarrow \mathrm{D}(S),$$

where the last functor is the counit for the self-duality of $\mathrm{D}(Y)^{\mathcal{H}}$ in $\mathrm{D}(S)$ -mod. Using it, we obtain a functor

$$F_{Y/\mathcal{H} \rightarrow Z/\mathcal{H}} : \mathrm{D}(Y \times_S Z)^{\mathcal{H} \times_S \mathcal{H}} \rightarrow \mathrm{Funct}_S(\mathrm{D}(Y)^{\mathcal{H}}, \mathrm{D}(Z)^{\mathcal{H}})$$

given by the composition

$$\mathrm{D}(Y)^{\mathcal{H}} \otimes_{\mathrm{D}(S)} \mathrm{D}(Y \times_S Z)^{\mathcal{H} \times_S \mathcal{H}} \simeq \mathrm{D}(Y \times_S Y)^{\mathcal{H} \times_S \mathcal{H}} \otimes_{\mathrm{D}(S)} \mathrm{D}(Z)^{\mathcal{H}} \xrightarrow{(B.20) \otimes \mathrm{Id}} \mathrm{D}(S) \otimes_{\mathrm{D}(S)} \mathrm{D}(Z)^{\mathcal{H}} \simeq \mathrm{D}(Z)^{\mathcal{H}}.$$

As indicated by the notation, it can be considered as the functor given by kernels for the stacks Y/\mathcal{H} and Z/\mathcal{H} .

The following lemma can be proved by unwinding the definitions.

Lemma B.7.7. *The composition*

$$\begin{aligned} \mathrm{D}(Y \times_S Z)^{\mathcal{H} \times_S \mathcal{H}} & \xrightarrow{F_{Y/\mathcal{H} \rightarrow Z/\mathcal{H}}} \mathrm{Funct}_{\mathrm{D}(S)}(\mathrm{D}(Y)^{\mathcal{H}}, \mathrm{D}(Z)^{\mathcal{H}}) \simeq \\ & \simeq (\mathrm{D}(Y)^{\mathcal{H}})^\vee \otimes_{\mathrm{D}(S)} \mathrm{D}(Z)^{\mathcal{H}} \simeq \mathrm{D}(Y)^{\mathcal{H}} \otimes_{\mathrm{D}(S)} \mathrm{D}(Z)^{\mathcal{H}} \end{aligned}$$

is quasi-inverse to the equivalence in § B.20.

B.7.8. *Functors given by kernels: diagonal-equivariant case.* Let $\mathcal{C}, \mathcal{D} \in \mathcal{H}\text{-mod}$ be two objects. Consider the functor induced by taking invariants:

$$(B.21) \quad \text{Funct}_{\mathcal{H}}(\mathcal{C}, \mathcal{D}) \rightarrow \text{Funct}_{D(S)}(\mathcal{C}^{\mathcal{H}}, \mathcal{D}^{\mathcal{H}}).$$

By definition, we have $\text{Funct}_{D(S)}(\mathcal{C}^{\mathcal{H}}, \mathcal{D}^{\mathcal{H}}) \simeq \text{Funct}_{\mathcal{H}}(\mathbf{triv}_{\mathcal{H}}(\mathcal{C}^{\mathcal{H}}), \mathcal{D})$. Via this equivalence, the functor (B.21) is induced by $\mathbf{oblv}^{\mathcal{H}} : \mathbf{triv}_{\mathcal{H}}(\mathcal{C}^{\mathcal{H}}) \rightarrow \mathcal{C}$. Recall that $\mathbf{oblv}^{\mathcal{H}}$ has a \mathcal{H} -linear right adjoint $\mathbf{Av}_*^{\mathcal{H}} : \mathcal{C} \rightarrow \mathbf{triv}_{\mathcal{H}}(\mathcal{C}^{\mathcal{H}})$, hence we obtain a left adjoint to (B.21)

$$(B.22) \quad \text{Funct}_{D(S)}(\mathcal{C}^{\mathcal{H}}, \mathcal{D}^{\mathcal{H}}) \simeq \text{Funct}_{\mathcal{H}}(\mathbf{triv}_{\mathcal{H}}(\mathcal{C}^{\mathcal{H}}), \mathcal{D}) \xrightarrow{-\circ \mathbf{Av}_*^{\mathcal{H}}} \text{Funct}_{\mathcal{H}}(\mathcal{C}, \mathcal{D}).$$

Explicitly, it sends an S -linear functor $\mathcal{C}^{\mathcal{H}} \rightarrow \mathcal{D}^{\mathcal{H}}$ to the composition

$$\mathcal{C} \xrightarrow{\mathbf{Av}_*^{\mathcal{H}}} \mathbf{triv}_{\mathcal{H}}(\mathcal{C}^{\mathcal{H}}) \rightarrow \mathbf{triv}_{\mathcal{H}}(\mathcal{D}^{\mathcal{H}}) \xrightarrow{\mathbf{oblv}^{\mathcal{H}}} \mathcal{D}.$$

We have

Lemma B.7.9. (1) *There is a canonical commutative diagram*

$$\begin{array}{ccccc} D(Y \times_S Z)^{\mathcal{H} \times_S \mathcal{H}} & \xrightarrow{\mathbf{oblv}^{\mathcal{H} \times_S \mathcal{H} \rightarrow (\mathcal{H}, \text{diag})}} & D(Y \times_S Z)^{\mathcal{H}, \text{diag}} & \xrightarrow{\mathbf{oblv}^{\mathcal{H}}} & D(Y \times_S Z) \\ F_{Y/\mathcal{H} \rightarrow Z/\mathcal{H}} \downarrow \simeq & & F_{Y \rightarrow Z}^{\mathcal{H}} \downarrow \simeq & & \downarrow \simeq \\ \text{Funct}_S(D(Y)^{\mathcal{H}}, D(Z)^{\mathcal{H}}) & \xrightarrow{(B.22)} & \text{Funct}_{\mathcal{H}}(D(Y), D(Z)) & \longrightarrow & \text{Funct}_S(D(Y), D(Z)). \end{array}$$

(2) *Both of the commutative squares in (1) are right adjointable along the horizontal direction.*

Proof. There is a cocommutative Hopf algebra structure on $D^*(\mathcal{H}) \in D(S)\text{-mod}$, whose comultiplication is

$$D^*(\mathcal{H}) \xrightarrow{\Delta^*} D^*(\mathcal{H} \times_S \mathcal{H}) \simeq D^*(\mathcal{H}) \otimes_{D(S)} D^*(\mathcal{H}),$$

where the last equivalence is given by Lemma A.4.5. Therefore for any $\mathcal{C}, \mathcal{D} \in \mathcal{H}\text{-mod}$, we can consider the diagonal action of \mathcal{H} on $\mathcal{C} \otimes_{D(S)} \mathcal{D}$. By construction, when \mathcal{C} and \mathcal{D} are given respectively by $D(Y)$ and $D(Z)$, the equivalence $D(Y) \otimes_{D(S)} D(Z) \simeq D(Y \times_S Z)$ is \mathcal{H} -linear.

Suppose \mathcal{C} is dualizable in DGCat (and hence in $D(S)\text{-mod}$ by Lemma A.3.4). Viewing \mathcal{C}^{\vee} as an object in $\mathcal{H}\text{-mod}$ as in § B.1.10, we have an equivalence

$$\begin{aligned} F_{\mathcal{C} \rightarrow \mathcal{D}}^{\mathcal{H}} : (\mathcal{C}^{\vee} \otimes_{D(S)} \mathcal{D})^{\mathcal{H}, \text{diag}} &\simeq \lim_{\Delta} \text{Funct}_{D(S)}(D^*(\mathcal{H})^{\otimes_{D(S)}^{\bullet}}, \mathcal{C}^{\vee} \otimes_{D(S)} \mathcal{D}) \simeq \\ &\simeq \lim_{\Delta} \text{Funct}_{D(S)}(D^*(\mathcal{H})^{\otimes_{D(S)}^{\bullet}} \otimes_{D(S)} \mathcal{C}, \mathcal{D}) \simeq \text{Funct}_{\mathcal{H}}(\mathcal{C}, \mathcal{D}), \end{aligned}$$

where the first and last equivalences are the cobar constructions. Applying the above paradigm to $D(Y)$ and $D(Z)$, we obtain the right half of the desired commutative diagram.

Moreover, by functoriality of the above paradigm, we obtain the commutative diagram (note that $\mathcal{C}^{\mathcal{H}}$ is dual to $(\mathcal{C}^{\vee})^{\mathcal{H}}$ in $D(S)\text{-mod}$ by Lemma B.2.5 and Lemma A.3.4)

$$\begin{array}{ccc} (\mathcal{C}^{\vee})^{\mathcal{H}} \otimes_{D(S)} \mathcal{D}^{\mathcal{H}} & \xrightarrow{\simeq} & (\mathbf{triv}_{\mathcal{H}}((\mathcal{C}^{\vee})^{\mathcal{H}}) \otimes_{D(S)} \mathcal{D})^{\mathcal{H}, \text{diag}} \xrightarrow{\mathbf{oblv}^{\mathcal{H}} \otimes \text{Id}} (\mathcal{C}^{\vee} \otimes_{D(S)} \mathcal{D})^{\mathcal{H}, \text{diag}} \\ \downarrow \simeq & & F_{\mathbf{triv}_{\mathcal{H}}(\mathcal{C}^{\mathcal{H}}) \rightarrow \mathcal{D}}^{\mathcal{H}} \downarrow \simeq & & F_{\mathcal{C} \rightarrow \mathcal{D}}^{\mathcal{H}} \downarrow \simeq \\ \text{Funct}_{D(S)}(\mathcal{C}^{\mathcal{H}}, \mathcal{D}^{\mathcal{H}}) & \xrightarrow{\simeq} & \text{Funct}_{\mathcal{H}}(\mathbf{triv}_{\mathcal{H}}(\mathcal{C}^{\mathcal{H}}), \mathcal{D}) & \xrightarrow{-\circ (\mathbf{oblv}^{\mathcal{H}})^{\vee}} & \text{Funct}_{\mathcal{H}}(\mathcal{C}, \mathcal{D}), \end{array}$$

where $(\mathbf{oblv}^{\mathcal{H}})^{\vee} : \mathcal{C} \rightarrow \mathbf{triv}_{\mathcal{H}}(\mathcal{C}^{\mathcal{H}})$ is the dual functor of $\mathbf{oblv}^{\mathcal{H}} : \mathbf{triv}_{\mathcal{H}}((\mathcal{C}^{\vee})^{\mathcal{H}}) \rightarrow \mathcal{C}^{\vee}$. By construction, it is identified with

$$\mathcal{C} \xrightarrow{\text{Pr}^{\mathcal{H}}} \mathbf{triv}_{\mathcal{H}}(\mathcal{C}_{\mathcal{H}}) \xrightarrow{\theta_{\mathcal{H}}} \mathbf{triv}_{\mathcal{H}}(\mathcal{C}^{\mathcal{H}}),$$

hence we have $(\mathbf{oblv}^{\mathcal{H}})^{\vee} \simeq \mathbf{Av}_*^{\mathcal{H}}$. Applying the above paradigm to $D(Y)$ and $D(Z)$, we obtain the left half of the desired commutative diagram. This proves (1).

The two commutative squares in (1) are both right adjointable along the horizontal direction because the right adjoints of the horizontal functors exist and the vertical functors are equivalences.

□[Lemma B.7.9].

Remark B.7.10. In the constructible contexts, even when $S = \text{pt}$, the modifications and proofs for the lemma are subtle⁴², and we do not have the energy to articulate them in this paper.

B.8. Application: equivariant unipotent nearby cycles. Let $\mathcal{H} \rightarrow S$ be a pro-smooth group scheme and $\mathcal{Y} \rightarrow S$ be any placid indscheme acted on by \mathcal{H} . Suppose \mathcal{Y} admits a dimension theory⁴³. Let $\mathcal{Y} \rightarrow \mathbb{A}^1 \times S$ be an \mathcal{H} -equivariant map, where $\mathbb{A}^1 \times S$ is equipped with the trivial \mathcal{H} -action. By § B.4, both $D^1(\overset{\circ}{\mathcal{Y}})$ and $D^1(\mathcal{Y}_0)$ are naturally objects in $\mathcal{H}\text{-mod}$. Suppose \mathcal{C} is a sub- \mathcal{H} -module of $D^1(\overset{\circ}{\mathcal{Y}})$ such that as a plain DG category it is contained in $D^1(\overset{\circ}{\mathcal{Y}})^{\text{good}}$ (see Notation 2.1.4). The goal of this section is to prove the following result:

Proposition B.8.1. *In the above setting, the restrictions of the functors*

$$\Psi^{\text{un}}, i^! \circ j_! : D^1(\overset{\circ}{\mathcal{Y}})^{\text{good}} \rightarrow D^1(\mathcal{Y}_0)$$

on \mathcal{C} have natural \mathcal{H} -linear structures.

Remark B.8.2. The reader can skip the proof if they are satisfied by the following two slogans: “the left adjoint of a strict linear functor is left-lax linear”; “any lax linear functor between categories with group actions is strict”. However, note that our problem does not follow from these slogans. Namely, $j_!$ is a *partially defined* left adjoint, and $\mathcal{H} \rightarrow S$ is an *infinite dimensional* group scheme.

Warning B.8.3. *In the rest of this subsection, we retract our convention of using \otimes to denote the tensor product in DGCat and reclaim the notation \otimes_k . This is because we need to consider the tensor product in $\text{Pr}^{\text{st},L}$ (see § A.1 for its definition).*

Definition B.8.4. *Let $\mathcal{M}_0 \xrightarrow{\iota} \mathcal{M} \xleftarrow{G} \mathcal{N}$ be a diagram in $\text{Pr}^{\text{st},L}$ such that ι is fully faithful. For a functor $F : \mathcal{M}_0 \rightarrow \mathcal{N}$ and a natural transformation $\alpha : \iota \rightarrow G \circ F$, we say α exhibits F as a partially defined left adjoint to G if for any $x \in \mathcal{M}_0$ and $y \in \mathcal{N}$, the following composition is an isomorphism.*

$$(B.23) \quad \text{Maps}_{\mathcal{N}}(F(x), y) \rightarrow \text{Maps}_{\mathcal{M}}(G \circ F(x), G(y)) \xrightarrow{-\circ\alpha(x)} \text{Maps}_{\mathcal{M}}(\iota(x), G(y)).$$

Note that such pair (F, α) is unique if it exists.

We write $G^L|_{\iota} : \mathcal{M}_0 \rightarrow \mathcal{N}$ for the partially defined left adjoint and treat the natural transformation $\iota \rightarrow G \circ G^L|_{\iota}$ as implicit.

Remark B.8.5. If $G^L|_{\iota}$ exists, then it is canonically isomorphic to the left adjoint of the (non-continuous) functor $\iota^R \circ G$.

Construction B.8.6. *Suppose we have the following commutative diagram*

$$(B.24) \quad \begin{array}{ccccc} \mathcal{M}_0 & \xrightarrow{\iota} & \mathcal{M} & \xleftarrow{G} & \mathcal{N} \\ \downarrow s_0 & & \downarrow s & & \downarrow T \\ \mathcal{M}'_0 & \xrightarrow{\iota'} & \mathcal{M}' & \xleftarrow{G'} & \mathcal{N}' \end{array},$$

such that both rows satisfy the assumption in Definition B.8.4. We warn the reader that we do not put any restrictions to the vertical functors. Suppose $G^L|_{\iota}$ and $(G')^L|_{\iota'}$ exist. Then there is a natural transformation

$$(B.25) \quad \begin{array}{ccc} \mathcal{M}_0 & \xrightarrow{G^L|_{\iota}} & \mathcal{N} \\ s_0 \downarrow & \nearrow & \downarrow T \\ \mathcal{M}'_0 & \xrightarrow{(G')^L|_{\iota'}} & \mathcal{N}' \end{array},$$

⁴²For example, even the Hopf algebra structure on $\text{Shv}_c^*(\mathcal{H})$ requires a homotopy-coherent justification.

⁴³See [Ras15b, § 6.10] for what this means. For the purpose of this paper, it is enough to know that ind-finite type indschemes and placid schemes admit dimension theories.

whose value on $x \in \mathcal{M}_0$ is the morphism

$$(G')|_{\iota'}^L \circ S_0(x) \rightarrow T \circ G^L|_{\iota}(x)$$

corresponds via (B.23) to the composition

$$\iota' \circ S_0(x) \simeq S \circ \iota(x) \rightarrow S \circ G \circ G^L|_{\iota}(x) \simeq G' \circ T \circ G^L|_{\iota}(x).$$

The above natural transformation is obtained by the following steps. We first pass to right adjoints along the horizontal directions for the left square of (B.24) and obtain

$$\begin{array}{ccccc} \mathcal{M}_0 & \xleftarrow{\iota^R} & \mathcal{M} & \xleftarrow{G} & \mathcal{N} \\ S_0 \downarrow & \searrow & \downarrow S & & \downarrow T \\ \mathcal{M}'_0 & \xleftarrow{(\iota')^R} & \mathcal{M}' & \xleftarrow{G'} & \mathcal{N}' \end{array}$$

Then we pass to left adjoints along the horizontal directions for the outside square in the above diagram.

Construction B.8.7. Construction B.8.6 is functorial in the following sense. Let \mathcal{C}_1 be the category of diagrams $\mathcal{M}_0 \xrightarrow{\iota} \mathcal{M} \xleftarrow{G} \mathcal{N}$ in Cat such that

- \mathcal{M}_0 , \mathcal{M} and \mathcal{N} are stable and presentable,
- ι and G are morphisms in $\text{Pr}^{\text{st},L}$,
- $\iota^R \circ G$ has a left adjoint.

Let \mathcal{C}_2 be the category of presentable fibrations over Δ^1 (see [Lur12, Definition 5.5.3.2]) such that the 0-fiber and 1-fiber are both stable. Then Construction B.8.6 provides a functor

$$L : \mathcal{C}_1 \rightarrow \mathcal{C}_2,$$

which sends $\mathcal{M}_0 \xrightarrow{\iota} \mathcal{M} \xleftarrow{G} \mathcal{N}$ to the presentable fibration classifying the adjoint pair

$$G^L|_{\iota} : \mathcal{M}_0 \rightleftarrows \mathcal{N} : \iota^R \circ G.$$

Let \mathcal{C}_3 be the category of diagrams $\mathcal{M}_0 \xrightarrow{F} \mathcal{N}$ in Cat such that

- \mathcal{M}_0 , \mathcal{N} are stable and presentable,
- F is in $\text{Pr}^{\text{st},L}$.

Then Grothendieck construction provides a 1-fully faithful functor $J : \mathcal{C}_3 \rightarrow \mathcal{C}_2$. By definition, a morphism in \mathcal{C}_1 is sent by L into the image of J iff the corresponding natural transformation (B.25) is invertible.

Definition B.8.8. A morphism in \mathcal{C}_1 is left adjointable if L sends it into the image of J .

Lemma B.8.9. Let $\beta \rightarrow \beta'$ be a morphism in \mathcal{C}_1 depicted as (B.24). Suppose the right square in (B.24) is right adjointable along the vertical directions, then the morphism $\beta \rightarrow \beta'$ is left adjointable.

Proof. Diagram chasing.

□[Lemma B.8.9]

Lemma B.8.10. Let $\beta := (\mathcal{M}_0 \xrightarrow{\iota} \mathcal{M} \xleftarrow{G} \mathcal{N})$ be an object in \mathcal{C}_1 and \mathcal{D} be an object in $\text{Pr}^{\text{st},L}$. Then

(1) The diagram

$$\mathcal{D} \times \beta := (\mathcal{D} \times \mathcal{M}_0 \xrightarrow{\text{Id} \times \iota} \mathcal{D} \times \mathcal{M} \xleftarrow{\text{Id} \times G} \mathcal{D} \times \mathcal{N})$$

is an object in \mathcal{C}_1 , and we have canonical isomorphism

$$(B.26) \quad (\text{Id} \times G)^L|_{\text{Id} \times \iota} \simeq \text{Id} \times G^L|_{\iota}.$$

(2) The diagram⁴⁴

$$\text{LFun}(\mathcal{D}, \beta) := (\text{LFun}(\mathcal{D}, \mathcal{M}_0) \xrightarrow{\iota^{\circ-}} \text{LFun}(\mathcal{D}, \mathcal{M}) \xleftarrow{G^{\circ-}} \text{LFun}(\mathcal{D}, \mathcal{N}))$$

⁴⁴ $\text{LFun}(-, -)$ is the inner-Hom object in Pr^{st} . Its objects are functors that have right adjoints.

is an object in C_1 , and the corresponding partially defined left adjoint is canonical isomorphic to

$$\mathrm{LFun}(\mathcal{D}, \mathcal{M}_0) \xrightarrow{G^L|_{\iota \circ -}} \mathrm{LFun}(\mathcal{D}, \mathcal{N}).$$

(3) Suppose \mathcal{D} is dualizable in $\mathrm{Pr}^{\mathrm{st}, L}$, then the diagram

$$\mathcal{D} \otimes \beta := (\mathcal{D} \otimes \mathcal{M}_0 \xrightarrow{\mathrm{Id} \otimes \iota} \mathcal{D} \otimes \mathcal{M} \xleftarrow{\mathrm{Id} \otimes G} \mathcal{D} \otimes \mathcal{N})$$

is an object in C_1 , and we have canonical isomorphism

$$(B.27) \quad (\mathrm{Id} \otimes G)^L|_{\mathrm{Id} \otimes \iota} \simeq \mathrm{Id} \otimes G^L|_{\iota}.$$

Proof. (1) is obvious. Let us first prove (2). Since ι is fully faithful, the functor $(\mathrm{LFun}(\mathcal{D}, \mathcal{M}_0) \xrightarrow{\iota \circ -} \mathrm{LFun}(\mathcal{D}, \mathcal{M}))$ is also fully faithful. Consider the natural transformation $\iota \rightarrow G^L|_{\iota} \circ G$. It induces a natural transformation

$$\begin{array}{ccc} \mathrm{LFun}(\mathcal{D}, \mathcal{M}_0) & \xrightarrow{\iota \circ -} & \mathrm{LFun}(\mathcal{D}, \mathcal{M}) \\ & \searrow^{G^L|_{\iota \circ -}} \quad \downarrow & \nearrow_{G \circ -} \\ & \mathrm{LFun}(\mathcal{D}, \mathcal{N}) & \end{array}$$

In order to prove (2), we only need to verify the axiom in Definition B.8.4. However, this can be checked directly by evaluating on objects $d \in \mathcal{D}$. This proves (2).

(3) can be obtained from (2) by using the equivalence

$$\mathrm{LFun}(\mathcal{D}^\vee, -) \simeq \mathcal{D} \otimes -.$$

□[Lemma B.8.10]

Corollary B.8.11. *Let β be an object in C_1 and \mathcal{D} be a dualizable object in $\mathrm{Pr}^{\mathrm{st}, L}$. Then the natural morphism $\mathcal{D} \times \beta \rightarrow \mathcal{D} \otimes \beta$ is left adjointable.*

Proof. Follows from (B.27) and (B.26).

□[Corollary B.8.11]

Definition B.8.12. *A morphism $\beta \rightarrow \beta'$ in C_1 depicted as (B.24) is continuous if the functors corresponding functors S_0, S and T are morphisms in $\mathrm{Pr}^{\mathrm{st}, L}$.*

Construction B.8.13. *Let $\beta \rightarrow \beta'$ be a continuous morphism in C_1 . Let \mathcal{D} be a dualizable object in $\mathrm{Pr}^{\mathrm{st}, L}$. Then there is a natural continuous morphism $\mathcal{D} \otimes \beta \rightarrow \mathcal{D} \otimes \beta'$ in C_1 .*

Corollary B.8.14. *In Construction B.8.13, suppose $\beta \rightarrow \beta'$ is left adjointable, then $\mathcal{D} \otimes \beta \rightarrow \mathcal{D} \otimes \beta'$ is left adjointable.*

Proof. Follows from (B.27).

□[Corollary B.8.14]

Construction B.8.15. *Let β be an object in C_1 , and $\mathcal{D}_1 \rightarrow \mathcal{D}_2$ be a morphism in $\mathrm{Pr}^{\mathrm{st}, L}$ such that \mathcal{D}_1 and \mathcal{D}_2 are dualizable. Then there is a natural continuous morphism $\mathcal{D}_1 \otimes \beta \rightarrow \mathcal{D}_2 \otimes \beta$ in C_1 .*

Corollary B.8.16. *In Construction B.8.15, $\mathcal{D}_1 \otimes \beta \rightarrow \mathcal{D}_2 \otimes \beta$ is always left adjointable.*

Proof. Follows from (B.27).

□[Corollary B.8.14]

Construction B.8.17. *Let β and β' be two objects in C_1 . Let \mathcal{D} be a dualizable object in $\mathrm{Pr}^{\mathrm{st}, L}$. For a given continuous morphism $a : \mathcal{D} \otimes \beta \rightarrow \beta'$, we can construct the following morphism*

$$b : \beta \simeq \mathrm{Sptr} \otimes \beta \xrightarrow{\mathrm{unit} \otimes \mathrm{Id}} \mathcal{D}^\vee \otimes \mathcal{D} \otimes \beta \xrightarrow{\mathrm{Id} \otimes a} \mathcal{D}^\vee \otimes \beta'.$$

We call this construction as passing to the dual morphism.

Lemma B.8.18. *In Construction B.8.17, suppose the dual morphism $b : \beta \rightarrow \mathcal{D}^\vee \otimes \beta'$ is left adjointable, then the original morphism $a : \mathcal{D} \otimes \beta \rightarrow \beta'$ is left adjointable.*

Proof. By the axiom of duality data, the morphism a can be recovered as the composition

$$\mathcal{D} \otimes \beta \xrightarrow{\mathbf{Id} \otimes b} \mathcal{D} \otimes \mathcal{D}' \otimes \beta' \xrightarrow{\mathbf{counit} \otimes \mathbf{Id}} \beta'.$$

Hence it suffices to show both $\mathbf{Id} \otimes b$ and $\mathbf{counit} \otimes \mathbf{Id}$ are left adjointable. The claim for $\mathbf{Id} \otimes b$ follows from Corollary B.8.14, while that for $\mathbf{counit} \otimes \mathbf{Id}$ follows from Corollary B.8.16. \square [Lemma B.8.18]

B.8.19. *Proof of Proposition B.8.1.* We prove the result on $i^! \circ j_!$ and deduce that on Ψ^{hm} from its definition formula (2.1). It suffices to prove $j_!$ has a natural \mathcal{H} -linear structure.

B.8.20. *Left lax \mathcal{H} -linear structure.* We first show $j_!$ has a natural *left lax* \mathcal{H} -linear structure. Consider the following forgetful functors

$$\text{DGCat} \rightarrow \text{Pr}^{\text{st},L} \rightarrow \text{Cat},$$

note that they have natural right lax symmetric monoidal structures. Hence the monoidal object $(\mathbf{D}^*(\mathcal{H}), \star) \in \text{DGCat}$ induces monoidal algebra in $\text{Pr}^{\text{st},L}$ and Cat , which we denote respectively by A and B . Note that the underlying categories of them are just $\mathbf{D}^*(\mathcal{H})$.

Let $\iota : \mathcal{C} \rightarrow \mathbf{D}^!(\mathcal{Y})$ be the fully faithful functor in the problem. We write F for the partially defined left adjoint $j_!|_{\mathcal{C}} \rightarrow j^!$ (see Definition B.8.4). In other words, F is the left adjoint to the non-continuous functor $\iota^R \circ j^!$.

Both ι and $j^!$ are naturally \mathcal{H} -linear. Hence $\iota^R \circ j^!$ is naturally right lax B -linear. Hence F is naturally left lax B -linear. Note that $F : \mathcal{C} \rightarrow \mathbf{D}^!(\mathcal{Y})$ is a morphism in $\text{Pr}^{\text{st},L}$, and the B -module structures on \mathcal{C} and $\mathbf{D}^!(\mathcal{Y})$ are induced by their A -module structures. Hence F is naturally left lax A -linear. Recall we have a monoidal functor in DGCat (the unit functor) $\text{Vect} \rightarrow (\mathbf{D}^*(\mathcal{H}), \star)$, therefore a monoidal functor $(\text{Vect}, \otimes) \rightarrow A$ in $\text{Pr}^{\text{st},L}$. Hence F is naturally left lax (Vect, \otimes) -linear. Since (Vect, \otimes) is rigid, this left lax (Vect, \otimes) -linear structure on F is strict. Therefore F can be upgraded to a left lax $(\mathbf{D}^*(\mathcal{H}), \star)$ -linear functor in DGCat . In other words, F is a left lax \mathcal{H} -linear functor.

B.8.21. *Strictness.* It remains to show the obtained left lax \mathcal{H} -linear structure on F is strict. It suffices to show the left lax B -linear structure on F is strict. In other words, we need to show the natural transformation

$$\begin{array}{ccc} B \times \mathcal{C} & \xrightarrow{\mathbf{Id} \times F} & B \times \mathbf{D}^!(\mathcal{Y}) \\ \text{act}_B \downarrow & \nearrow & \downarrow \text{act}_B \\ \mathcal{C} & \xrightarrow{F} & \mathbf{D}^!(\mathcal{Y}), \end{array}$$

which is obtained by applying Construction B.8.6 to the commutative diagram

$$\begin{array}{ccccc} B \times \mathcal{C} & \xrightarrow{\mathbf{Id} \times \iota} & B \times \mathbf{D}^!(\mathcal{Y}) & \xleftarrow{\mathbf{Id} \times j^!} & B \times \mathbf{D}^!(\mathcal{Y}) \\ \downarrow \text{act}_B & & \downarrow \text{act}_B & & \downarrow \text{act}_B \\ \mathcal{C} & \xrightarrow{\iota} & \mathbf{D}^!(\mathcal{Y}) & \xleftarrow{j^!} & \mathbf{D}^!(\mathcal{Y}), \end{array}$$

is invertible.

In the proof below, we use the notations in Construction B.8.7 and Lemma B.8.10. Note that

$$\beta := (\mathcal{C} \xrightarrow{\iota} \mathbf{D}^!(\mathcal{Y}) \xleftarrow{j^!} \mathbf{D}^!(\mathcal{Y}))$$

is an object in \mathbf{C}_1 . Our problem can be reformulated as showing

$$\mathbf{act}_B : B \times \beta \rightarrow \beta$$

being left adjointable. Note that \mathbf{act}_B is the composition

$$B \times \beta \xrightarrow{T} A \otimes \beta \xrightarrow{\mathbf{act}_A} \beta.$$

Hence we only need to show both T and \mathbf{act}_A are left adjointable.

Recall $D^*(\mathcal{H})$ is dualizable in DGCat . Since (Vect, \otimes) is rigid, $D^*(\mathcal{H})$ is also dualizable in $\text{Pr}^{\text{st}, L}$. Hence T is left adjointable by Corollary B.8.11.

It remains to show \mathbf{act}_A is left adjointable. By Lemma B.8.18, it suffices to show the morphism

$$\mathbf{coact}_{A^\vee} : \beta \rightarrow A^\vee \otimes \beta$$

is left adjointable. By Lemma B.8.9, it suffices to show the commutative square

$$\begin{array}{ccc} D^!(\mathcal{Y}) & \xleftarrow{j^!} & D^!(\overset{\circ}{\mathcal{Y}}) \\ \downarrow \mathbf{coact} & & \downarrow \mathbf{coact} \\ D^!(\mathcal{H}) \otimes D^!(\overset{\circ}{\mathcal{Y}}) & \xleftarrow{\text{Id} \otimes j^!} & D^!(\mathcal{H}) \otimes D^!(\mathcal{Y}) \end{array}$$

is right adjointable along vertical directions. By definition, we have a factorization

$$\mathbf{coact} : D^!(\mathcal{Y}) \xrightarrow{\text{act}^!} D^!(\mathcal{H} \times_S \mathcal{Y}) \xrightarrow{* \text{-pushforward}} D^!(\mathcal{H} \times \mathcal{Y}) \simeq D^!(\mathcal{H}) \otimes D^!(\mathcal{Y}).$$

Note that the $*$ -pushforward functor in the above composition is the left adjoint to the $!$ -pullback functor. Hence it remains to show the commutative square

$$\begin{array}{ccc} D^!(\mathcal{Y}) & \xleftarrow{j^!} & D^!(\overset{\circ}{\mathcal{Y}}) \\ \downarrow \text{act}^! & & \downarrow \text{act}^! \\ D^!(\mathcal{H} \times_S \overset{\circ}{\mathcal{Y}}) & \xleftarrow{\text{Id} \otimes j^!} & D^!(\mathcal{H} \times_S \mathcal{Y}) \end{array}$$

is right adjointable along the vertical directions. Note that the relevant maps are placid maps between placid indschemes. Hence by [Ras15b, Proposition 6.18.1] after choosing a dimension theory on \mathcal{Y} , we can replace $D^!$ in the above square by D^* and $!$ -pullback functors by $*$ -pullback functors. Then we are done by the usual base-change isomorphism.

□[Proposition B.8.1]

APPENDIX C. GEOMETRIC MISCELLANEA

C.1. Mapping stacks. In this appendix, we recall the notion of mapping stacks (and its variants) and prove some results about them.

Definition C.1.1. *Let Y be an algebraic stack (see Convension 0.6.3). We write $\mathbf{Maps}(X, Y)$ for the prestack classifying maps $X \rightarrow Y$.*

Let $V \subset Y$ be an open embedding. We write $\mathbf{Maps}_{\text{gen}}(X, Y \supset V)$ for the prestack whose value on an affine test scheme S is the groupoid of maps $\alpha : X \times S \rightarrow Y$ such that the open subscheme $\alpha^{-1}(V)$ has non-empty intersections with any geometric fiber of $X \times S \rightarrow S$. Note that there is an open embedding

$$\mathbf{Maps}_{\text{gen}}(X, Y \supset V) \rightarrow \mathbf{Maps}(X, Y)$$

because X is projective.

Example C.1.2. If Y is a finite type affine scheme, then $\mathbf{Maps}(X, Y) \simeq Y$.

Definition C.1.3. *Let B be a finite type affine scheme and $Y \xrightarrow{p} B$ be an algebraic stack over it. Let $f : B \rightarrow Y$ be a section of p . Let I be a non-empty finite set. We write $\mathbf{Maps}_{I, B}(X, Y \xleftarrow{f} B)$ for the prestack whose value on an affine test scheme S is the groupoid classifying:*

- (1) maps $x_i : S \rightarrow X$ labelled by I ,
- (2) a commutative diagram

$$\begin{array}{ccc} (X \times S) - \cup \Gamma_{x_i} & \xrightarrow{\text{pr}_2} & S \xrightarrow{\beta} B \\ \downarrow c & & \downarrow f \\ X \times S & \xrightarrow{\alpha} & Y. \end{array}$$

Note that $\mathbf{Maps}_{I,/B}(X, Y \xleftarrow{f} B)$ is defined over $X^I \times B$. Using Noetherian reduction, it is easy to see it is a lft prestack.

Example C.1.4. We have $\text{Gr}_{G,I} \simeq \mathbf{Maps}_{I,/pt}(X, \text{pt}/G \leftarrow \text{pt})$.

Lemma C.1.5. *Let (B, Y, p, f) be as in Definition C.1.3. Let A be any finite type affine scheme. We have a canonical isomorphism*

$$\mathbf{Maps}_{I,/A \times B}(X, A \times Y \xleftarrow{\text{Id} \times f} A \times B) \simeq A \times \mathbf{Maps}_{I,/B}(X, Y \xleftarrow{f} B).$$

Proof. Follows from Example C.1.2. □[Lemma C.1.5]

Remark C.1.6. In Definition C.1.3, for fixed $\alpha : X \times S \rightarrow Y$, the desired map $\beta : S \rightarrow B$ is unique if it exists. Indeed, the map $p \circ \alpha : X \times S \rightarrow B$ must factor through a map $\beta' : S \rightarrow B$ because of Example C.1.2. Then the commutative diagram (2) forces $\beta = \beta'$.

Construction C.1.7. *Let $(B, Y \supset V, p, f)$ be a 4-tuple such that $Y \supset V$ is as in Definition C.1.1 and (B, Y, p, f) is as in Definition C.1.3. Suppose the section $f : B \rightarrow Y$ factors through U , then there is a natural map*

$$\mathbf{Maps}_{I,/B}(X, Y \xleftarrow{f} B) \rightarrow \mathbf{Maps}_{\text{gen}}(X, Y \supset V).$$

Lemma C.1.8. *Let B be a finite type affine scheme and $g : Y_1 \rightarrow Y_2$ be a schematic closed embedding between algebraic stacks over B . Let $f_1 : B \rightarrow Y_1$ be a section of $Y_1 \rightarrow B$. Let $f_2 : B \rightarrow Y_2$ be the section of $Y_2 \rightarrow B$ induced by f_1 . Then we have a canonical isomorphism:*

$$\mathbf{Maps}_{I,/B}(X, Y_1 \xleftarrow{f_1} B) \simeq \mathbf{Maps}_{I,/B}(X, Y_2 \xleftarrow{f_2} B).$$

Proof. Let S be any finite type affine scheme. Let $x_i : S \rightarrow X$, $\alpha : X \times S \rightarrow Y_2$ and $\beta : S \rightarrow B$ be as in Definition C.1.3. By Lemma C.1.9 below, the schema-theoretic closure of $(X \times S) - \cup \Gamma_{x_i}$ inside $X \times S$ is $X \times S$. Therefore the commutative diagram in Definition C.1.3(2) forces α to factor through $Y_1 \rightarrow Y_2$. Then we are done because such a factorization is unique. □[Lemma C.1.8]

Lemma C.1.9. *Let S be a finite type affine scheme and $x_i : S \rightarrow X$ be maps labelled by a finite set I . Let $\Gamma_{x_i} \hookrightarrow X \times S$ be the graph of x_i . Then the schema-theoretic closure of $(X \times S) - \cup \Gamma_{x_i}$ inside $X \times S$ is $X \times S$.*

Proof. This lemma is well-known. For the reader's convenience, we provide a proof here⁴⁵. Let Γ be the schema-theoretic sum of the graphs of the maps x_i . Then $\Gamma \hookrightarrow X \times S$ is a relative effective Cartier divisor for $X \times S \rightarrow S$. Write $U_x : (X \times S) - \Gamma$. Let $\iota : U_x \rightarrow X \times S$ be the open embedding. We only need to show $\mathcal{O}_{X \times S} \rightarrow \iota_* (\mathcal{O}_U)$ is an injection. Note that the set-theoretic support of the kernel of this map is contained in Γ . Hence we are done by Lemma C.1.10 below. □[Lemma C.1.9]

Lemma C.1.10. *Let Y be any Noetherian scheme and $D \hookrightarrow Y$ be an effective Cartier divisor. Let \mathcal{M} be a flat coherent \mathcal{O}_Y -module and \mathcal{N} be a sub-module of it. Suppose the set-theoretic support of \mathcal{N} is contained in D , then $\mathcal{N} = 0$.*

Proof. Let \mathcal{I} be the sheaf of ideals for D . By assumption, it is invertible. Since Y is Noetherian, \mathcal{N} is also a coherent \mathcal{O}_Y -module. Hence by assumption, there exists a positive integer n such that the map $\mathcal{I}^n \otimes_{\mathcal{O}_Y} \mathcal{N} \rightarrow \mathcal{N}$ is zero. Consider the commutative square

$$\begin{array}{ccc} \mathcal{I}^n \otimes_{\mathcal{O}_Y} \mathcal{N} & \longrightarrow & \mathcal{N} \\ \downarrow & & \downarrow \\ \mathcal{I}^n \otimes_{\mathcal{O}_Y} \mathcal{M} & \longrightarrow & \mathcal{M}. \end{array}$$

⁴⁵We learn the proof below from Ziquan Yang.

The right vertical map is injective by assumption. Hence the left vertical map is injective because \mathcal{I}^n is \mathcal{O}_Y -flat. The bottom map is injective because \mathcal{M} is \mathcal{O}_Y -flat. Hence we see the top map is also injective. This forces $\mathcal{I}^n \otimes_{\mathcal{O}_Y} \mathcal{N} = 0$. Then we are done because \mathcal{I}^n is invertible. \square [Lemma C.1.10]

C.1.11. *Cartesian squares.* The following three lemmas can be proved by unwinding the definitions. We leave the details to the reader.

Lemma C.1.12. *Suppose we are given the following commutative diagram of open embeddings between algebraic stacks:*

$$(C.1) \quad \begin{array}{ccc} (Y_1 \supset V_1) & \longrightarrow & (Y_2 \supset V_2) \\ \downarrow & & \downarrow \\ (Y_3 \supset V_3) & \longrightarrow & (Y_4 \supset V_4). \end{array}$$

(1) *If the commutative square formed by Y_i is strictly quasi-Cartesian (see Definition 2.2.12), then $\mathbf{Maps}_{\text{gen}}(X, -)$ sends (C.1) to a strictly quasi-Cartesian square.*

(2) *If the two commutative squares formed respectively by Y_i and V_i are both Cartesian, then $\mathbf{Maps}_{\text{gen}}(X, -)$ sends (C.1) to a Cartesian square.*

Lemma C.1.13. *Let \mathbf{Sect} be the category of 4-tuples (B, Y, p, f) as in Definition C.1.3. Then the functor*

$$\mathbf{Sect} \rightarrow \text{PreStk}_{\text{lft}}, (B, Y, p, f) \mapsto \mathbf{Maps}_{I, B}(X, Y \xleftarrow{f} B)$$

commutes with fiber products.

Lemma C.1.14. *Let*

$$(B_1, Y_1 \supset V_1, p_1, f_1) \rightarrow (B_2, Y_2 \supset V_2, p_2, f_2)$$

be a morphism between two 4-tuples satisfy the conditions in Construction C.1.7. Suppose the natural map $B_1 \rightarrow B_2 \times_{Y_2} Y_1$ is an isomorphism. Then the natural commutative square

$$\begin{array}{ccc} \mathbf{Maps}_{I, B_1}(X, Y_1 \xleftarrow{f_1} B_1) & \longrightarrow & \mathbf{Maps}_{\text{gen}}(X, Y_1 \supset V_1) \\ \downarrow & & \downarrow \\ \mathbf{Maps}_{I, B_2}(X, Y_2 \xleftarrow{f_2} B_2) & \longrightarrow & \mathbf{Maps}_{\text{gen}}(X, Y_2 \supset V_2), \end{array}$$

is Cartesian.

C.2. **Attractor, repeller and fixed loci for $\text{Gr}_{G, I}$.** In this subsection, we do not require X to be complete. In other words, X can be any separated smooth curve over k . Also, we write Gr_{G, X^I} for the Beilinson-Drinfeld Grassmannian (which are denoted by $\text{Gr}_{G, I}$ in other parts of this paper).

Proposition C.2.1. *Consider the \mathbb{G}_m -action on Gr_{G, X^I} in Example 1.2.14. We have canonical isomorphisms*

$$\text{Gr}_{G, X^I} \simeq \text{Gr}_{G, X^I}^{\gamma, \text{att}}, \text{Gr}_{P^-, X^I} \simeq \text{Gr}_{G, X^I}^{\gamma, \text{rep}}, \text{Gr}_{M, X^I} \simeq \text{Gr}_{G, X^I}^{\gamma, \text{fix}}$$

defined over Gr_{G, X^I} . Moreover, they fit into the following commutative diagrams

$$\begin{array}{ccccc} \text{Gr}_{P, X^I} & \longrightarrow & \text{Gr}_{M, X^I} & \longleftarrow & \text{Gr}_{P^-, X^I} \\ \downarrow & & \downarrow & & \downarrow \\ \text{Gr}_{G, X^I}^{\gamma, \text{att}} & \longrightarrow & \text{Gr}_{G, X^I}^{\gamma, \text{fix}} & \longleftarrow & \text{Gr}_{G, X^I}^{\gamma, \text{rep}} \end{array}$$

Proof. We first construct the desired maps. We do it formally. Consider the Čech nerve \check{c}_G of the map $\text{pt} \rightarrow \text{pt}/G$. Since the \mathbb{G}_m -action on G is induced from the adjoint action, it induces a \mathbb{G}_m -action on \check{c}_G . This gives a \mathbb{G}_m action on the *pointed* algebraic stack⁴⁶ $\text{pt} \rightarrow \text{pt}/G$. More or less by definition, the

⁴⁶Note that the \mathbb{G}_m -action on pt/G is (non-canonically) trivial, but the \mathbb{G}_m -action on $\text{pt} \rightarrow \text{pt}/G$ is not trivial. We are grateful to Yifei Zhao for teaching us this.

\mathbb{G}_m -action on $\text{Gr}_{G,X^I} := \mathbf{Maps}_{I,\text{pt}}(X, \text{pt}/G \leftarrow \text{pt})$ is induced by this \mathbb{G}_m -action on $\text{pt} \rightarrow \text{pt}/G$. Now consider the restricted \mathbb{G}_m -action on the Čech nerve c_P of $\text{pt} \rightarrow \text{pt}/P$. By design, it can be extended to an action by the monoid \mathbb{A}^1 . This gives an extension of the \mathbb{G}_m -action on the pointed algebraic stack $\text{pt} \rightarrow \text{pt}/P$ to an \mathbb{A}^1 -action, hence gives an extension of the \mathbb{G}_m -action on Gr_{P,X^I} to an \mathbb{A}^1 -action. In other words, we obtain a map $\text{Gr}_{P,X^I} \rightarrow \text{Gr}_{P,X^I}^{\gamma,\text{att}}$. Then the desired map is given by

$$\text{Gr}_{P,X^I} \rightarrow \text{Gr}_{P,X^I}^{\gamma,\text{att}} \rightarrow \text{Gr}_{G,X^I}^{\gamma,\text{att}}.$$

The maps for the repeller and fixed loci are constructed similarly. It follows from construction that these maps are defined over Gr_{G,X^I} and fit into the desired commutative diagram.

It remains to prove these maps are isomorphisms. We will prove

$$\theta_{X^I}^+ : \text{Gr}_{P,X^I} \rightarrow \text{Gr}_{G,X^I}^{\gamma,\text{att}}$$

is an isomorphism. The proofs for the other two isomorphisms are similar. The proof can be summarized as: the functor from the category of *universal* factorization spaces to the category of factorization spaces over \mathbb{A}^1 is conservative. Let us explain this in details.

For a separated smooth curve X and a closed point $x \in X$, we write $T(x, X, I)$ for the following statement:

- there exists an étale neighborhood V of $x^I \in X^I$ such that the base-change of $\theta_{X^I}^+$ along $V \rightarrow X^I$ is an isomorphism.

By the factorization property, we only need to prove $T(x, X, I)$ is true for any choice of (x, X, I) . Note that by [HR18, Theorem A]⁴⁷, $T(x, \mathbb{A}^1, I)$ is true. Hence it remains to prove $T(x, X, I) \Leftrightarrow T(x', X', I)$ for any étale map $p : X \rightarrow X'$ sending x to x' .

Note that the diagonal map $X \rightarrow X \times_{X'} X$ is an open and closed embedding. Hence so is the map $(X \times_{X'} X) - X \rightarrow X \times_{X'} X$. Therefore $(X \times_{X'} X) - X \rightarrow X \times X$ is a closed embedding. Let W be the complement open subscheme. We define $V \subset X^I$ to be the intersection of $\text{pr}_{ij}^{-1}(W)$ for any $i \neq j \in I$, where $\text{pr}_{ij} : X^I \rightarrow X^2$ is the projection onto the product of the i -th and j -th factors. Note that a closed point $(x_i)_{i \in I}$ of X^I is contained in V iff $(p(x_i) = p(x_j)) \Rightarrow (x_i = x_j)$. In particular, the point x^I is contained in V . Note that we have a chain of étale maps $V \rightarrow X^I \rightarrow (X')^I$. By [Cli19, Proposition 7.5], for any affine algebraic group⁴⁸ H , we have isomorphisms

$$\text{Gr}_{H,X^I} \times_{X^I} V \simeq \text{Gr}_{H,(X')^I} \times_{(X')^I} V$$

defined over V . It is easy to see from its construction that this isomorphism is functorial in H . Hence we have a commutative diagram

$$\begin{array}{ccc} \text{Gr}_{P,X^I} \times_{X^I} V & \xrightarrow{\simeq} & \text{Gr}_{P,(X')^I} \times_{(X')^I} V \\ \downarrow & & \downarrow \\ \text{Gr}_{G,X^I}^{\gamma,\text{att}} \times_{X^I} V & \xrightarrow{\simeq} & \text{Gr}_{G,(X')^I}^{\gamma,\text{att}} \times_{(X')^I} V. \end{array}$$

This makes $T(x, X, I) \Leftrightarrow T(x', X', I)$ manifest.

□[Proposition C.2.1]

C.3. Stratification on $\text{Gr}_{G,I}$ given by $\text{Gr}_{P,I}$. The results in this appendix are folklore. However, we fail to find proofs in the literature.

Notation C.3.1. Write $A_M := M/[M, M]$ for the abelianization of M . For $\lambda \in \Lambda_{G,P} = \text{Hom}(\mathbb{G}_m, A_M)$, let $\text{Bun}_{A_M}^\lambda$ be the connected component of Bun_{A_M} corresponding to A_M -torsors of degree λ .

Let Bun_M^λ (resp. Bun_P^λ and $\text{Bun}_{P^-}^\lambda$) be the inverse image of $\text{Bun}_{A_M}^\lambda$ along the projection maps.

⁴⁷It is easy to see that the map $\text{Gr}_{P,X^I} \rightarrow \text{Gr}_{G,X^I}^{\gamma,\text{att}}$ constructed above coincides with that in [HR18]. However, we can get around this because both $\text{Gr}_{P,X^I} \rightarrow \text{Gr}_{G,X^I}$ and $\text{Gr}_{G,X^I}^{\gamma,\text{att}} \rightarrow \text{Gr}_{G,X^I}$ are monomorphisms.

⁴⁸ [Cli19] stated the isomorphism below for reductive groups, but the proof there works for any affine algebraic group.

Let $\mathrm{Gr}_{M,I}^{-\lambda}$ (resp. $\mathrm{Gr}_{P,I}^{-\lambda}$ and $\mathrm{Gr}_{P^-,I}^{-\lambda}$) be the inverse image of Bun_M^λ (resp. Bun_P^λ and $\mathrm{Bun}_{P^-}^\lambda$) along the local-to-global maps⁴⁹.

Proposition C.3.2. (c.f. [Gai17a, § 1.3]) For $\lambda \in \Lambda_{G,P}$, we have

- (1) The map $\mathbf{p}_I^+ : \mathrm{Gr}_{P,I} \rightarrow \mathrm{Gr}_{G,I}$ is a monomorphism, and is bijective on field valued points.
- (2) The map $\mathbf{p}_I^{+,\lambda} : \mathrm{Gr}_{P,I}^\lambda \rightarrow \mathrm{Gr}_{G,I}$ is a schematic locally closed embedding.
- (3) There exists a schematic closed embedding

$$\leq \lambda \mathrm{Gr}_{G,I} \hookrightarrow \mathrm{Gr}_{G,I}$$

such that $\leq \lambda \mathrm{Gr}_{G,I}$ is ind-reduced⁵⁰ and a field valued point of $\mathrm{Gr}_{G,I}$ is contained in $\leq \lambda \mathrm{Gr}_{G,I}$ iff it is contained in the image of $\mathrm{Gr}_{P,I}^\mu \rightarrow \mathrm{Gr}_{G,I}$ for some $\mu \leq \lambda$. Moreover, the map

$$\mathrm{colim}_{\lambda \in \Lambda_{G,P}} \leq \lambda \mathrm{Gr}_{G,I} \rightarrow \mathrm{Gr}_{G,I}$$

is a nil-isomorphism.

- (4) There exists an open embedding

$$\geq \lambda \mathrm{Gr}_{G,I} \rightarrow \mathrm{Gr}_{G,I}$$

such that a field valued point of $\mathrm{Gr}_{G,I}$ is contained in $\geq \lambda \mathrm{Gr}_{G,I}$ iff it is contained in the image of $\mathrm{Gr}_{P,I}^\mu \rightarrow \mathrm{Gr}_{G,I}$ for some $\mu \geq \lambda$. In particular, we have an isomorphism

$$\mathrm{colim}_{\lambda \in \Lambda_{G,P}} \geq \lambda \mathrm{Gr}_{G,I} \simeq \mathrm{Gr}_{G,I}.$$

Remark C.3.3. The case $P = B$ and $I = *$ is well-studied in the literature under the name *semi-infinite orbits*.

C.3.4. Proof of (1). We first prove (1). Note that $\mathrm{pt}/P \rightarrow \mathrm{pt}/G$ is schematic and separated. Using this, one can deduce $\mathbf{p}_I^+ : \mathrm{Gr}_{P,I} \rightarrow \mathrm{Gr}_{G,I}$ is a monomorphism from Lemma C.1.9.

Recall that a field valued point $\mathrm{Spec} K \rightarrow \mathrm{Gr}_{G,I}$ corresponds to

- K -points x_i on X_K labelled by I ,
- a G -torsor F_G on X_K trivialized away from x_i .

We only need to show this K -point can be lifted to a K -point of $\mathrm{Gr}_{P,I}$. Write $U_x := X - \cup x_i$. For any representation $V \in \mathrm{Rep}(G)$, consider the map

$$(C.2) \quad (V^U)_{F_M^{\mathrm{triv}}|_{U_x}} \hookrightarrow V_{F_G^{\mathrm{triv}}|_{U_x}} \simeq V_{F_G|_{U_x}}.$$

We claim there exists a maximal sub-bundle \mathcal{K}_V of V_{F_G} such that its restriction on U_x is the image of (C.2). Indeed, by Lemma C.3.5 below, there exists $n > 0$ such that (C.2) can be extended to an injection

$$(V^U)_{F_M^{\mathrm{triv}}(-n \cdot \Gamma_x)} \rightarrow V_{F_G}.$$

Consider the cokernel \mathcal{Q} of this map. Since X_K is a smooth curve over K , the torsion free quotient $\mathcal{Q}^{\mathrm{tor-free}}$ is a vector bundle. It is easy to see $\ker(V_{F_G} \rightarrow \mathcal{Q}^{\mathrm{tor-free}})$ is the desired \mathcal{K}_V . This proves the claim.

Using the uniqueness of \mathcal{K}_V and the Tannakian formalism, it is easy to see the injections $\mathcal{K}_V \rightarrow V_{F_G}$ give a P -reduction on F_G that is compatible with its trivialization on U_x . In other words, we obtain a K -point of $\mathrm{Gr}_{P,I}$. This proves (1).

Lemma C.3.5. Let S be a finite type affine scheme and $x_i : S \rightarrow X$ be maps labelled by a finite set I . Let $\Gamma_x \hookrightarrow X \times S$ be the schema-theoretic sum of the graphs of x_i and $U_x := (X \times S) - \Gamma_x$ be its complement. Let \mathcal{F}_1 and \mathcal{F}_2 be two flat coherent $\mathcal{O}_{X \times S}$ -modules. Let $f : \mathcal{F}_1|_{U_x} \rightarrow \mathcal{F}_2|_{U_x}$ be an injection. Then there exists a positive integer n such that f can be extended to an injection $\mathcal{F}_1 \rightarrow \mathcal{F}_2(n \cdot \Gamma_x)$.

⁴⁹The negative signs are compatible with the conventions in the literature. Namely, via the identification $\mathrm{Gr}_M(k) \simeq M((t))/M[[t]]$, the point t^λ is contained in Gr_M^λ .

⁵⁰Note that an ind-reduced indscheme is reduced in the sense of Convenson 0.6.3. It is quite possible that the converse is also true.

Proof. Let $j: U_x \rightarrow X \times S$ be the open embedding. For $n > 0$, consider the map

$$g_n: \mathcal{F}_2(n \cdot \Gamma_x) \rightarrow j_* \circ j^*(\mathcal{F}_2(n \cdot \Gamma_x)) \simeq j_* \circ j^*(\mathcal{F}_2).$$

Note that the set-theoretic support of its kernel is contained in Γ_x . Hence by Lemma C.1.10, this kernel is zero. In other words, g_n is injective. Moreover, the union of the images for g_n for all n is equal to $j_* \circ j^*(\mathcal{F}_2)$ because the divisor Γ_x is ample. Since \mathcal{F}_1 is coherent, there exists $n > 0$ such that the map

$$\mathcal{F}_1 \rightarrow j_* \circ j^*(\mathcal{F}_1) \xrightarrow{f} j_* \circ j^*(\mathcal{F}_2)$$

factors through $\mathcal{F}_2(n \cdot \Gamma_x)$. The resulting map $\mathcal{F}_1 \rightarrow \mathcal{F}_2(n \cdot \Gamma_x)$ is injective again because of Lemma C.1.10.

□[Lemma C.3.5]

C.3.6. Compactification. To proceed, we need to compactify the map $\text{Gr}_{P,I} \rightarrow \text{Gr}_{G,I}$. Recall the Drinfeld compactification

$$\widetilde{\text{Bun}}_P := \mathbf{Maps}_{\text{gen}}(X, G \backslash \overline{G/U} / M \supset G \backslash (G/U) / M)$$

defined in [BG02, § 1.3.5]. As before, we write $\widetilde{\text{Bun}}_P^\lambda$ for the inverse image of Bun_M^λ along the map $\widetilde{\text{Bun}}_P \rightarrow \text{Bun}_M$. By [BG02, Proposition 1.3.6], the map $\widetilde{\text{Bun}}_P^\lambda \rightarrow \text{Bun}_G$ is schematic and proper. In particular, the fiber product $\widetilde{\text{Bun}}_P \times_{\text{Bun}_G} \text{Gr}_{G,I}$ is an ind-complete indscheme.

Let S be a finite type affine scheme. By [BG02, § 1.3.5], the set $(\widetilde{\text{Bun}}_P \times_{\text{Bun}_G} \text{Gr}_{G,I})(S)$ classifies

- (i) maps $x_i: S \rightarrow X$ labelled by I ,
- (ii) a G -torsor F_G on $X \times S$ trivialized on U_x ,
- (iii) an M -torsor F_M on $X \times S$,
- (iv) for any $V \in \text{Rep}(G)$, a map $\kappa_V: (V^U)_{F_M} \rightarrow V_{F_G}$

such that

- (a) κ_V is injective and the cokernel of κ_V is \mathcal{O}_S -flat⁵¹,
- (b) the assignment $V \rightsquigarrow \kappa_V$ satisfies the Plücker relations (see [BG02, § 1.3.5] for what this means).

We define $\widetilde{\text{Gr}}_{P,I}$ to be the subfunctor classifies the above data with an additional condition:

- (c) for any *irreducible*⁵² G -representation V , the image of

$$(C.3) \quad (V^U)_{F_M}|_{U_x} \xrightarrow{\kappa_V} V_{F_G}|_{U_x} \simeq V_{F_G^{\text{triv}}}|_{U_x}$$

is contained in $(V^U)_{F_M^{\text{triv}}}|_{U_x}$.

Note that we have commutative diagrams

$$(C.4) \quad \begin{array}{ccccc} \text{Gr}_{P,I} & \longrightarrow & \widetilde{\text{Gr}}_{P,I} & \longrightarrow & \text{Gr}_{G,I} \\ \downarrow & & \downarrow & & \downarrow \\ \text{Bun}_P & \longrightarrow & \widetilde{\text{Bun}}_P & \longrightarrow & \text{Bun}_G \end{array}$$

We have:

Lemma C.3.7. (1) *The left square in (C.4) is Cartesian.*

(2) *The map $\widetilde{\text{Gr}}_{P,I} \rightarrow \text{Gr}_{G,I} \times_{\text{Bun}_G} \widetilde{\text{Bun}}_P$ is a schematic closed embedding.*

Proof. Let S be a finite type affine test scheme. We use the notations in § C.3.6.

We first prove (1). By definition, the set $(\widetilde{\text{Gr}}_{P,I} \times_{\widetilde{\text{Bun}}_P} \text{Bun}_P)(S)$ classifies (i)-(iv) satisfying conditions (a)-(c) and

- (d) $\text{coker}(\kappa_V)$ is locally free.

⁵¹This is equivalent to the condition that the base-change of κ_V at every geometric point of S is injective.

⁵²We only need to consider irreducible representations because the Plücker relations force $\kappa_{V_1 \oplus V_2} = \kappa_{V_1} \oplus \kappa_{V_2}$.

With condition (d), condition (c) is equivalent to

- the image of (C.3) is equal to $(V^U)_{F_M^{\text{triv}}|_{U_x}}$.

This makes the desired claim manifest.

Now we prove (2). Fix a map $S \rightarrow \widetilde{\text{Bun}}_P \times_{\text{Bun}} \text{Gr}_{G,I}$ corresponding to the data (i)-(iv) satisfying conditions (a)-(b). To simplify the notation, we write

$$\mathcal{V}_V^1 := V_{F_G}, \mathcal{V}_V^2 := V_{F_G^{\text{triv}}}, \mathcal{K}_V^1 := (V^U)_{F_M}, \mathcal{K}_V^2 := (V^U)_{F_M^{\text{triv}}}, \mathcal{Q}_V^2 := \mathcal{V}_V^2 / \mathcal{K}_V^2.$$

Note that they are all vector bundles on $X \times S$. For $V \in \text{Rep}(G)$, consider the composition

$$\mathcal{K}_V^1|_{U_x} \xrightarrow{\kappa_V} \mathcal{V}_V^1|_{U_x} \simeq \mathcal{V}_V^2|_{U_x} \rightarrow \mathcal{Q}_V^2|_{U_x}.$$

By Lemma C.3.5, there exists an integer $n_V > 0$ such that the above composition can be extended to a map

$$\delta_V : \mathcal{K}_V^1 \rightarrow \mathcal{Q}_V^2(n_V \cdot \Gamma_x).$$

Now let S' be a finite type affine test scheme over S . Note that we have a short exact sequence

$$0 \rightarrow \mathcal{K}_V^2 \otimes_{\mathcal{O}_S} \mathcal{O}_{S'} \rightarrow \mathcal{V}_V^2 \otimes_{\mathcal{O}_S} \mathcal{O}_{S'} \rightarrow \mathcal{Q}_V^2 \otimes_{\mathcal{O}_S} \mathcal{O}_{S'} \rightarrow 0$$

Hence the composition $S' \rightarrow S \rightarrow \widetilde{\text{Bun}}_P \times_{\text{Bun}} \text{Gr}_{G,I}$ is an element in $\widetilde{\text{Gr}}_{P,I}(S')$ iff for any irreducible $V \in \text{Rep}(G)$,

(c_V) the restriction of the map $\delta_V \otimes \text{Id} : \mathcal{K}_V^1 \otimes_{\mathcal{O}_S} \mathcal{O}_{S'} \rightarrow \mathcal{Q}_V^2(n_V \cdot \Gamma_x) \otimes_{\mathcal{O}_S} \mathcal{O}_{S'}$ on $U_x \times_S S'$ is zero.

However, we claim this condition is equivalent to

(c_V') the map $\delta_V \otimes \text{Id}$ is zero.

Indeed, (c_V') \Rightarrow (c_V) is obvious. On the other hand, if condition (c_V) is satisfied, then the image of $\delta_V \otimes \text{Id}$ is set-theoretically supported on $\Gamma_x \times_S S'$. Hence it has to be zero because of Lemma C.1.10. This proves (c_V') \Leftrightarrow (c_V).

By Lemma C.3.8 below, there exists a closed subscheme Z_V of S such that condition (c_V') is equivalent to

- $S' \rightarrow S$ factors through Z_V .

This implies the fiber product

$$\widetilde{\text{Gr}}_{P,I} \times_{(\widetilde{\text{Bun}}_P \times_{\text{Bun}} \text{Gr}_{G,I})} S$$

is isomorphic to the intersection of all the Z_V inside S . In particular, it is a closed subscheme of S as desired.

□[Lemma C.3.7]

Lemma C.3.8. *Let S be a finite type affine scheme. Let $f : \mathcal{F}_1 \rightarrow \mathcal{F}_2$ be a map between \mathcal{O}_S -flat coherent $\mathcal{O}_{X \times S}$ -modules. Then there exists a closed subscheme Z of S such that for a finite type affine test scheme S' over S , the following conditions are equivalent*

- the map $S' \rightarrow S$ factors through Z ,
- the map $f \otimes \text{Id} : \mathcal{F}_1 \otimes_{\mathcal{O}_S} \mathcal{O}_{S'} \rightarrow \mathcal{F}_2 \otimes_{\mathcal{O}_S} \mathcal{O}_{S'}$ is zero.

Proof. Consider the injections $(\text{Id}, 0) : \mathcal{F}_1 \rightarrow \mathcal{F}_1 \oplus \mathcal{F}_2$ and $(\text{Id}, f) : \mathcal{F}_1 \rightarrow \mathcal{F}_1 \oplus \mathcal{F}_2$. Let \mathcal{Q}_1 and \mathcal{Q}_2 be their cokernels. Note that \mathcal{Q}_1 (resp. \mathcal{Q}_2) is \mathcal{O}_S -flat because they are both isomorphic to \mathcal{F}_2 (as $\mathcal{O}_{X \times S}$ -modules). Hence \mathcal{Q}_1 (resp. \mathcal{Q}_2) gives two sections to the map $\text{Quot}_{\mathcal{F}_1 \oplus \mathcal{F}_2 / X \times S / S} \rightarrow S$. Recall that $\text{Quot}_{\mathcal{F}_1 \oplus \mathcal{F}_2 / X \times S / S}$ is separated. Then the desired Z is given by the intersection of these two sections.

□[Lemma C.3.8]

C.3.9. *Proof of (2).* Let $\lambda \in \Lambda_{G,P}$. Let $\widetilde{\text{Gr}}_{P,I}^\lambda$ be the inverse image of $\widetilde{\text{Bun}}_P^{-\lambda}$ along the map $\widetilde{\text{Gr}}_{P,I} \rightarrow \widetilde{\text{Bun}}_P$. Consider the composition $\widetilde{\text{Gr}}_{P,I}^\lambda \rightarrow \widetilde{\text{Bun}}_P^{-\lambda} \times_{\text{Bun}_G} \text{Gr}_{G,I} \rightarrow \text{Gr}_{G,I}$. By [BG02, Proposition 1.3.6] and Lemma C.3.7(2), this map is schematic and proper. Hence we have a factorization of $\mathbf{p}_I^{+,\lambda}$:

$$\mathbf{p}_I^{+,\lambda} : \text{Gr}_{P,I}^\lambda \rightarrow \widetilde{\text{Gr}}_{P,I}^\lambda \rightarrow \text{Gr}_{G,I},$$

such that the first map is an open embedding (by Lemma C.3.7(1)) and the second map is schematic and proper. Let S be any finite type affine test scheme over $\text{Gr}_{G,I}$. Consider the chain

$$(S_1 \xrightarrow{f} S_2 \xrightarrow{g} S) := (S \times_{\text{Gr}_{G,I}} \text{Gr}_{P,I}^\lambda \rightarrow S \times_{\text{Gr}_{G,I}} \widetilde{\text{Gr}}_{P,I}^\lambda \rightarrow S).$$

By the previous discussion, $S_1 \rightarrow S_2$ is an open embedding while $S_2 \rightarrow S$ is proper. Consider the open subset $V := S - g(S_2 - S_1)$ of S . We claim⁵³ the map $g \circ f$ factors through V .

To prove the claim, let y be a K -point of $\widetilde{\text{Gr}}_{P,I}^\lambda$ that is not contained in $\text{Gr}_{P,I}^\lambda$. Let z be the image of y in $\text{Gr}_{G,I}$. By (1), z is contained in $\text{Gr}_{P,I}^\mu$ for a unique $\mu \in \Lambda_{G,P}$. We only need to show $\mu \neq \lambda$. In fact, we will prove $\mu < \lambda$. Unwinding the definitions, we are given the following data

- K -points x_i on X_K labelled by I ,
- a G -torsor F_G on X_K trivialized on $U_x := X_K - \cup x_i$,
- an M -torsor F_M on X_K whose induced A_M -torsor F_{A_M} is of degree $-\lambda$,
- an M -torsor F'_M on X_K trivialized on U_x , whose induced A_M -torsor F'_{A_M} is of degree $-\mu$,
- for any $V \in \text{Rep}(G)$, an injection $\kappa_V : (V^U)_{F_M} \rightarrow V_{F_G}$.
- for any $V \in \text{Rep}(G)$, an injection $\kappa'_V : (V^U)_{F'_M} \rightarrow V_{F_G}$ such that $\text{coker}(\kappa'_V)$ is always a vector bundle.
- commutative diagrams

$$(C.5) \quad \begin{array}{ccccc} (V^U)_{F_M}|_{U_x} & \longrightarrow & (V^U)_{F'_M}|_{U_x} & \xleftarrow{\simeq} & (V^U)_{F'_M}|_{U_x} \\ \downarrow \kappa_V & & \downarrow & & \downarrow \kappa'_V \\ V_{F_G}|_{U_x} & \xrightarrow{\simeq} & V_{F'_G}|_{U_x} & \xleftarrow{\simeq} & V_{F_G}|_{U_x}. \end{array}$$

Consider the composition $\delta_V : (V^U)_{F_M} \xrightarrow{\kappa_V} V_{F_G} \rightarrow \text{coker}(\kappa'_V)$. The diagram C.5 implies the image of δ_V is set-theoretically supported on $\cup x_i$. Hence δ_V is zero because $\text{coker}(\kappa'_V)$ is a vector bundle. Hence as sub-module of V_{F_G} , we have $(V^U)_{F_M} \subset (V^U)_{F'_M}$. On the other hand, since y is not contained in $\text{Gr}_{P,I}^\lambda$, by Lemma C.3.7(1), its image in $\widetilde{\text{Bun}}_P$ is not contained in Bun_P . Hence by the defect stratification on $\widetilde{\text{Bun}}_P$ (see [BFGM02, § 1.4-1.9]), there exists $V_0 \in \text{Rep}(G)$ with $\dim(V_0^U) = 1$ such that $\text{coker}(\kappa_{V_0})$ is not a vector bundle. This implies the inclusion $(V_0^U)_{F_M} \subset (V_0^U)_{F'_M}$ is strict. Hence the degree of F_{A_M} is smaller than the degree of F'_{A_M} . In other words, we have $\lambda < \mu$. This proves the claim.

Using this claim, the map $g \circ f$ factors as

$$S_1 = S_1 \times_S V = S_2 \times_S V \rightarrow V \rightarrow S.$$

Note that the map $S_2 \times_S V \rightarrow V$ is proper (because $S_2 \rightarrow S$ is proper) and is a monomorphism (by (1)), hence it is a closed embedding. This proves (2).

C.3.10. *Finish the proof.* Let $Y \hookrightarrow \text{Gr}_{G,I}$ be any finite type closed subscheme of $\text{Gr}_{G,I}$. Let ${}_{\leq \lambda}|Y|$ be the subset of $|Y|$ consisting of points contained in the image of $\text{Gr}_{P,I}^\mu \rightarrow \text{Gr}_{G,I}$ for some $\mu \leq \lambda$. Similarly we define ${}_{\geq \lambda}|Y|$. To prove (3) and (4), it suffices to show ${}_{\leq \lambda}|Y|$ (resp. ${}_{\geq \lambda}|Y|$) is a closed (resp. open) subset of $|Y|$. By (1), (2) and Noetherian induction, there are only finitely many μ such that Y has non-empty intersection with $\text{Gr}_{P,I}^\mu$ inside $\text{Gr}_{G,I}$. Hence ${}_{\leq \lambda}|Y|$ and ${}_{\geq \lambda}|Y|$ are constructible subset of $|Y|$.

⁵³In fact, $\widetilde{\text{Gr}}_{P,I}$ is designed to make this claim correct. Also, the similar claim for the bigger compactification $\widetilde{\text{Bun}}_P \times_{\text{Bun}_G} \text{Gr}_{G,I}$ is false.

It remains to show ${}_{\leq \lambda}|Y|$ (resp. ${}_{\geq \lambda}|Y|$) is closed under specialization (resp. generalization). However, this is clear from the proof of (1).

□[Proposition C.3.2]

Corollary C.3.11. *We have*

(1) *The map $\mathbf{p}_I^+ : \mathrm{Gr}_{P,I} \times_{X^I} \mathrm{Gr}_{P^-,I} \rightarrow \mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I}$ is a monomorphism, and is bijective on field valued points.*

(2) *For $\theta \in \Lambda_{G,P}$, the map*

$$(C.6) \quad \coprod_{\lambda - \mu = \theta} \mathrm{Gr}_{P,I}^\lambda \times_{X^I} \mathrm{Gr}_{P^-,I}^\mu \rightarrow \mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I}$$

is a schematic locally closed embedding.

(3) *For $\delta \in \Lambda_{G,P}$, there exists a schematic closed embedding*

$$\mathrm{diff}_{\leq \delta} \mathrm{Gr}_{G \times G, I} \hookrightarrow \mathrm{Gr}_{G \times G, I}$$

such that $\mathrm{diff}_{\leq \delta} \mathrm{Gr}_{G \times G, I}$ is ind-reduced and a field valued point of $\mathrm{Gr}_{G \times G, I} \simeq \mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I}$ is contained in $\mathrm{diff}_{\leq \delta} \mathrm{Gr}_{G \times G, I}$ iff it is contained in the image of (C.6) for some $\theta \leq \delta$. Moreover, the map

$$\mathrm{colim}_{\delta \in \Lambda_{G,P}} \mathrm{diff}_{\leq \delta} \mathrm{Gr}_{G \times G, I} \rightarrow \mathrm{Gr}_{G \times G, I}$$

is a nil-isomorphism.

(4) *There exists an open embedding*

$$\mathrm{diff}_{\geq \delta} \mathrm{Gr}_{G \times G, I} \hookrightarrow \mathrm{Gr}_{G \times G, I}$$

such that a field valued point of $\mathrm{Gr}_{G \times G, I} \simeq \mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I}$ is contained in $\mathrm{diff}_{\geq \delta} \mathrm{Gr}_{G \times G, I}$ iff it is contained in the image of (C.6) for some $\theta \geq \delta$. In particular, the map

$$\mathrm{colim}_{\delta \in \Lambda_{G,P}} \mathrm{diff}_{\geq \delta} \mathrm{Gr}_{G \times G, I} \rightarrow \mathrm{Gr}_{G \times G, I}$$

is an isomorphism.

Proof. (1) follows from Proposition C.3.2(1).

By Proposition C.3.2(2), for $\lambda, \mu \in \Lambda_{G,P}$, the map

$$(C.7) \quad \mathrm{Gr}_{P,I}^\lambda \times_{X^I} \mathrm{Gr}_{P^-,I}^\mu \rightarrow \mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I}$$

is a schematic locally closed embedding. Let $Y \hookrightarrow \mathrm{Gr}_{G \times G, I}$ be any finite type closed subscheme of $\mathrm{Gr}_{G \times G, I} \simeq \mathrm{Gr}_{G,I} \times_{X^I} \mathrm{Gr}_{G,I}$. For any $\lambda, \mu \in \Lambda_{G,P}$, let ${}_{\lambda, \mu}|Y|$ be the locally closed subset of $|Y|$ consisting of points contained in the image of (C.7). As in § C.3.10, there are only finitely many pairs (λ, μ) such that ${}_{\lambda, \mu}|Y|$ is non-empty. Hence to prove (2), it remains to show if $\mu_1 \neq \mu_2$, then the closure of ${}_{\mu_1 + \theta, \mu_1}|Y|$ in $|Y|$ has empty intersection with ${}_{\mu_2 + \theta, \mu_2}|Y|$. However, by Proposition C.3.2(3), the closure of ${}_{\mu_1 + \theta, \mu_1}|Y|$ in $|Y|$ is contained in

$$\bigcup_{\lambda \leq \mu_1 + \theta, \mu \geq \mu_1} {}_{\lambda, \mu}|Y|.$$

This makes the desired claim manifest. This proves (2).

To prove (3) and (4), consider the similarly defined subset $\mathrm{diff}_{\leq \delta}|Y|$ and $\mathrm{diff}_{\geq \delta}|Y|$. As in § C.3.10, they are constructible. Moreover, by Proposition C.3.2(3) (resp. Proposition C.3.2(4)), $\mathrm{diff}_{\leq \delta}|Y|$ (resp. $\mathrm{diff}_{\geq \delta}|Y|$) is closed under specialization (resp. generalization). Then we are done.

□[Corollary C.3.11]

C.4. The geometric objects in [Sch16]: Constructions. In this appendix, we review some geometric constructions in [Sch16]. We personally think some proofs in [Sch16] are too concise. Hence we provide details to them in Appendix C.5.

C.4.1. *The degeneration Vin_G^γ .* Throughout this appendix, we fix a standard parabolic subgroup P and a co-character $\gamma : \mathbb{G}_m \rightarrow Z_M$ as in Construction 1.2.10. Recall the homomorphism $\bar{\gamma} : \mathbb{A}^1 \rightarrow T_{\text{ad}}^+$ between semi-groups. Consider the fiber product $\text{Vin}_G^\gamma := \text{Vin}_G \times_{T_{\text{ad}}^+} \mathbb{A}^1$. By construction Vin_G^γ is an algebraic monoid, and we have monoid homomorphisms

$$\mathbb{A}^1 \xrightarrow{s^\gamma} \text{Vin}_G^\gamma \rightarrow \mathbb{A}^1.$$

C.4.2. *The monoid \overline{M} .* The unproven claims in this sub-subsection can be found in [Sch16, § 3.1] and [Wan17].

Consider the closed embedding $M \simeq P/U \hookrightarrow G/U$. It is well-known that G/U is strongly quasi-affine (see e.g. [BG02, Theorem 1.1.2]). Let \overline{M} be the closure of M inside $\overline{G/U}$. [Wan17, § 3] shows that \overline{M} is normal and the group structure on M extends uniquely to a monoid structure on \overline{M} such that its open subgroup of invertible elements is isomorphic to M .

On the other hand, by [Wan17, Theorem 4.1.4], the closed embedding

$$G/U \simeq (G/U \times P/U^-)/M \hookrightarrow (G/U \times G/U^-)/M \simeq {}_0\text{Vin}_G|_{C_P}$$

extends uniquely to a closed embedding $\overline{G/U} \hookrightarrow \text{Vin}_G|_{C_P}$. Hence the closed embedding⁵⁴

$$M \rightarrow (G/U \times G/U^-)/M \simeq {}_0\text{Vin}_G|_{C_P} \quad m \mapsto (m, 1)$$

extends uniquely to a closed embedding $\overline{M} \hookrightarrow \text{Vin}_G|_{C_P}$. Moreover, \overline{M} is also isomorphic to the closure of M inside $\text{Vin}_G|_{C_P}$. By construction, $\overline{M} \hookrightarrow \text{Vin}_G|_{C_P}$ is stabilized by the $(P \times P^-)$ -action and fixed by the $(U \times U^-)$ -action. Hence we have a commutative square of schemes acted on by $(P \times P^-)$:

$$(C.8) \quad \begin{array}{ccc} M & \longrightarrow & \overline{M} \\ \downarrow & & \downarrow \\ {}_0\text{Vin}_G|_{C_P} & \longrightarrow & \text{Vin}_G|_{C_P}. \end{array}$$

Note that this square is Cartesian because $M \hookrightarrow {}_0\text{Vin}_G|_{C_P}$ is already a closed embedding.

C.4.3. *The monoid $\overline{A_M}$.* The unproven claims in this sub-subsection can be found in [Sch16, § 3.1.7].

Consider the abelianization⁵⁵ $A_M := M/[M, M] \simeq P/[P, P]$. It can be embedded into $G/[P, P]$ (which is strongly quasi-affine). Its closure $\overline{A_M}$ inside the affine closure $\overline{G/[P, P]}$ is known to be normal. The commutative group structure on A_M extends to a commutative monoid structure on $\overline{A_M}$ whose open subgroup of invertible elements is A_M .

The projection $M \twoheadrightarrow M/[M, M]$ induces a map $\overline{M} \rightarrow \overline{A_M}$, which is $(M \times M)$ -equivariant by construction. Hence we have the following commutative diagram of schemes acted on by $(M \times M)$:

$$(C.9) \quad \begin{array}{ccc} M & \longrightarrow & \overline{M} \\ \downarrow & & \downarrow \\ A_M & \longrightarrow & \overline{A_M}, \end{array}$$

which is *Cartesian* by Lemma C.5.1.

C.4.4. *The stack $H_{M, G\text{-pos}}$.* The unproven claims in this sub-subsection can be found in [Sch16, § 3.1.5] and [Wan18, Appendix A].

Recall that X^{pos} is defined as the disjoint union of X^θ for $\theta \in \Lambda_{G, P}^{\text{pos}}$. By [Sch16, § 3.1.7], we have

$$X^{\text{pos}} \simeq \mathbf{Maps}_{\text{gen}}(X, A_M \setminus \overline{A_M} \supset A_M \setminus A_M),$$

where A_M acts on $\overline{A_M}$ via multiplication. Under this isomorphism, the addition map $X^{\text{pos}} \times X^{\text{pos}} \rightarrow X^{\text{pos}}$ is induced by the *commutative* monoid structure on $\overline{A_M}$.

⁵⁴Note that the image of $(m, 1)$ and $(1, m^{-1})$ in $(G/U \times G/U^-)/M$ are equal.

⁵⁵[Sch16] denoted it by T_M . We use the notation A_M to avoid confusions with the Cartan subgroup of M .

The G -positive affine Grassmannian is defined as (see § C.4.2 for the definition of \overline{M})

$$\mathrm{Gr}_{M,G\text{-pos}} := \mathbf{Maps}_{\mathrm{gen}}(X, \overline{M}/M \supset M/M),$$

where M acts on \overline{M} by right multiplication. The map $\overline{M}/M \rightarrow \mathrm{pt}/M$ induces a map $\mathrm{Gr}_{M,G\text{-pos}} \rightarrow \mathrm{Bun}_M$.

By (C.9), the composition

$$(C.10) \quad \overline{M}/M \rightarrow \overline{A_M}/A_M \simeq A_M \backslash \overline{A_M}$$

sends M/M into $A_M \backslash A_M$. Hence we have a projection $\mathrm{Gr}_{M,G\text{-pos}} \rightarrow X^{\mathrm{pos}}$. We define⁵⁶

$$\mathrm{Gr}_{M,G\text{-pos}}^\theta := \mathrm{Gr}_{M,G\text{-pos}} \times_{X^{\mathrm{pos}}} X^\theta.$$

By [Wan18, § 5.7], the definition above coincides with the definition in [BFGM02, Sub-section 1.8]. In particular, $\mathrm{Gr}_{M,G\text{-pos}}^\theta$ is represented by a scheme of finite type.

The G -positive Hecke stack is defined as

$$(C.11) \quad H_{M,G\text{-pos}} := \mathbf{Maps}_{\mathrm{gen}}(X, M \backslash \overline{M}/M \supset M \backslash M/M).$$

As before, we have a projection $H_{M,G\text{-pos}} \rightarrow X^{\mathrm{pos}}$ induced by the composition

$$M \backslash \overline{M}/M \rightarrow A_M \backslash \overline{A_M}/A_M \rightarrow A_M \backslash \overline{A_M},$$

where the last map is induced by the group morphism

$$A_M \times A_M \rightarrow A_M, (s, t) \mapsto st^{-1}.$$

The base-change of this map to X^θ is denoted by $H_{M,G\text{-pos}}^\theta$.

The map $M \backslash \overline{M}/M \rightarrow M \backslash \mathrm{pt}/M$ induces a map

$$\overleftarrow{\mathfrak{h}} \times \overrightarrow{\mathfrak{h}} : H_{M,G\text{-pos}} \rightarrow \mathrm{Bun}_M \times \mathrm{Bun}_M.$$

Hence we obtain a disjoint union decomposition⁵⁷

$$(C.12) \quad H_{M,G\text{-pos}} = \coprod_{\theta \in \Lambda_{G,P}^{\mathrm{pos}}} H_{M,G\text{-pos}}^\theta = \coprod_{\theta \in \Lambda_{G,P}^{\mathrm{pos}}} \coprod_{\lambda_1 - \lambda_2 = \theta} H_{M,G\text{-pos}}^{\lambda_1, \lambda_2}$$

where for $\lambda_1, \lambda_2 \in \Lambda_{G,P}$, $H_{M,G\text{-pos}}^{\lambda_1, \lambda_2}$ lives over the connected component $\mathrm{Bun}_M^{\lambda_1} \times \mathrm{Bun}_M^{\lambda_2}$.

Note that the fiber of $\overleftarrow{\mathfrak{h}}$ at the point $\mathcal{F}_M^{\mathrm{triv}}$ of Bun_M is $\mathrm{Gr}_{M,G\text{-pos}}$.

C.4.5. *The stack $\mathrm{str VinBun}_G|_{C_P}$.* The unproven claims in this sub-subsection can be found in [Sch16, § 3.2].

The *defect stratification* on $\mathrm{VinBun}_G|_{C_P}$ is a stratification labelled by $\Lambda_{G,P}^{\mathrm{pos}}$. For $\theta \in \Lambda_{G,P}^{\mathrm{pos}}$, the corresponding stratum is

$$(C.13) \quad \theta \mathrm{VinBun}_G|_{C_P} \simeq (\mathrm{Bun}_{P \times P^-})_{\mathrm{Bun}_M \times M} \times H_{M,G\text{-pos}}^\theta.$$

We write $\mathrm{str VinBun}_G|_{C_P}$ for the disjoint union of all the defect strata. By Lemma C.1.12(2), we have

$$(C.14) \quad \mathrm{str VinBun}_G|_{C_P} \simeq \mathrm{Bun}_{P \times P^-} \times_{\mathrm{Bun}_M \times M} H_{M,G\text{-pos}} \simeq \mathbf{Maps}_{\mathrm{gen}}(X, P \backslash \overline{M}/P^- \supset P \backslash M/P^-).$$

Recall we have a $(P \times P^-)$ -equivariant closed embedding (see C.4.2) $\overline{M} \hookrightarrow \mathrm{Vin}_G|_{C_P}$, which sends M into ${}_0\mathrm{Vin}_G|_{C_P}$. Hence we obtain a map

$$(P \backslash \overline{M}/P^- \supset P \backslash M/P^-) \rightarrow (G \backslash \mathrm{Vin}_G|_{C_P}/G \supset G \backslash {}_0\mathrm{Vin}_G|_{C_P}/G).$$

Applying $\mathbf{Maps}_{\mathrm{gen}}(X, -)$ to it, we obtain a map

$$\mathrm{str VinBun}_G|_{C_P} \rightarrow \mathrm{VinBun}_G|_{C_P}$$

⁵⁶Note that the last map in the composition (C.10) is induced by the group homomorphism $A_M \rightarrow A_M$, $t \mapsto t^{-1}$. Hence $\mathrm{Gr}_{M,G\text{-pos}}^\theta$ lives over $\mathrm{Bun}_M^{-\theta}$, which is compatible with the conventions in the literature.

⁵⁷Our labels λ_1, λ_2 below are in the opposite order against that in [Sch16] because of Warning 1.2.4. Our order is compatible with [Wan18, § 5.3].

By [Sch16, Proposition 3.2.2], the connected components of the source provide a stratification for $\text{VinBun}_G|_{C_P}$.

C.4.6. *The open Bruhat cell* $\text{Vin}_G^{\gamma, \text{Bruhat}}$. Consider the $(P^- \times P)$ -action on Vin_G^γ induced from the $(G \times G)$ -action on Vin_G . Also consider the canonical section (see § 1.2.2) $\mathfrak{s}^\gamma : \mathbb{A}^1 \rightarrow \text{Vin}_{G, \gamma}$. By Lemma C.5.2, the stabilizer subgroup of this section is given by

$$(C.15) \quad M \times \mathbb{A}^1 \hookrightarrow P^- \times P \times \mathbb{A}^1, (m, t) \mapsto (m, m, t).$$

Hence we obtain a locally closed embedding $(P^- \times P)/M \times \mathbb{A}^1 \hookrightarrow \text{Vin}_G^\gamma$. By the dimension reason, this is an open embedding. We define the corresponding open subscheme of Vin_G^γ to be the *open Bruhat cell* $\text{Vin}_G^{\gamma, \text{Bruhat}}$. It is contained in the defect-free locus of Vin_G^γ by § 1.2.2.

Consider the composition $(P^- \times P)/M \rightarrow (M \times M)/M \simeq M$, where the last map is given by $(a, b) \mapsto ab^{-1}$. It induces an $(M \times M)$ -equivariant isomorphism

$$(C.16) \quad U^- \setminus \text{Vin}_G^{\gamma, \text{Bruhat}} / U \simeq M \times \mathbb{A}^1.$$

In particular, there is a $(P^- \times P)$ -equivariant map $\text{Vin}_G^{\gamma, \text{Bruhat}} \rightarrow M$. By Lemma C.5.3, it can be extended to a map $\text{Vin}_G^\gamma \rightarrow \overline{M}$ fitting into the following *Cartesian* square of schemes acted on by $(P^- \times P)$:

$$(C.17) \quad \begin{array}{ccc} \text{Vin}_G^{\gamma, \text{Bruhat}} & \longrightarrow & \text{Vin}_G^\gamma \\ \downarrow & & \downarrow \\ M & \longrightarrow & \overline{M}. \end{array}$$

Moreover, the composition $\overline{M} \hookrightarrow \text{Vin}_G|_{C_P} \hookrightarrow \text{Vin}_G^\gamma \rightarrow \overline{M}$ is the identity map since its restriction on M is so.

Combining the Cartesian squares (C.18) and (C.17), we obtain a *Cartesian* square of schemes acted on by $(P^- \times P)$:

$$(C.18) \quad \begin{array}{ccc} \text{Vin}_G^{\gamma, \text{Bruhat}} & \longrightarrow & \text{Vin}_G^\gamma \\ \downarrow & & \downarrow \\ A_M & \longrightarrow & \overline{A}_M. \end{array}$$

C.4.7. *Schieder's local models.* (c.f. [Sch16, § 6.1.6])

[Sch16] constructed what known as *Schieder's local models* for VinBun_G , which model the singularities of VinBun_G in the same sense as how the parabolic Zastava spaces model the Drinfeld compactifications $\widehat{\text{Bun}}_P$ in [BFGM02].

The *absolute local model* is defined as

$$Y^{P, \gamma} := \mathbf{Maps}_{\text{gen}}(X, U^- \setminus \text{Vin}_G^\gamma / P \supset U^- \setminus \text{Vin}_G^{\gamma, \text{Bruhat}} / P).$$

The *relative local model* is defined as

$$(C.19) \quad Y_{\text{rel}}^{P, \gamma} := \mathbf{Maps}_{\text{gen}}(X, P^- \setminus \text{Vin}_G^\gamma / P \supset P^- \setminus \text{Vin}_G^{\gamma, \text{Bruhat}} / P).$$

We similarly define the defect-free locus ${}_0Y^{P, \gamma}$ and ${}_0Y_{\text{rel}}^{P, \gamma}$. It is known that each connected component of ${}_0Y^{P, \gamma}$ is a finite type scheme.

Consider the isomorphism.

$$P^- \setminus \text{Vin}_G^\gamma / P \simeq (P^- \setminus \text{pt} / P) \times_{(G \setminus \text{pt} / G)} (G \setminus \text{Vin}_G^\gamma / G).$$

Since $\text{Vin}_G^{\gamma, \text{Bruhat}}$ is an open subscheme of ${}_0\text{Vin}_G^\gamma$, by Lemma C.1.12(1), we obtain an open embedding

$$(C.20) \quad Y_{\text{rel}}^{P, \gamma} \rightarrow \text{VinBun}_G^\gamma \times_{\text{Bun}_{G \times G}} \text{Bun}_{P^- \times P}.$$

In particular, there is a *local-model-to-global* map

$$\mathbf{p}_{\text{glob}}^- : Y_{\text{rel}}^{P, \gamma} \rightarrow \text{VinBun}_G^\gamma,$$

induced by the morphism

$$\mathbf{p}_{\text{pair}}^- : (P^- \setminus \text{Vin}_G^\gamma / P \supset P^- \setminus \text{Vin}_G^{\gamma, \text{Bruhat}} / P) \rightarrow (G \setminus \text{Vin}_G^\gamma / G \supset G \setminus {}_0\text{Vin}_G^\gamma / G).$$

C.5. The geometric objects in [Sch16]: Complementary proofs. In this appendix, we provide proofs for some results in Appendix C.4. This appendix should not be read separately because there are no logical connections between these results.

Lemma C.5.1. *Let $f : Y \rightarrow Z$ be an affine morphism between strongly quasi-affine schemes. Suppose Y is integral, then the following obvious commutative diagram is Cartesian:*

$$\begin{array}{ccc} Y & \xrightarrow{j_Y} & \bar{Y} \\ \downarrow f & & \downarrow \bar{f} \\ Z & \xrightarrow{j_Z} & \bar{Z}. \end{array}$$

Proof. Let Y' be the fiber product $Z \times_{\bar{Z}} \bar{Y}$. We have a commutative diagram

$$\begin{array}{ccccc} Y & & & & \\ & \searrow g & & \searrow j_Y & \\ & & Y' & \xrightarrow{q} & \bar{Y} \\ & \searrow f & \downarrow p & & \downarrow \bar{f} \\ & & Z & \xrightarrow{j_Z} & \bar{Z}. \end{array}$$

\bar{f} is obviously affine, so is its base-change p . Since $f \simeq p \circ g$ is assumed to be affine, g is affine. On the other hand, j_Z is an open embedding, so is its base-change q . Since $j_Y \simeq q \circ g$ is an open embedding, g is an embedding. Also, since Y is integral, \bar{Y} is integral. Hence its open subscheme Y' is also integral. In summary, g is an affine open embedding between integral schemes.

Since Z is strongly quasi-affine, it is quasi-affine in the sense of [Gro61, Chapter 5]. Since p is affine, by [Gro61, Proposition 5.1.10(ii)], Y' is also quasi-affine. Consider the natural map $\bar{g} : \bar{Y} \rightarrow \bar{Y}'$ between their affine closures. We claim it is an isomorphism. Indeed, the open embedding $Y' \hookrightarrow \bar{Y}$ induces a map

$$H^0(Y, \mathcal{O}_Y) \simeq H^0(\bar{Y}, \mathcal{O}_{\bar{Y}}) \xrightarrow{\bar{g}^*} H^0(Y', \mathcal{O}_{Y'}),$$

which by construction is a right inverse to the map $g^* : H^0(Y', \mathcal{O}_{Y'}) \rightarrow H^0(Y, \mathcal{O}_Y)$. Hence g^* is surjective. But g is dominant and Y' is reduced, hence this map is also injective and therefore an isomorphism. This proves the claim.

Now consider the natural map $\mathcal{O}_{Y'} \rightarrow g_*(\mathcal{O}_Y)$. Since g is dominant, this map is injective. On the other hand, we proved in the last paragraph that the natural map

$$H^0(Y', \mathcal{O}_{Y'}) \rightarrow H^0(Y', g_*(\mathcal{O}_Y)) \simeq H^0(Y, \mathcal{O}_Y)$$

is an isomorphism. Since Y' is quasi-affine, by [Gro61, Proposition 5.1.2(e)], any quasi-coherent $\mathcal{O}_{Y'}$ -module is generated by its global sections. Hence $\mathcal{O}_{Y'} \rightarrow g_*(\mathcal{O}_Y)$ is also surjective and therefore an isomorphism. Since g is affine, this means g is an isomorphism.

□[Lemma C.5.1]

Lemma C.5.2. *Consider the $(P^- \times P)$ -action on Vin_G^γ and the canonical section $\mathfrak{s}^\gamma : \mathbb{A}^1 \hookrightarrow \text{Vin}_G^\gamma$. The stabilizer subgroup*

$$\text{Stab}_{P^- \times P}(\mathfrak{s}^\gamma) \hookrightarrow P^- \times P \times \mathbb{A}^1$$

is isomorphic to

$$M \times \mathbb{A}^1 \hookrightarrow P^- \times P \times \mathbb{A}^1, (m, t) \mapsto (m, m, t).$$

Proof. Both $\text{Stab}_{P^- \times P}(\mathfrak{s}^\gamma)$ and $M \times \mathbb{A}^1$ are closed subgroup schemes of $P^- \times P \times \mathbb{A}^1$. Hence it suffices to show that they coincide when restricted to \mathbb{G}_m and $0 \in \mathbb{A}^1$. But this can be checked directly using the identification

$$\text{Vin}_G \times_{T_{\text{ad}}^+} (Z_M / Z_G) \simeq (G \times Z_M) / Z_G, \text{Vin}_G|_{C_P} \simeq \overline{(G \times G) / (P \times_M P^-)}.$$

We leave the details to the reader.

□[Lemma C.5.2]

Lemma C.5.3. *There is a unique map $\text{Vin}_G^\gamma \rightarrow \overline{M}$ extending the map $\text{Vin}_G^{\gamma, \text{Bruhat}} \rightarrow M$. Moreover, the inverse image of $M \subset \overline{M}$ along this map $\text{Vin}_G^\gamma \rightarrow \overline{M}$ is $\text{Vin}_G^{\gamma, \text{Bruhat}} \subset \text{Vin}_G^\gamma$.*

Remark C.5.4. In the case $P = B$, [Sch17, Lemma 4.1.3] proved the first claim by showing \overline{M} is isomorphic to the GIT quotient $\text{Vin}_G^{\gamma, \text{Bruhat}} // (U^- \times U)$. The second claim was also stated in [Sch17, Lemma 4.1.3]. However, we do *not* think [Sch17] actually proved it. Therefore we provide a proof as below.

Proof. Recall $G_{\text{enh}} := (G \times T)/Z_G$ is the group of invertible elements in Vin_G . Note that we have a short exact sequence of algebraic groups

$$1 \rightarrow G \rightarrow G_{\text{enh}} \rightarrow T_{\text{ad}} \rightarrow 1.$$

The canonical section $\mathfrak{s} : T_{\text{ad}}^+ \rightarrow \text{Vin}_G$ provides a splitting to the above sequence. Explicitly, this splitting is $T/Z_G \rightarrow (G \times T)/Z_G$, $t \mapsto (t^{-1}, t)$. Note that the T_{ad} -action on G given by this splitting is the inverse of the usual adjoint action.

Now consider the $(G_{\text{enh}} \times G_{\text{enh}})$ -action on Vin_G . Using the above splitting, we obtain a $(T_{\text{ad}} \times T_{\text{ad}})$ -action on Vin_G and $G \times G$ such that the action map $G \times \text{Vin}_G \times G \rightarrow \text{Vin}_G$, $(g_1, g, g_2) \mapsto g_1 g g_2^{-1}$ is $(T_{\text{ad}} \times T_{\text{ad}})$ -equivariant, where the T_{ad} -action on G is the inverse of the usual adjoint action⁵⁸

By base-change along $\overline{\gamma} : \mathbb{A}^1 \rightarrow T_{\text{ad}}^+$, we obtain a $(\mathbb{G}_m \times \mathbb{G}_m)$ -action on Vin_G^γ . Explicitly, this action is given by $(s_1, s_2) \cdot g \mapsto \mathfrak{s}^\gamma(s_1) g \mathfrak{s}^\gamma(s_2^{-1})$. Consider the group homomorphism $\mathbb{G}_m \hookrightarrow \mathbb{G}_m \times \mathbb{G}_m$, $s \mapsto (s, s^{-1})$. By restriction, we obtain a \mathbb{G}_m -action on Vin_G^γ . Moreover, the action map $G \times \text{Vin}_G^\gamma \times G \rightarrow \text{Vin}_G^\gamma$ is \mathbb{G}_m -equivariant, where \mathbb{G}_m acts on the first factor of the LHS by

$$\mathbb{G}_m \times G \rightarrow G, (s, g) \mapsto \gamma(s^{-1}) g \gamma(s),$$

and on the second factor inversely. Note that

- (i) the attractor for this \mathbb{G}_m -action on $G \times G$ is $P^- \times P$;
- (ii) this \mathbb{G}_m -action on $G \times G$ contracts $U^- \times U$ to the multiplicative unit.

By construction, the above \mathbb{G}_m -action on Vin_G^γ can be extended to an \mathbb{A}^1 -action (because $\mathfrak{s}^\gamma : \mathbb{A}^1 \rightarrow \text{Vin}_G^\gamma$ is a monoid homomorphism). By [Wan17, Theorem 4.2.10], the corresponding fixed locus

$$\mathfrak{s}^\gamma(0) \text{Vin}_G^\gamma \simeq \mathfrak{s}^\gamma(0) \text{Vin}_G|_{C_P} \mathfrak{s}^\gamma(0)$$

is equal to \overline{M} as closed subschemes of Vin_G^γ . Hence we obtain a projection map $\text{Vin}_G^\gamma \rightarrow \overline{M}$, which is left inverse to the closed embedding $\overline{M} \hookrightarrow \text{Vin}_G^\gamma$.

On the other hand, by (i), the above \mathbb{A}^1 -action on Vin_G^γ preserves the open Bruhat cell $\text{Vin}_G^{\gamma, \text{Bruhat}}$. Note that the corresponding fixed locus $\overline{M} \times_{\text{Vin}_G^\gamma} \text{Vin}_G^{\gamma, \text{Bruhat}}$ is equal to M as closed subschemes of $\text{Vin}_G^{\gamma, \text{Bruhat}}$. Hence we obtain a projection map $\text{Vin}_G^{\gamma, \text{Bruhat}} \rightarrow M$, which is left inverse to the closed embedding. Moreover, by (ii), the $(U^- \times U)$ -action on $\text{Vin}_G^{\gamma, \text{Bruhat}}$ preserves this projection. Hence this projection is equal to the projection mentioned in the problem. Now we are done by [DG14, Lemma 1.4.9(i)].

□[Lemma C.5.3]

Lemma C.5.5. *Let S be any finite type affine test scheme over $\text{Bun}_M \times X^{\text{pos}}$, then after replacing S by an étale cover, the retractions*

$$(C.21) \quad (Y_{\text{rel}}^{P, \gamma} \times_{(\text{Bun}_M \times X^{\text{pos}})} S, H_{M, G\text{-pos}} \times_{(\text{Bun}_M \times X^{\text{pos}})} S), (Y^{P, \gamma} \times_{X^{\text{pos}}} S, \text{Gr}_{M, G\text{-pos}} \times_{X^{\text{pos}}} S)$$

are isomorphic over $(\mathbb{A}^1 \times S, 0 \times S)$.

⁵⁸Note that when $G = \text{SL}_2$, the canonical section $\mathbb{A}^1 \rightarrow M_{2,2}$ is given by $t \mapsto \text{diag}(1, t)$. Hence our description is correct in this case.

Remark C.5.6. We need to use the *Beauville-Laszlo descent theorem* to conduct a re-gluing construction. Let us first review it. Let Z be an algebraic stack. Consider the following condition on Z :

- (\spadesuit) For any affine test scheme S' and a relative effective Cartier divisor Γ' of $X \times S' \rightarrow S'$ that is contained in an affine open subset⁵⁹ of $X \times S'$, the following commutative diagram of groupoids is Cartesian (see Notation 0.6.5):

$$\begin{array}{ccc} Z(X \times S') & \longrightarrow & Z(X \times S' - \Gamma') \\ \downarrow & & \downarrow \\ Z(\mathcal{D}'_{\Gamma'}) & \longrightarrow & Z(\mathcal{D}'_{\Gamma'}). \end{array}$$

Using the Tannakian duality, the well-known Beauville-Laszlo descent theorem for vector bundles implies pt/H satisfies the condition (\spadesuit) for any affine algebraic group H . Similarly, the Tannakian description for Vin_G in [FKM20, § 2.2.8] (resp. for \overline{M} in [Wan17, § 3.3]) implies that $G \backslash \text{Vin}_G^\gamma / G$ (resp. $M \backslash \overline{M} / M$) satisfies the condition (\spadesuit). Hence by taking fiber products, all the algebraic stacks in (2.26) satisfy the condition (\spadesuit).

C.5.7. Proof of Lemma C.5.5. The map $S \rightarrow \text{Bun}_M \times X^{\text{pos}}$ gives an M -torsor F_M on $X \times S$ and a $\Lambda_{G,P}^{\text{pos}}$ -valued relative Cartier divisor D on $X \times S \rightarrow S$. By forgetting the color, we obtain a relative effective Cartier divisor $\Gamma \hookrightarrow X \times S$. Replacing S by a Zariski cover, we can assume Γ is contained in an affine open subset of $X \times S$. Using Lemma C.5.8 below, we can further assume F_M is trivial on \mathcal{D}'_Γ . We claim under these assumptions, the two retractions in (C.21) are isomorphic over $(\mathbb{A}^1 \times S, 0 \times S)$.

Recall that the diagram

$$Y_{\text{rel}}^{P,\gamma} \rightarrow \text{Bun}_M \times X^{\text{pos}} \leftarrow \text{Bun}_M \times Y^{P,\gamma}$$

is obtained by applying $\mathbf{Maps}_{\text{gen}}(X, -)$ to the following commutative diagram

$$\begin{array}{ccccc} P^- \backslash \text{Vin}_G^{\gamma, \text{Bruhat}} / P & \xrightarrow{\simeq} & M \backslash \text{pt} \times A_M / A_M & \xleftarrow{\simeq} & M \backslash \text{pt} \times U^- \backslash \text{Vin}_G^{\gamma, \text{Bruhat}} / P \\ \downarrow \text{c} & & \downarrow \text{c} & & \downarrow \text{c} \\ P^- \backslash \text{Vin}_G^\gamma / P & \longrightarrow & M \backslash \text{pt} \times \overline{A}_M / A_M & \longleftarrow & M \backslash \text{pt} \times U^- \backslash \text{Vin}_G^\gamma / P. \end{array}$$

Note that the above diagram is defined over $M \backslash \text{pt} \times \mathbb{A}^1$. Also note that both squares in it are Cartesian because of the Cartesian square (C.18). To simplify the notations, we write the above diagram as

$$(V_1 \simeq V_2 \simeq V_3) \subset (Z_1 \rightarrow Z_2 \leftarrow Z_3),$$

and write its base-change along $\text{pt} \rightarrow M \backslash \text{pt}$ as

$$(V'_1 \simeq V'_2 \simeq V'_3) \subset (Z'_1 \rightarrow Z'_2 \leftarrow Z'_3).$$

Note that there is an isomorphism $Z'_1 \simeq Z'_3$ defined over Z'_2 extending the isomorphism $V'_1 \simeq V'_3$.

The given map $S \rightarrow \text{Bun}_M \times X^{\text{pos}}$ provides a map $\alpha : X \times S \rightarrow Z_2$. By our assumption on F_M , the composition

$$\mathcal{D}'_\Gamma \rightarrow X \times S \rightarrow Z_2 \rightarrow M \backslash \text{pt}$$

factors (non-canonically) through $\text{pt} \rightarrow M \backslash \text{pt}$. We fix such a factorization. Hence we obtain a factorization

$$\alpha|_{\mathcal{D}'_\Gamma} : \mathcal{D}'_\Gamma \xrightarrow{\beta} Z'_2 \rightarrow Z_2.$$

This gives an isomorphism

$$\widehat{\delta} : Z_1 \times_{(Z_2, \alpha)} \mathcal{D}'_\Gamma \simeq Z'_1 \times_{(Z'_2, \beta)} \mathcal{D}'_\Gamma \simeq Z'_3 \times_{(Z'_2, \beta)} \mathcal{D}'_\Gamma \simeq Z_3 \times_{(Z_2, \alpha)} \mathcal{D}'_\Gamma$$

⁵⁹We need this technical restriction because the Beauville-Laszlo descent theorem is stated for affine schemes. Alternatively, one can use the main theorem of [Sch15] which generalizes the Beauville-Laszlo descent theorem to the global case.

defined over \mathcal{D}'_Γ . On the other hand, note that by definition α sends $(X \times S) - \Gamma$ into $V_2 \subset Z_2$. Hence we have an isomorphism

$$\overset{\circ}{\delta} : Z_1 \times_{(Z_2, \alpha)} (X \times S - \Gamma) \simeq X \times S - \Gamma \simeq Z_3 \times_{(Z_2, \alpha)} (X \times S - \Gamma)$$

defined over $X \times S - \Gamma$. Moreover, the restrictions of $\widehat{\delta}$ and $\overset{\circ}{\delta}$ on \mathcal{D}'_Γ are isomorphic (because the isomorphism $Z'_1 \simeq Z'_3$ extends $V'_1 \simeq V'_3$).

Let S' be a finite type affine test scheme. Unwinding the definitions, the groupoid $(Y_{\text{rel}}^{P, \gamma} \times_{(\text{Bun}_M \times X^{\text{pos}})} S)(S')$ classifies

- (i) a map $S' \rightarrow S$
- (ii) a commutative diagram

$$\begin{array}{ccc} X \times S' & \xrightarrow{\epsilon} & Z_1 \\ \downarrow & & \downarrow \\ X \times S & \xrightarrow{\alpha} & Z_2. \end{array}$$

(Note that $\epsilon^{-1}(V_1) = \alpha^{-1}(V_2)$ automatically has non-empty intersections with any geometric fiber of $X \times S' \rightarrow S'$). Define $\Gamma' : \Gamma \times_S S'$. By assumption, Γ' is contained in an affine open subset of $X \times S'$. Since Z_1 satisfies the condition (\spadesuit) , we can replace (ii) by

- (ii') commutative diagrams

$$\begin{array}{ccc} X \times S' - \Gamma' & \xrightarrow{\overset{\circ}{\epsilon}} & Z_1 \\ \downarrow & & \downarrow \\ X \times S - \Gamma & \xrightarrow{\alpha} & Z_2 \end{array} \quad \begin{array}{ccc} \mathcal{D}'_{\Gamma'} & \xrightarrow{\widehat{\epsilon}} & Z_1 \\ \downarrow & & \downarrow \\ \mathcal{D}'_\Gamma & \xrightarrow{\alpha} & Z_2 \end{array} \quad \begin{array}{ccc} \mathcal{D}'_{\Gamma'} & \xrightarrow{\overset{\circ}{\epsilon}} & Z_1 \\ \downarrow & & \downarrow \\ \mathcal{D}'_\Gamma & \xrightarrow{\alpha} & Z_2 \end{array}$$

such that the third square is isomorphic to the restrictions of the first two squares.

Similarly, we can describe the groupoid $(Y_{\text{rel}}^{P, \gamma} \times_{X^{\text{pos}}} S)(S')$ by replacing Z_1 by Z_3 . Therefore the isomorphisms $\overset{\circ}{\delta}$ and $\widehat{\delta}$ (and their compatibility over \mathcal{D}'_Γ) provide an isomorphism

$$Y_{\text{rel}}^{P, \gamma} \times_{(\text{Bun}_M \times X^{\text{pos}})} S \simeq Y_{\text{rel}}^{P, \gamma} \times_{X^{\text{pos}}} S$$

defined over S . It is also defined over \mathbb{A}^1 because $\overset{\circ}{\delta}$ and $\widehat{\delta}$ are defined over \mathbb{A}^1 by construction.

Similarly we have an isomorphism⁶⁰

$$H_{M, G\text{-pos}} \times_{(\text{Bun}_M \times X^{\text{pos}})} S \simeq \text{Gr}_{M, G\text{-pos}} \times_{X^{\text{pos}}} S$$

defined over S . These two isomorphisms are compatible with the structures of retractions because the above construction is functorial in Z_1 and Z_3 .

□[Lemma C.5.5]

Lemma C.5.8. *Let S be any finite type affine test scheme over $\text{Bun}_M \times X^\theta$, then there exists an étale covering S' satisfying the following condition*

- Let (F'_M, D') be the object classified by the map $S' \rightarrow S \rightarrow \text{Bun}_M \times X^\theta$, where F'_M is an M -torsor on $X \times S'$ and D' is a $\Lambda_{G, P}^{\text{pos}}$ -valued relative Cartier divisor on $X \times S \rightarrow S$. Let Γ' be the underlying relative Cartier divisor of D' . Then F'_M is trivial over $\mathcal{D}'_{\Gamma'}$ (see Notation 0.6.5).

Proof. We prove by induction on θ . Note that the disjoint union of $(X^{\theta_1} \times X^{\theta - \theta_1})_{\text{disj}}$ for all $\theta_1 < \theta$ is an étale cover of $X^\theta - X$ (the complement of the main diagonal). Hence by induction hypothesis, it remains to prove the following claim. For any closed point s of $S \times_{X^\theta} X \hookrightarrow S$, there exists an étale neighborhood S' of s satisfying the condition in the problem.

⁶⁰This time we need to use the Cartesaisn square (C.9).

Let $x \in X^\theta$ be the image of s . By assumption, x is a closed point on the main diagonal. By [DS95, Theorem 2], after replacing S by an étale cover S , we can assume F_M to be locally trivial in the Zariski topology of $X \times S$. Let U be an open of $X \times S$ containing (x, s) such that F_M is trivial on it. Denote its complement closed subset in $X \times S$ by Y . Note that $Y \cap \Gamma$ is a closed subset of $X \times S$. Since the projection $X \times S \rightarrow S$ is proper, the image of $Y \cap \Gamma$ is a closed subset of S . By construction, this closed subset does not contain s . We choose S' to the complement open of this closed subset. It follows from construction that it satisfies the desired property.

□[Lemma C.5.8]

APPENDIX D. COMPACT GENERATION OF $D(\mathrm{Gr}_G)^{\mathcal{L}U}$ AND $D(\mathrm{Gr}_G)_{\mathcal{L}U}$

The goal of this appendix is to prove Lemma 2.3.4 and Lemma 2.3.5. The proofs below are suggested by D. Gaitsgory.

D.1. Parameterized Braden's theorem. We need a parameterized version of Braden's theorem. We start with an auxiliary lemma

Lemma-Definition D.1.1. *Let Z be an ind-finite type indscheme equipped with a \mathbb{G}_m -action, and \mathcal{D} be any DG category. Then the obvious functor*

$$D(Z)^{\mathbb{G}_m\text{-um}} \otimes \mathcal{D} \rightarrow D(Z) \otimes \mathcal{D}$$

is fully faithful.

We define $(D(Z) \otimes \mathcal{D})^{\mathbb{G}_m\text{-um}}$ to be the essential image of the above functor.

Proof. It suffices to show that the fully faithful functor $D(Z)^{\mathbb{G}_m\text{-um}} \rightarrow D(Z)$ has a continuous right adjoint. Recall that both $D(Z)^{\mathbb{G}_m} \simeq D(Z/\mathbb{G}_m)$ and $D(Z)$ are compactly generated, and the functor $\mathbf{oblv}^{\mathbb{G}_m}$ between them sends compact objects to compact objects. This formally implies that $D(Z)^{\mathbb{G}_m\text{-um}}$ is compactly generated and the functor $D(Z)^{\mathbb{G}_m\text{-um}} \rightarrow D(Z)$ sends compact objects to compact objects. In particular, this functor has a continuous right adjoint.

□[Lemma-Construction D.1.1]

D.1.2. Parameterized Braden's theorem. Let Z and \mathcal{D} be as in Lemma-Definition D.1.1. Consider the functor

$$D(Z^{\mathrm{fix}}) \otimes \mathcal{D} \xrightarrow{q^{-,!} \otimes \mathrm{Id}} D(Z^{\mathrm{rep}}) \otimes \mathcal{D} \xrightarrow{p_* \otimes \mathrm{Id}} D(Z) \otimes \mathcal{D}.$$

By definition, its image is contained in the full subcategory $(D(Z) \otimes \mathcal{D})^{\mathbb{G}_m\text{-um}}$. Therefore we obtain a functor

$$(p_* \circ q^{-,!}) \otimes \mathrm{Id} : D(Z^{\mathrm{fix}}) \otimes \mathcal{D} \rightarrow (D(Z) \otimes \mathcal{D})^{\mathbb{G}_m\text{-um}}.$$

Remark 2.2.19 implies

Theorem D.1.3. *(Parameterized Braden's theorem) There is a canonical adjoint pair*

$$(q_* \circ p^{+,!}) \otimes \mathrm{Id} : (D(Z) \otimes \mathcal{D})^{\mathbb{G}_m\text{-um}} \rightleftarrows D(Z^{\mathrm{fix}}) \otimes \mathcal{D} : (p_* \circ q^{-,!}) \otimes \mathrm{Id}.$$

Remark D.1.4. There is also a parameterized version of the contraction principle. We do not use it in this paper.

D.2. Parameterized version of Lemma 2.3.4. In this subsection. We prove a parameterized version of Lemma 2.3.4. We need the addition parameter to help us to deal with the coinvariants category latter.

Lemma D.2.1. *Let \mathcal{D} be any DG category.*

(0) *We have a canonical equivalence*

$$D(\mathrm{Gr}_{P,I})^{\mathcal{L}U_I} \otimes \mathcal{D} \simeq (D(\mathrm{Gr}_{P,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}.$$

(1) *We have⁶¹*

$$(D(\mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} \subset (D(\mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathbb{G}_m\text{-um}} \subset D(\mathrm{Gr}_{G,I}) \otimes \mathcal{D}.$$

⁶¹The category $(D(\mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathbb{G}_m\text{-um}}$ is defined in Lemma-Definition D.1.1.

(2) *The composition*

$$(D.1) \quad \mathbf{D}(\text{Gr}_{M,I}) \otimes \mathcal{D} \xrightarrow{s_{I,*} \otimes \mathbf{Id}} \mathbf{D}(\text{Gr}_{G,I}) \otimes \mathcal{D} \xrightarrow{\mathbf{Av}_!^{\mathcal{L}U_I}} (\mathbf{D}(\text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}$$

is well-defined, and the image of it generates $(\mathbf{D}(\text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}$ under colimits and shifts. Moreover, the left-lax $\mathbf{D}(X^I)$ -linear structure on this functor is strict.

(3) *The functor*

$$(\mathbf{p}_{I,*}^+ \otimes \mathbf{Id})^{\text{inv}} : (\mathbf{D}(\text{Gr}_{P,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} \rightarrow (\mathbf{D}(\text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}$$

has a left adjoint canonically isomorphic to

$$\begin{aligned} & (\mathbf{D}(\text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} \xrightarrow{\text{oblv}^{\mathcal{L}U_I}} \mathbf{D}(\text{Gr}_{G,I}) \otimes \mathcal{D} \xrightarrow{(\mathbf{q}_{I,*}^- \circ \mathbf{p}_I^{-1}) \otimes \mathbf{Id}} \\ & \rightarrow \mathbf{D}(\text{Gr}_{M,I}) \otimes \mathcal{D} \simeq \mathbf{D}(\text{Gr}_{P,I})^{\mathcal{L}U_I} \otimes \mathcal{D} \simeq (\mathbf{D}(\text{Gr}_{P,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}. \end{aligned}$$

(4) *The functor*

$$(\mathbf{p}_I^{+,!} \otimes \mathbf{Id})^{\text{inv}} : (\mathbf{D}(\text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} \rightarrow (\mathbf{D}(\text{Gr}_{P,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}$$

has a left adjoint canonically isomorphic to

$$(\mathbf{D}(\text{Gr}_{P,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} \simeq \mathbf{D}(\text{Gr}_{P,I})^{\mathcal{L}U_I} \otimes \mathcal{D} \simeq \mathbf{D}(\text{Gr}_{M,I}) \otimes \mathcal{D} \xrightarrow{(D.1)} (\mathbf{D}(\text{Gr}_{P,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}.$$

D.2.2. *Proof of Lemma D.2.1.* The rest of this subsection is devoted to the proof of the lemma. We first note that (0) follows formally (see Lemma B.1.12(4)) from Lemma 2.3.2(2). Also, (4) is tautological once we know (D.1) is well-defined.

We first recall the following well-known result:

Lemma D.2.3. *Let Y be any ind-finite type indscheme and $\mathcal{D} \in \text{DGCat}$.*

(1) *Suppose Y is written as $\text{colim}_{\alpha \in I} Y_\alpha$, where Y_α are closed sub-indchemes of Y . Then the natural functor*

$$\mathbf{D}(Y) \otimes \mathcal{D} \rightarrow \lim_{!-\text{pullback}} \mathbf{D}(Y_\alpha) \otimes \mathcal{D}$$

is an equivalence.

(2) *Suppose Y is written as $\text{colim}_{\beta \in J} U_\beta$, where U_β are open sub-indchemes of Y and J is filtered. Then the natural functor*

$$\mathbf{D}(Y) \otimes \mathcal{D} \rightarrow \lim_{!-\text{pullback}} \mathbf{D}(U_\beta) \otimes \mathcal{D}$$

is an equivalence.

Proof. We first prove (1). By definition, we have

$$\mathbf{D}(Y) \otimes \mathcal{D} \simeq \text{colim}_{*- \text{pushforward}} \mathbf{D}(Y_\alpha) \otimes \mathcal{D}.$$

Then we are done by passing to left adjoints.

Now let us prove (2). Write Y as the filtered colimit of its closed subschemes $Y \simeq \text{colim}_{\alpha \in I} Y_\alpha$. For $\alpha \in I$ and $\beta \in J$, let Y_α^β be the intersection of Y_α with U_β (inside Y). By (1), we have

$$\begin{aligned} \mathbf{D}(Y) \otimes \mathcal{D} & \simeq \lim_{!-\text{pullback}} \mathbf{D}(Y_\alpha) \otimes \mathcal{D}, \\ \mathbf{D}(U_\beta) \otimes \mathcal{D} & \simeq \lim_{!-\text{pullback}} \mathbf{D}(Y_\alpha^\beta) \otimes \mathcal{D}. \end{aligned}$$

Hence it remains to prove that for a fixed $\alpha \in I$, the natural functor

$$\mathbf{D}(Y_\alpha) \otimes \mathcal{D} \rightarrow \lim_{!-\text{pullback}} \mathbf{D}(Y_\alpha^\beta) \otimes \mathcal{D}$$

is an isomorphism. However, this is obvious because for large enough β , the subscheme Y_α is contained inside U_β and hence $Y_\alpha^\beta \simeq Y_\alpha$.

□[Lemma D.2.3]

D.2.4. *Proof of (1).* Recall the stratification on $\mathrm{Gr}_{G,I}$ defined by $\mathrm{Gr}_{P,I} \rightarrow \mathrm{Gr}_{G,I}$ (see § 2.3.1). Since the map $\mathbf{p}_I^+ : \mathrm{Gr}_{P,I} \rightarrow \mathrm{Gr}_{G,I}$ is $\mathcal{L}U_I$ -equivariant and $\mathcal{L}U_I$ is ind-reduced, the sub-indchemes ${}_{\leq \lambda} \mathrm{Gr}_{G,I}$ and ${}_{\geq \lambda} \mathrm{Gr}_{G,I}$ of $\mathrm{Gr}_{G,I}$ are all preserved by the $\mathcal{L}U_I$ -action.

By Proposition C.3.2(3) and Lemma D.2.3(1), we have

$$(D.2) \quad \mathrm{D}(\mathrm{Gr}_{G,I}) \otimes \mathcal{D} \simeq \lim_{! \text{-pullback}} \mathrm{D}({}_{\leq \lambda} \mathrm{Gr}_{G,I}) \otimes \mathcal{D}.$$

Hence

$$(\mathrm{D}(\mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} \simeq \lim_{! \text{-pullback}} (\mathrm{D}({}_{\leq \lambda} \mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}$$

because taking invariants is a right adjoint.

On the other hand, we also have

$$(\mathrm{D}(\mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathbb{G}_m \text{-um}} \simeq \operatorname{colim}_{* \text{-pushforward}} (\mathrm{D}({}_{\leq \lambda} \mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathbb{G}_m \text{-um}} \simeq \lim_{! \text{-pullback}} (\mathrm{D}({}_{\leq \lambda} \mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathbb{G}_m \text{-um}}.$$

Hence to prove (1), it suffices to replace $\mathrm{Gr}_{G,I}$ by ${}_{\leq \lambda} \mathrm{Gr}_{G,I}$ (for all $\lambda \in \Lambda_{G,P}$).

Note that ${}_{\leq \lambda} \mathrm{Gr}_{G,I}$ is the union of its open sub-indchemes ${}_{\leq \lambda, \geq \mu} \mathrm{Gr}_{G,I}$. Moreover, it is easy to see that the relation “ \geq ” defines a *filtered* partial ordering on $\{\mu \in \Lambda_{G,P} \mid \mu \leq \lambda\}$. Hence by Lemma D.2.3(2), we have

$$(D.3) \quad \mathrm{D}({}_{\leq \lambda} \mathrm{Gr}_{G,I}) \otimes \mathcal{D} \simeq \lim_{! \text{-pullback}} \mathrm{D}({}_{\leq \lambda, \geq \mu} \mathrm{Gr}_{G,I}) \otimes \mathcal{D}.$$

Therefore

$$(D.4) \quad (\mathrm{D}({}_{\leq \lambda} \mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} \simeq \lim_{! \text{-pullback}} (\mathrm{D}({}_{\leq \lambda, \geq \mu} \mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}.$$

On the other hand, a similar argument as in the proof of Lemma D.2.3(2) shows

$$(\mathrm{D}({}_{\leq \lambda} \mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathbb{G}_m \text{-um}} \simeq \lim_{! \text{-pullback}} (\mathrm{D}({}_{\leq \lambda, \geq \mu} \mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathbb{G}_m \text{-um}}.$$

Hence to prove (1), it suffices to replace $\mathrm{Gr}_{G,I}$ by ${}_{\leq \lambda, \geq \mu} \mathrm{Gr}_{G,I}$ (for all $\lambda, \mu \in \Lambda_{G,P}$ with $\mu \leq \lambda$). Note that ${}_{\leq \lambda, \geq \mu} \mathrm{Gr}_{G,I}$ contains only finitely many strata. Using induction and the excision triangle, we can further replace $\mathrm{Gr}_{G,I}$ by a single stratum ${}_{\theta} \mathrm{Gr}_{G,I} \simeq (\mathrm{Gr}_{P,I}^{\theta})_{\mathrm{red}}$. Then we are done by (0) and Lemma 2.3.2(1). This proves (1).

D.2.5. *Proof of (3).* Consider the \mathbb{G}_m -action on $\mathrm{Gr}_{G,I}$. The attractor (resp. repeller, fixed) locus is $\mathrm{Gr}_{P,I}$ (resp. $\mathrm{Gr}_{P^-,I}$, $\mathrm{Gr}_{M,I}$). Applying Theorem D.1.3 to the inverse of this action, we obtain an adjoint pair

$$(\mathbf{q}_{I,*}^- \circ \mathbf{p}_I^{-,!}) \otimes \mathbf{Id} : (\mathrm{D}(\mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathbb{G}_m \text{-um}} \rightleftarrows \mathrm{D}(\mathrm{Gr}_{M,I}) \otimes \mathcal{D} : (\mathbf{p}_*^+ \circ \mathbf{q}^{+,!}) \otimes \mathbf{Id}.$$

By (0) and Lemma 2.3.2(1), the image of the above right adjoint is contained in $(\mathrm{D}(\mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}$, which itself is contained in $(\mathrm{D}(\mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathbb{G}_m \text{-um}}$ by (1). Hence we can formally obtain the adjoint pair in (3) from the above adjoint pair. This proves (3).

D.2.6. *Proof of (2).* We first prove that (D.1) is well-defined and strictly $\mathrm{D}(X^I)$ -linear. It suffices to prove $(\mathbf{p}_I^{+,!} \otimes \mathbf{Id})^{\mathrm{inv}}$ in (4) has a strictly $\mathrm{D}(X^I)$ -linear left adjoint. To do this, we can replace $\mathrm{Gr}_{P,I}$ by $\mathrm{Gr}_{P,I}^{\lambda}$. Consider the following maps

$${}_{\lambda} \mathrm{Gr}_{G,I} \xrightarrow{\lambda^j} {}_{\leq \lambda} \mathrm{Gr}_{G,I} \xrightarrow{\leq \lambda \mathbf{p}_I^{\dagger}} \mathrm{Gr}_{G,I}.$$

Since ${}_{\leq \lambda} \mathbf{p}_I^{\dagger}$ is a schematic closed embedding, we have an adjoint pair

$$({}_{\leq \lambda} \mathbf{p}_{I,*}^{\dagger} \otimes \mathbf{Id})^{\mathrm{inv}} : (\mathrm{D}({}_{\leq \lambda} \mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} \rightleftarrows (\mathrm{D}(\mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} : ({}_{\leq \lambda} \mathbf{p}_I^{+,!} \otimes \mathbf{Id})^{\mathrm{inv}}.$$

Hence it suffices to prove that

$$({}_{\lambda} j^! \otimes \mathbf{Id})^{\mathrm{inv}} : (\mathrm{D}({}_{\leq \lambda} \mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} \rightarrow (\mathrm{D}({}_{\lambda} \mathrm{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}$$

has a strictly $D(X^I)$ -linear left adjoint. For any $\mu_1 \leq \mu_2 \leq \lambda$, consider the following commutative square induced by $!$ -pullback functors:

$$\begin{array}{ccc} (D(\lambda \text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} & \xrightarrow{=} & (D(\lambda \text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} \\ \uparrow & & \uparrow \\ (D(\leq \lambda, \geq \mu_1 \text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} & \longrightarrow & (D(\leq \lambda, \geq \mu_2 \text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}. \end{array}$$

Using (D.4), the existence of the desired left adjoint follows formally (see Lemma A.1.3) from the following claim: the above square is left-adjointable along the vertical direction and the relevant left adjoints are strictly $D(X^I)$ -linear. By the base-change isomorphism, the above square is right adjointable along the horizontal direction. Hence it suffices to prove that the vertical functors have strictly $D(X^I)$ -linear left adjoints. Note that $\leq \lambda, \geq \mu \text{Gr}_{G,I}$ contains only finitely many strata. Hence we are done by using (3) and the excision triangle. This proves (D.1) is well-defined and strictly $D(X^I)$ -linear.

It remains to prove the image of (D.1) generates the target category under colimits and shifts. It suffices to prove $(\mathbf{p}_I^{+,!} \otimes \mathbf{Id})^{\text{inv}}$ is conservative. We only need to prove $\mathbf{p}_I^{+,!} \otimes \mathbf{Id}$ is conservative. Suppose $y \in D(\text{Gr}_{G,I}) \otimes \mathcal{D}$ and $\mathbf{p}_I^{+,!} \otimes \mathbf{Id}(y) \simeq 0$. We need to show $y \simeq 0$. By (D.2) and (D.3), it suffices to show the $!$ -restriction of y to $D(\leq \lambda, \geq \mu \text{Gr}_{G,I}) \otimes \mathcal{D}$ is zero for any $\lambda, \mu \in \Lambda_{G,P}$. Note that $\leq \lambda, \geq \mu \text{Gr}_{G,I}$ contains only finite many strata. Hence we are done by using the excision triangle.

□[Lemma D.2.1]

D.3. Proof of Lemma 2.3.4, 2.3.5. Note that Lemma 2.3.4 can be obtained⁶² from Lemma D.2.1 by letting $\mathcal{D} := \text{Vect}$.

The rest of this subsection is devoted to the proof of Lemma 2.3.5. Let $\mathcal{D} \in \text{DGCat}$ be a test DG category. Consider the tautological functor

$$\alpha : D(\text{Gr}_{G,I})^{\mathcal{L}U_I} \otimes \mathcal{D} \rightarrow (D(\text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}.$$

We have

Lemma D.3.1. *The following two commutative squares are left adjointable along horizontal directions.*

$$\begin{array}{ccc} D(\text{Gr}_{G,I})^{\mathcal{L}U_I} \otimes \mathcal{D} & \xrightarrow{\mathbf{p}_I^{+,!,\text{inv}} \otimes \mathbf{Id}} & D(\text{Gr}_{P,I})^{\mathcal{L}U_I} \otimes \mathcal{D} \\ \downarrow \alpha & & \beta \downarrow \simeq \\ (D(\text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} & \xrightarrow{(\mathbf{p}_I^{+,!} \otimes \mathbf{Id})^{\text{inv}}} & (D(\text{Gr}_{P,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}, \\ \\ D(\text{Gr}_{P,I})^{\mathcal{L}U_I} \otimes \mathcal{D} & \xrightarrow{\mathbf{p}_{I,*}^{+,\text{inv}} \otimes \mathbf{Id}} & D(\text{Gr}_{G,I})^{\mathcal{L}U_I} \otimes \mathcal{D} \\ \beta \downarrow \simeq & & \downarrow \alpha \\ (D(\text{Gr}_{P,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} & \xrightarrow{(\mathbf{p}_{I,*}^+ \otimes \mathbf{Id})^{\text{inv}}} & (D(\text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}. \end{array}$$

Proof. First note that β is indeed an equivalence by Lemma D.2.1(0).

The claim for the second commutative square is a corollary of Lemma D.1(3). It remains to prove the claim for the first commutative square. By Lemma D.1(4), the relevant left adjoints are well-defined.

Let x be any object in $D(\text{Gr}_{P,I})^{\mathcal{L}U_I} \otimes \mathcal{D}$. It suffices to prove the morphism

$$(D.5) \quad (\mathbf{p}_I^{+,!} \otimes \mathbf{Id})^{\text{inv},L} \circ \beta(x) \rightarrow \alpha \circ (\mathbf{p}_I^{+,!,\text{inv}} \otimes \mathbf{Id})^L(x)$$

is an isomorphism. Note that we have

$$D(\text{Gr}_{P,I})^{\mathcal{L}U_I} \otimes \mathcal{D} \simeq \coprod_{\lambda \in \Lambda_{G,P}} (D(\text{Gr}_{P,I}^\lambda)^{\mathcal{L}U_I} \otimes \mathcal{D}).$$

Without loss of generality, we can assume x is contained in the direct summand labelled by λ .

⁶²Of course, in order to get the *compact* generation of $D(\text{Gr}_{G,I})$, we need to use the compact generation of $D(\text{Gr}_{M,I})$.

Consider the closed embedding ${}_{\leq \lambda} \text{Gr}_{G,I} \rightarrow \text{Gr}_{G,I}$. It induces a fully faithful functor

$$(\mathcal{D}({}_{\leq \lambda} \text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} \hookrightarrow (\mathcal{D}(\text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}.$$

It is easy to see that both sides of (D.5) are contained in this full subcategory. Hence by Lemma D.3.2 below, it suffices to prove that the map

$$(\mathbf{p}_{I,*}^+ \otimes \mathbf{Id})^{\text{inv},L} \circ (\mathbf{p}_I^{+,!} \otimes \mathbf{Id})^{\text{inv},L} \circ \beta \rightarrow (\mathbf{p}_{I,*}^+ \otimes \mathbf{Id})^{\text{inv},L} \circ \alpha \circ (\mathbf{p}_I^{+!,\text{inv}} \otimes \mathbf{Id})^L$$

is an isomorphism. By the left adjointability of the second square, the RHS is isomorphic to $\beta \circ (\mathbf{p}_{I,*}^{+,\text{inv}} \otimes \mathbf{Id})^L \circ (\mathbf{p}_I^{+!,\text{inv}} \otimes \mathbf{Id})^L$. Then we are done because of the obvious isomorphism

$$(\mathbf{p}_I^{+!,\text{inv}} \otimes \mathbf{Id}) \circ (\mathbf{p}_{I,*}^{+,\text{inv}} \otimes \mathbf{Id}) \simeq (\mathbf{p}_I^{+,!} \otimes \mathbf{Id})^{\text{inv}} \circ (\mathbf{p}_{I,*}^+ \otimes \mathbf{Id})^{\text{inv}}.$$

□[Lemma D.3.1]

Lemma D.3.2. *Let $\lambda \in \Lambda_{G,P}$. The following composition is conservative*

$$(\mathcal{D}({}_{\leq \lambda} \text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} \hookrightarrow (\mathcal{D}(\text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I} \xrightarrow{(\mathbf{p}_{I,*}^+ \otimes \mathbf{Id})^{\text{inv},L}} (\mathcal{D}(\text{Gr}_{P,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}.$$

Proof. Suppose that $y \in (\mathcal{D}({}_{\leq \lambda} \text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}$ is sent to zero by the above composition. We need to show that $y \simeq 0$. By (D.4), it suffices to prove that the $!$ -restrictions of y to $(\mathcal{D}({}_{\leq \lambda, \geq \mu} \text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}$ is zero for any $\mu \leq \lambda$. Note that these $!$ -restrictions are equal to $*$ -restrictions. Also note that ${}_{\leq \lambda, \geq \mu} \text{Gr}_{G,I}$ contains only finitely many strata. Hence we are done by using induction and the excision triangle.

□[Lemma D.3.2]

Lemma D.3.3. *Let \mathcal{D} be any DG category. The tautological functor*

$$\alpha : \mathcal{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I} \otimes \mathcal{D} \rightarrow (\mathcal{D}(\text{Gr}_{G,I}) \otimes \mathcal{D})^{\mathcal{L}U_I}$$

is an isomorphism.

Proof. By Lemma D.2.1(2)(4) and Lemma D.3.1, the image of α generates the target under colimits and shifts. It remains to prove that α is fully faithful, which can be proved by diagram chasing with help of Lemma D.3.1. We exhibit it as follows.

Let $y \in \mathcal{D}(\text{Gr}_{P,I})^{\mathcal{L}U_I} \otimes \mathcal{D}$ and $z \in \mathcal{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I} \otimes \mathcal{D}$. We have

$$\begin{aligned} & \text{Maps}((\mathbf{p}_I^{+!,\text{inv}} \otimes \mathbf{Id})^L(y), z) \\ & \simeq \text{Maps}(y, (\mathbf{p}_I^{+!,\text{inv}} \otimes \mathbf{Id})(z)) \\ & \simeq \text{Maps}(\beta(y), \beta \circ (\mathbf{p}_I^{+!,\text{inv}} \otimes \mathbf{Id})(z)) \\ & \simeq \text{Maps}(\beta(y), (\mathbf{p}_I^{+,!} \otimes \mathbf{Id})^{\text{inv}} \circ \alpha(z)) \\ & \simeq \text{Maps}((\mathbf{p}_I^{+,!} \otimes \mathbf{Id})^{\text{inv},L} \circ \beta(y), \alpha(z)) \\ & \simeq \text{Maps}(\alpha \circ (\mathbf{p}_I^{+!,\text{inv}} \otimes \mathbf{Id})^L(y), \alpha(z)). \end{aligned}$$

Then we are done because the category $\mathcal{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I} \otimes \mathcal{D}$ is generated under colimits and shifts by $(\mathbf{p}_I^{+!,\text{inv}} \otimes \mathbf{Id})^L(y)$.

□[Lemma D.3.3]

D.3.4. Proof of Lemma 2.3.5. Lemma D.3.3 formally implies (see Lemma B.1.12(4)) that the category $\mathcal{D}(\text{Gr}_{G,I})_{\mathcal{L}U_I}$ is dualizable in DGCat . It follows formally (see Lemma B.1.11) that $\mathcal{D}(\text{Gr}_{G,I})_{\mathcal{L}U_I}$ and $\mathcal{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I}$ are dual to each other. Since $\mathcal{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I}$ is compactly generated (by Lemma 2.3.4, which we have already proved), its dual category $\mathcal{D}(\text{Gr}_{G,I})_{\mathcal{L}U_I}$ is also compactly generated. Moreover, we have an equivalence

$$(D.6) \quad (\mathcal{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I})^c \simeq (\mathcal{D}(\text{Gr}_{G,I})_{\mathcal{L}U_I})^{c,\text{op}}.$$

Consider the pairing functor for the above duality:

$$\langle -, - \rangle : \mathcal{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I} \times \mathcal{D}(\text{Gr}_{G,I})_{\mathcal{L}U_I} \rightarrow \text{Vect}.$$

For any $\mathcal{F} \in \text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I}$ and any *compact* object \mathcal{G} in $\text{D}(\text{Gr}_{M,I})$, we have

$$\begin{aligned} \langle \mathcal{F}, \mathbf{pr}_{\mathcal{L}U_I} \circ \mathbf{s}_{I,*}(\mathcal{G}) \rangle &\simeq \langle \mathbf{s}_I^! \circ \mathbf{oblv}^{\mathcal{L}U_I} \circ \mathcal{F}, \mathcal{G} \rangle_{\text{Verdier}} \simeq \\ &\simeq \text{Maps}(\mathbb{D}(\mathcal{G}), \mathbf{s}_I^! \circ \mathbf{oblv}^{\mathcal{L}U_I} \circ \mathcal{F}) \simeq \text{Maps}(\mathbf{Av}_I^{\mathcal{L}U_I} \circ \mathbf{s}_{I,*} \circ \mathbb{D}(\mathcal{G}), \mathcal{F}). \end{aligned}$$

Hence the object (which is well-defined by Lemma 2.3.4(2))

$$\mathbf{Av}_I^{\mathcal{L}U_I} \circ \mathbf{s}_{I,*} \circ \mathbb{D}(\mathcal{G}) \in (\text{D}(\text{Gr}_{G,I})^{\mathcal{L}U_I})^c$$

is sent by (D.6) to the object $\mathbf{pr}_{\mathcal{L}U_I} \circ \mathbf{s}_{I,*}(\mathcal{G})$. Consequently, the latter object is compact. All such objects generate the category $\text{D}(\text{Gr}_{G,I})_{\mathcal{L}U_I}$ under colimits and shifts because of Lemma 2.3.4(2).

□[Lemma 2.3.5]

APPENDIX E. PROOF OF LEMMA 4.2.1

In the proofs below, we focus mainly on the geometric constructions, and omit some details about general properties of D-modules. In particular, we stop mentioning the well-definedness of certain $*$ -pullbacks because our main interest is on the regular ind-holonomic object $\omega_{\text{Bun}_G \times \mathbb{G}_m}$.

Our strategy is similar to that in [BG02, Subsection 6.3]. In particular, we study the Hecke modifications on VinBun_G .

E.1.1. *UHC and safe.* We first do some reductions.

Recall that a map $Z_1 \rightarrow Z_2$ between two lft prestacks is *universally homological contractible*, or *UHC* if for any finite type affine test scheme $S \rightarrow Z_2$, the $!$ -pullback functor $\text{D}(S) \rightarrow \text{D}(Z_1 \times_{Z_2} S)$ is fully faithful. It is well-known that the map $\text{Bun}_P \rightarrow \text{Bun}_M$ is UHC.

Recall we have

$$\text{str VinBun}_G|_{C_P} \simeq \text{Bun}_{P \times P^-} \times_{\text{Bun}_M \times M} H_{M,G-\text{pos}}.$$

Via this identification, the map $\mathbf{q}_{\text{glob}}^+$ is given the obvious projection. In particular, $\mathbf{q}_{\text{glob}}^+$ is UHC.

Consider the obvious maps

$$\overleftarrow{q} : \text{str VinBun}_G|_{C_P} \rightarrow \text{Bun}_P \times_{\text{Bun}_M, \overleftarrow{h}} H_{M,G-\text{pos}}, \quad \overrightarrow{q} : \text{str VinBun}_G|_{C_P} \rightarrow H_{M,G-\text{pos}} \times_{\overrightarrow{h}, \text{Bun}_M} \text{Bun}_{P^-}.$$

Note that they are also UHC.

Note that the maps $\mathbf{q}_{\text{glob}}^+$, \overleftarrow{q} and \overrightarrow{q} are smooth. Moreover, they are *safe* in the sense of [DG13] because $\text{Bun}_P \rightarrow \text{Bun}_M$ is safe. Therefore the $!$ -pullback functors along these maps have continuous right adjoints, and these right adjoints can be identified with \blacktriangle -pushforward functors up to a cohomological shift (by twice the relative dimension). We have:

Lemma E.1.2. *The essential image of $\mathbf{q}_{\text{glob}}^{+,!}$ is equivalent to the intersection of the essential images of $(\overleftarrow{q})^!$ and $(\overrightarrow{q})^!$.*

Proof. Note that an object $\mathcal{G} \in \text{D}(\text{str VinBun}_G|_{C_P})$ is contained in the image of $\mathbf{q}_{\text{glob}}^{+,!}$ iff $\mathbf{q}_{\text{glob}}^{+,!} \circ (\mathbf{q}_{\text{glob}}^{+,!})^R(\mathcal{G})$ is isomorphic to \mathcal{G} . Then we are done because the base-change isomorphisms in [DG13] imply

$$\mathbf{q}_{\text{glob}}^{+,!} \circ (\mathbf{q}_{\text{glob}}^{+,!})^R \simeq (\overleftarrow{q})^! \circ (\overleftarrow{q})^{!,R} \circ (\overrightarrow{q})^! \circ (\overrightarrow{q})^{!,R}.$$

□[Lemma E.1.2]

Lemma E.1.3. *Let $q : Z_1 \rightarrow Z_2$ be a smooth, safe and UHC map. Let $Z'_2 \rightarrow Z_2$ be a Zariski cover and $q' : Z'_1 \rightarrow Z'_2$ be the base-change of q . Then an object $\mathcal{G} \in \text{D}(Z_1)$ is contained in the essential image of $q^!$ iff its $!$ -pullback in $\text{D}(Z'_1)$ is contained in the essential image of $(q')^!$.*

Proof. Follows from the Zariski descent of D-modules and the fact $q^!$ is fully faithful.

□[Lemma E.1.3]

Lemma E.1.4. *Let $q : Z_1 \rightarrow Z_2$ be a smooth, safe and UHC map. Consider the projections*

$$\mathrm{pr}_1, \mathrm{pr}_2 : Z_1 \times_{Z_2} Z_1 \rightarrow Z_2.$$

Then an object $\mathcal{G} \in \mathcal{D}(Z_1)$ is contained in the essential image of $q^!$ iff $\mathrm{pr}_1^!(\mathcal{G})$ is isomorphic to $\mathrm{pr}_2^!(\mathcal{G})$.

Proof. The “only if” part is trivial. Now suppose we have an isomorphism $\mathrm{pr}_1^!(\mathcal{G}) \simeq \mathrm{pr}_2^!(\mathcal{G})$. It follows from definitions that pr_1 and pr_2 are also smooth, safe and UHC. Hence we have

$$\mathcal{G} \simeq (\mathrm{pr}_1^!)^R \circ \mathrm{pr}_1^!(\mathcal{G}) \simeq (\mathrm{pr}_1^!)^R \circ \mathrm{pr}_2^!(\mathcal{G}) \simeq q^! \circ (q^!)^R$$

as desired, where the last isomorphism is the base-change isomorphism in [DG13].

□[Lemma E.1.4]

E.1.5. *Strategy.* By Lemma E.1.2, we only need to show our desired object, $\mathbf{p}_{\mathrm{glob}}^{+!} \circ i^* \circ j_*(\omega)$, is contained in the essential image of $(\vec{q})^!$.

Let x_i be distinct closed points on X and $x \rightarrow X$ be the union of them. We define $H_{M,G\text{-pos}}^{\mathrm{df}\infty\text{-}x}$ to be the open sub-stack of $H_{M,G\text{-pos}}$ classifying maps $X \rightarrow M \backslash \overline{M} / M$ that send x into $M \backslash \overline{M} / M$. The symbol “ $\mathrm{df}\infty\text{-}x$ ” stands for “defect-free near x ”. Note that when x varies, these open sub-stacks form a Zariski cover of $H_{M,G\text{-pos}}$. We define $(\mathrm{strVinBun}_G|_{C_P})^{\mathrm{df}\infty\text{-}x}$ to be the pre-image of this open sub-stack for the map $\mathbf{q}_{\mathrm{glob}}^+$.

The map \vec{q} restricts to a map

$$(\mathrm{strVinBun}_G|_{C_P})^{\mathrm{df}\infty\text{-}x} \rightarrow H_{M,G\text{-pos}}^{\mathrm{df}\infty\text{-}x} \xrightarrow{\vec{\mathfrak{h}}, \mathrm{Bun}_M} \times \mathrm{Bun}_{P^-}.$$

Consider the Čech nerve of this map. The first two terms are

$$(E.1) \quad (\mathrm{Bun}_P \times_{\mathrm{Bun}_M} \mathrm{Bun}_P) \times_{\mathrm{Bun}_M, \vec{\mathfrak{h}}} H_{M,G\text{-pos}}^{\mathrm{df}\infty\text{-}x} \xrightarrow{\vec{\mathfrak{h}}, \mathrm{Bun}_M} \times \mathrm{Bun}_{P^-} \rightrightarrows (\mathrm{Bun}_P) \times_{\mathrm{Bun}_M, \overleftarrow{\mathfrak{h}}} H_{M,G\text{-pos}}^{\mathrm{df}\infty\text{-}x} \xrightarrow{\overleftarrow{\mathfrak{h}}, \mathrm{Bun}_M} \times \mathrm{Bun}_{P^-}.$$

Write ∂_0 and ∂_1 for these two maps. By Lemma E.1.3 and E.1.4, we only need to show $\partial_0^!(\mathcal{G})$ and $\partial_1^!(\mathcal{G})$ are isomorphic, where \mathcal{G} is the restriction of $\mathbf{p}_{\mathrm{glob}}^{+!} \circ i^* \circ j_*(\omega)$ on $(\mathrm{strVinBun}_G|_{C_P})^{\mathrm{df}\infty\text{-}x}$.

We want to replace the factor $(\mathrm{Bun}_P \times_{\mathrm{Bun}_M} \mathrm{Bun}_P)$ in (E.1) by a local object that is easier to handle. Consider the Hecke ind-stack

$$H_{P,x} := \mathrm{Gr}_{P,x} \widetilde{\times} \mathrm{Bun}_P.$$

Recall that it is equipped with two projections

$$\vec{\mathfrak{h}}, \overleftarrow{\mathfrak{h}} : H_{P,x} \rightarrow \mathrm{Bun}_P.$$

Also recall we have a “diagonal” map $\Delta : \mathrm{Bun}_P \rightarrow H_{P,x}$ such that $\vec{\mathfrak{h}} \circ \Delta \simeq \overleftarrow{\mathfrak{h}} \circ \Delta \simeq \mathrm{Id}$. Hence we have a map

$$H_{P,x} \times_{H_{M,x}, \Delta} \mathrm{Bun}_M \rightarrow \mathrm{Bun}_P \times_{\mathrm{Bun}_M} \mathrm{Bun}_P,$$

where the LHS is the moduli prestack of those Hecke modifications on P -torsors that fix the induced M -torsors. The above map is known to be UHC (it can be proved similarly as in [Gai17a, Subsection 3.5]), hence so is the map

$$\begin{aligned} \mathrm{str} H_x &:= (\overline{H}_{P,x} \times_{H_{M,x}, \Delta} \overline{\mathrm{Bun}}_M) \times_{\mathrm{Bun}_M, \overleftarrow{\mathfrak{h}}} H_{M,G\text{-pos}}^{\mathrm{df}\infty\text{-}x} \xrightarrow{\overleftarrow{\mathfrak{h}}, \mathrm{Bun}_M} \times \overline{\mathrm{Bun}}_{P^-} \rightarrow \\ &\rightarrow (\mathrm{Bun}_P \times_{\mathrm{Bun}_M} \mathrm{Bun}_P) \times_{\mathrm{Bun}_M, \overleftarrow{\mathfrak{h}}} H_{M,G\text{-pos}}^{\mathrm{df}\infty\text{-}x} \xrightarrow{\overleftarrow{\mathfrak{h}}, \mathrm{Bun}_M} \times \mathrm{Bun}_{P^-}. \end{aligned}$$

By construction, the maps ∂_0 and ∂_1 induce two maps

$$h_0, h_1 : \mathrm{str} H_x \rightarrow (\mathrm{strVinBun}_G|_{C_P})^{\mathrm{df}\infty\text{-}x}.$$

By the above discussion, we only need to show $h_0^!(\mathcal{G})$ and $h_1^!(\mathcal{G})$ are isomorphic. In other word, we have:

Lemma E.1.6. *In order to prove Lemma 4.2.1, it suffices to show $h_0^!(\mathcal{G})$ and $h_1^!(\mathcal{G})$ are isomorphic, where \mathcal{G} is the restriction of*

$$\mathbf{P}_{\text{glob}}^{+,!} \circ i^* \circ j_*(\omega)$$

on $(\text{strVinBun}_G|_{C_P})^{\text{df}\infty\text{-}x}$.

E.1.7. *How about VinBun_G^γ ?* Lemma E.1.6 suggests us to construct certain Hecke modifications on VinBun_G^γ that are compatible with the Hecke modifications on $(\text{strVinBun}_G^\gamma)^{\text{df}\infty\text{-}x}$ given by $\text{str}H_x$. However, there is no direct way to do this because VinBun_G^γ does not map to Bun_P . Instead, it maps to $\text{Bun}_G \times \text{Bun}_G$.

This suggests us to consider the Vinberg-veision of P -structures on G -torsors. However, we shall not use the naive candidate, i.e., the P -structures on the G -torsor given by the “left” forgetful map $\text{VinBun}_G^\gamma \rightarrow \text{Bun}_G$, because this notion is ill-behaved when moving along \mathbb{A}^1 . Instead, the correct notion of the P -structures should behave “diagonally” on $\text{VinBun}_G|_{C_G}$ and “leftly” on $\text{VinBun}_G|_{C_P}$. In other words, we should consider the map $\tilde{P}^\gamma \rightarrow \tilde{G}^\gamma$ between the Drinfeld-Gaitsgory interpolations, and use the notion of \tilde{P}^γ -structures. Fortunately, \tilde{P}^γ is constant along \mathbb{A}^1 because the \mathbb{G}_m -action on P is contractive. The rest of this section is to realize the above ideas.

Notation E.1.8. *Recall the notations \mathcal{D}'_x and \mathcal{D}^x_x (see Notation 0.6.5). Let $Y_1 \rightarrow Y_2$ be a map between algebraic stacks. We define*

$$\mathbf{Maps}(\mathcal{D}'_x \rightarrow X, Y_1 \rightarrow Y_2)$$

to be the prestack whose value for an affine test scheme S classifies commutative squares

$$\begin{array}{ccc} \mathcal{D}'_x \times S & \longrightarrow & X \times S \\ \downarrow \delta & & \downarrow \alpha \\ Y_1 & \longrightarrow & Y_2. \end{array}$$

Remark E.1.9. When Y_1 and Y_2 satisfy the condition (\spadesuit) in Remark C.5.6, for an affine test scheme S , the groupoid $\mathbf{Maps}(\mathcal{D}'_x \rightarrow X, Y_1 \rightarrow Y_2)(S)$ also classifies commutative diagrams

$$\begin{array}{ccc} \mathcal{D}^x_x \times S & \longrightarrow & (X - x) \times S \\ \downarrow & & \downarrow \alpha' \\ \mathcal{D}'_x \times S & & \\ \downarrow \delta & & \\ Y_1 & \longrightarrow & Y_2. \end{array}$$

In this appendix, we only use the notation $\mathbf{Maps}(\mathcal{D}'_x \rightarrow X, Y_1 \rightarrow Y_2)$ in the above case.

E.1.10. *P -structures.* Consider the closed embedding $P \hookrightarrow G$. It induces a map $P \times \mathbb{A}^1 \rightarrow \tilde{G}^\gamma$ between their Drinfeld-Gaitsgory interpolations. Hence we have a chain

$$\mathbb{A}^1 \times \text{pt}/P \rightarrow \mathbb{A}^1/\tilde{G}^\gamma \simeq G \setminus {}_0\text{Vin}_G^\gamma/G \rightarrow G \setminus \text{Vin}_G^\gamma/G.$$

It is easy to see the 0-fiber of the above composition factors as

$$\text{pt}/P \rightarrow \text{pt}/(P \times_M P^-) \simeq P \setminus M/P^- \rightarrow P \setminus \overline{M}/P \rightarrow G \setminus \text{Vin}_G|_{C_P}/G.$$

We define⁶³

$$\begin{aligned} (\text{VinBun}_G^\gamma)^{P_\infty\text{-}x} &:= \mathbf{Maps}(\mathcal{D}'_x \rightarrow X, \mathbb{A}^1 \times \text{pt}/P \rightarrow G \setminus \text{Vin}_G^\gamma/G), \\ (\text{strVinBun}_G|_{C_P})^{P_\infty\text{-}x} &:= \mathbf{Maps}(\mathcal{D}'_x \rightarrow X, \text{pt}/P \rightarrow P \setminus \overline{M}/P), \\ (\text{VinBun}_G^\gamma)^{\text{df}\infty\text{-}x} &:= \mathbf{Maps}(\mathcal{D}'_x \rightarrow X, G \setminus {}_0\text{Vin}_G^\gamma/G \rightarrow G \setminus \text{Vin}_G^\gamma/G), \\ (\text{strVinBun}_G|_{C_P})^{\text{df}\infty\text{-}x} &:= \mathbf{Maps}(\mathcal{D}'_x \rightarrow X, P \setminus M/P^- \rightarrow P \setminus \overline{M}/P), \end{aligned}$$

where the symbol “ P_∞ ” stands for “ P -structure near x ”, and “ $\text{df}\infty\text{-}x$ ” stands for “defect-free near x ”.

⁶³The definition of $(\text{strVinBun}_G|_{C_P})^{\text{df}\infty\text{-}x}$ below coincides with that in § E.1.5 because of Remark E.1.9.

By construction, there is a commutative diagram

$$\begin{array}{ccccc} (\mathrm{strVinBun}_G|_{C_P})^{P_{\infty,x}} & \longrightarrow & (\mathrm{strVinBun}_G|_{C_P})^{\mathrm{df}_{\infty,x}} & \xrightarrow{c} & \mathrm{strVinBun}_G|_{C_P} \\ \downarrow & & \downarrow & & \downarrow \mathbb{P}_{\mathrm{glob}}^+ \\ (\mathrm{VinBun}_G^\gamma)^{P_{\infty,x}} & \longrightarrow & (\mathrm{VinBun}_G^\gamma)^{\mathrm{df}_{\infty,x}} & \xrightarrow{c} & \mathrm{VinBun}_G^\gamma, \end{array}$$

where the symbol “c” indicates the corresponding map is an open embedding. We have:

Lemma E.1.11. *Locally on the smooth topology of $(\mathrm{strVinBun}_G|_{C_P})^{\mathrm{df}_{\infty,x}}$, the map*

$$(\mathrm{strVinBun}_G|_{C_P})^{P_{\infty,x}} \rightarrow (\mathrm{strVinBun}_G|_{C_P})^{\mathrm{df}_{\infty,x}}$$

is a trivial fibration with fibers isomorphic to $\mathcal{L}^+U_x^-$.

Proof. This follows from the following two facts:

- For any affine test scheme S and any $(P \times_M P^-)$ -torsor \mathcal{F} on $\mathcal{D}'_x \times S$, there exists an étale cover $S' \rightarrow S$ such that \mathcal{F} is trivial after base-change along $S' \rightarrow S$.
- As plain schemes, $(P \times_M P^-)/P \simeq U^-$.

□[Lemma E.1.11]

E.1.12. *Hecke modifications.* We need to study those Hecke modifications on P -structures of VinBun_G^γ that fix the induced M -structures. The precise definition is as follows.

We temporarily write $q : \mathbb{A}^1 \times \mathrm{pt}/P \rightarrow \mathbb{A}^1 \times \mathrm{pt}/M$ for the projection. We define $\mathcal{H}_x^{P_{\infty,x}}$ to be the prestack whose value on an affine test scheme S classifies commutative diagrams

$$\begin{array}{ccc} \mathcal{D}'_x \times S & \longrightarrow & (X-x) \times S \\ \swarrow & & \searrow \\ \mathcal{D}'_x \times S & & \mathcal{D}'_x \times S \\ \delta_0 \searrow & & \swarrow \delta_1 \\ \mathbb{A}^1 \times \mathrm{pt}/P & \longrightarrow & G \backslash \mathrm{Vin}_G^\gamma / G. \end{array} \quad \begin{array}{c} \downarrow \alpha' \\ \downarrow \end{array}$$

such that the isomorphism

$$q \circ \delta_0|_{\mathcal{D}'_x \times S} \simeq q \circ \delta_1|_{\mathcal{D}'_x \times S}$$

given by the above diagram can be extended⁶⁴ to an isomorphism $q \circ \delta_0 \simeq q \circ \delta_1$.

By construction, we have two maps

$$h_0, h_1 : \mathcal{H}_x^{P_{\infty,x}} \rightarrow (\mathrm{VinBun}_G^\gamma)^{P_{\infty,x}}$$

given respectively by (δ_0, α') and (δ_1, α') .

In the above definition, replacing the map $\mathbb{A}^1 \times \mathrm{pt}/P \rightarrow G \backslash \mathrm{Vin}_G^\gamma / G$ by $\mathrm{pt}/P \rightarrow P \backslash \overline{M} / P^-$ (and q by its 0-fiber), we define another prestack $\mathrm{str}\mathcal{H}_x^{P_{\infty,x}}$ equipped with two maps

$$h_0, h_1 : \mathrm{str}\mathcal{H}_x^{P_{\infty,x}} \rightarrow (\mathrm{strVinBun}_G|_{C_P})^{P_{\infty,x}}.$$

Lemma E.1.13. *We have a canonical commutative diagram defined over VinBun_G^γ :*

$$\begin{array}{ccccc} \mathcal{H}_x^{P_{\infty,x}} & \xrightarrow{h_0} & (\mathrm{VinBun}_G^\gamma)^{P_{\infty,x}} & \xleftarrow{h_1} & \mathcal{H}_x^{P_{\infty,x}} \\ p_{\mathcal{H}} \uparrow & & p \uparrow & & p_{\mathcal{H}} \uparrow \\ \mathrm{str}\mathcal{H}_x^{P_{\infty,x}} & \xrightarrow{h_0} & (\mathrm{strVinBun}_G|_{C_P})^{P_{\infty,x}} & \xleftarrow{h_1} & \mathrm{str}\mathcal{H}_x^{P_{\infty,x}} \\ f_{\mathcal{H}} \downarrow & & f \downarrow & & f_{\mathcal{H}} \downarrow \\ \mathrm{str}H_x & \xrightarrow{h_0} & (\mathrm{strVinBun}_G|_{C_P})^{\mathrm{df}_{\infty,x}} & \xleftarrow{h_1} & \mathrm{str}H_x, \end{array}$$

such that the two lower squares are Cartesian.

⁶⁴Note that such extension is unique if it exists. Also, we can replace $\mathbb{A}^1 \times \mathrm{pt}/M$ in the definition by pt/M because the given commutative diagram would determine a unique map $S \rightarrow \mathbb{A}^1$ such that the diagram is defined over \mathbb{A}^1 .

Proof. The two top squares are obvious from definition. To prove the claims for the lower two squares, notice that the composition

$$\text{pt}/P \rightarrow \text{pt}/(P \times_M P^-) \simeq P \backslash M / P^- \hookrightarrow P \backslash \overline{M} / P^- \rightarrow P \backslash \text{pt}$$

is isomorphic to the identity map. Therefore for a given $(P \times_M P^-)$ -torsor $\mathcal{F}_{P \times_M P^-}$ on the disk \mathcal{D}'_x and a given P -structure $\mathcal{F}_P^{\text{sub}}$ of it, we have an isomorphism

$$\mathcal{F}_P^{\text{sub}} \simeq P^{(P \times_M P^-)} \mathcal{F}_{P \times_M P^-} =: \mathcal{F}_P^{\text{ind}}.$$

Therefore a Hecke modification on $\mathcal{F}_P^{\text{sub}}$ is the same as a Hecke modification on the induced P -torsor $\mathcal{F}_P^{\text{ind}}$. This implies our claims by unwinding the definitions. \square [Lemma E.1.13]

Lemma E.1.14. *Consider the diagram*

$$\begin{array}{ccc} \mathcal{H}_x^{P_{\infty \cdot x}} & \xrightarrow{h_0} & (\text{VinBun}_G^\gamma)^{P_{\infty \cdot x}} \xleftarrow{h_1} \mathcal{H}_x^{P_{\infty \cdot x}} \\ & & \downarrow g \\ & & \text{VinBun}_G^\gamma, \end{array}$$

and its fiber at C_P . In order to prove Lemma 4.2.1, it suffices to show

$$((g \circ h_0)|_{C_P})^!(\mathcal{M}) \simeq ((g \circ h_1)|_{C_P})^!(\mathcal{M}),$$

where

$$\mathcal{M} := i^* \circ j_*(\omega).$$

Proof. Suppose we have an isomorphism as in the statement. Using Lemma E.1.13 and a diagram chasing, we obtain an isomorphism

$$(E.2) \quad f_{\mathcal{H}}^! \circ h_0^!(\mathcal{G}) \simeq f_{\mathcal{H}}^! \circ h_1^!(\mathcal{G}),$$

where \mathcal{G} is defined in Lemma E.1.6.

On the other hand, by Lemma E.1.11 and the Cartesian squares in Lemma E.1.13, locally on the smooth topology of the target, $f_{\mathcal{H}}$ is a trivial fibration with contractible fibers. This implies $f_{\mathcal{H}}^!$ is fully faithful. Combining with the equivalence (E.2), we obtain an isomorphism $h_0^!(\mathcal{G}) \simeq h_1^!(\mathcal{G})$. Then we are done by Lemma E.1.6. \square [Lemma E.1.14]

E.1.15. *Level structures.* To finish the proof, we need one last geometric construction. We define

$$(\text{VinBun}_G^\gamma)^{\text{level}_{\infty \cdot x}} := \mathbf{Maps}(\mathcal{D}'_x \rightarrow X, \mathbb{A}^1 \rightarrow G \backslash \text{Vin}_G^\gamma / G),$$

where $\mathbb{A}^1 \rightarrow G \backslash \text{Vin}_G^\gamma / G$ is induced by the canonical section $\mathfrak{s}^\gamma : \mathbb{A}^1 \rightarrow \text{Vin}_G^\gamma$. By definition, we have a chain

$$(\text{VinBun}_G^\gamma)^{\text{level}_{\infty \cdot x}} \rightarrow (\text{VinBun}_G^\gamma)^{P_{\infty \cdot x}} \rightarrow (\text{VinBun}_G^\gamma)^{\text{df}_{\infty \cdot x}}.$$

Consider the relative jets scheme $\mathcal{L}_{\mathbb{A}^1}^+ \widetilde{G}_x^\gamma$ whose value on an affine test scheme S classifies commutative diagrams

$$\begin{array}{ccc} \mathcal{D}'_x \times S & \longrightarrow & \widetilde{G}^\gamma \\ \downarrow & & \downarrow \\ S & \xrightarrow{\alpha} & \mathbb{A}^1. \end{array}$$

It is a group scheme over \mathbb{A}^1 . Since $\widetilde{G}^\gamma \rightarrow \mathbb{A}^1$ is smooth, a relative (to \mathbb{A}^1) version of [Ras16, Lemma 2.5.1] implies $\mathcal{L}_{\mathbb{A}^1}^+ \widetilde{G}_x^\gamma \rightarrow \mathbb{A}^1$ is pro-smooth. Since $G \backslash \text{Vin}_G^\gamma / G \simeq \mathbb{A}^1 / \widetilde{G}^\gamma$, there is an $\mathcal{L}_{\mathbb{A}^1}^+ \widetilde{G}_x^\gamma$ -action on $(\text{VinBun}_G^\gamma)^{\text{level}_{\infty \cdot x}}$, which preserves the projection to $(\text{VinBun}_G^\gamma)^{\text{df}_{\infty \cdot x}}$. We have:

Lemma E.1.16. *$(\text{VinBun}_G^\gamma)^{\text{level}_{\infty \cdot x}}$ is an $\mathcal{L}_{\mathbb{A}^1}^+ \widetilde{G}_x^\gamma$ -torsor on $(\text{VinBun}_G^\gamma)^{\text{df}_{\infty \cdot x}}$, and it is a trivial torsor locally on the smooth topology.*

Proof. It suffices to show that for any affine test scheme S over \mathbb{A}^1 and any (fppf) \tilde{G}^γ -torsor \mathcal{E} on $\mathcal{D}'_x \times S$, there exists an étale cover $S' \rightarrow S$ such that $\mathcal{E} \times_S S'$ is a trivial \tilde{G}^γ -torsor on $\mathcal{D}'_x \times S'$.

Consider the restriction of $\mathcal{E}|_x$ on $x \times S \rightarrow \mathcal{D}'_x \times S$. Since $\tilde{G}^\gamma \rightarrow \mathbb{A}^1$ is smooth, there exists an étale cover $S' \rightarrow S$ such that $(\mathcal{E} \times_S S')|_x$ is a trivial \tilde{G}^γ -torsor on $x \times S'$. Since $\mathcal{E} \times_S S' \rightarrow S'$ is smooth, by the lifting property of smooth maps, $(\mathcal{E} \times_S S')|_{\mathcal{D}'_x}$ is a trivial \tilde{G}^γ -torsor on $\mathcal{D}'_x \times S'$, where \mathcal{D}'_x is the formal disk.

It remain to show that a \tilde{G}^γ -torsor on $\mathcal{D}'_x \times S$ is trivial iff its restriction on $\mathcal{D}'_x \times S$ is trivial. The proof is similar to that of [Ras16, Lemma 2.12.1]⁶⁵ and the only necessary modification is to show $\tilde{G}^\gamma \rightarrow \mathbb{A}^1$ has enough vector bundle representations on \mathbb{A}^1 . But this is obvious because any sub-representation of $\mathcal{O}_{\tilde{G}^\gamma}$ is a flat $\mathcal{O}_{\mathbb{A}^1}$ -module.

□[Lemma E.1.16]

Lemma E.1.17. $(\text{VinBun}_G^\gamma)^{\text{level}_{\infty,x}}$ is an \mathcal{L}^+P_x -torsor on $(\text{VinBun}_G^\gamma)^{P_{\infty,x}}$, and it is a trivial torsor locally on the smooth topology.

Proof. The proof is similar to that of Lemma E.1.16. Actually, it is much easies because \mathcal{L}^+U_x is a absolute group.

□[Lemma E.1.17]

Lemma E.1.18. Locally on the smooth topology of $(\text{VinBun}_G^\gamma)^{P_{\infty,x}}$, both the projections

$$h_0, h_1 : \mathcal{H}_x^{P_{\infty,x}} \rightarrow (\text{VinBun}_G^\gamma)^{P_{\infty,x}}$$

are isomorphic to trivial fibrations with fibers isomorphic to $\text{Gr}_{U,x}$.

Proof. For an affine test scheme S over $(\text{VinBun}_G^\gamma)^{P_{\infty,x}}$, let \mathcal{F}_P be the corresponding P -torsor on $\mathcal{D}'_x \times S$. Replace S by an étale cover, we can assume \mathcal{F}_P is trivial. Then the fiber product

$$\mathcal{H}_x^{P_{\infty,x}} \times_{h_0, (\text{VinBun}_G^\gamma)^{P_{\infty,x}}} S$$

classifies P -torsors \mathcal{F}'_P on $\mathcal{D}'_x \times S$ equipped with an isomorphism $\mathcal{F}'_P|_{\mathcal{D}'_x \times S} \simeq \mathcal{F}_P|_{\mathcal{D}'_x \times S}$ such that the induced isomorphism on induced M -torsors can be extended to $\mathcal{D}'_x \times S$. Since \mathcal{F}_P is trivial, this fiber product is isomorphic to $\text{Gr}_{U,x} \times S$.

□[Lemma E.1.18]

E.1.19. *Finish of the proof.* By Lemma E.1.14, it suffices to show for any $k = 0$ or 1 , the operation $i^* \circ j_*$ commutes with $!$ -pullback functor along the composition

$$\mathcal{H}_x^{P_{\infty,x}} \xrightarrow{h_k} (\text{VinBun}_G^\gamma)^{P_{\infty,x}} \xrightarrow{g} \text{VinBun}_G^\gamma.$$

The claim for the map h_k follows from Lemma E.1.18. To prove the claim for the map g , by Lemma E.1.17, it suffices to prove the claim for the map

$$(\text{VinBun}_G^\gamma)^{\text{level}_{\infty,x}} \rightarrow \text{VinBun}_G^\gamma.$$

Then we are done by Lemma E.1.16.

□[Lemma 4.2.1]

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⁶⁵The difference is: our group scheme is relative to \mathbb{A}^1 , while that in [Ras16] is relative to X .

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HARVARD MATHEMATICS DEPARTMENT, 1 OXFORD STREET, CAMBRIDGE 02138, MA, USA
Email address: linchen@math.harvard.edu