

# NOTES FOR GEOMETRIC REPRESENTATION THEORY 1

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The goal of this course is to study certain representations of complex semisimple Lie algebras, such as  $\mathfrak{sl}_2(\mathbb{C})$ , via geometric methods.

## 1. INTRODUCTION

**1.1. Recollection: semisimple Lie algebras and root systems.** Let  $\mathfrak{g}$  be a complex semisimple Lie algebra. We exclude the case  $\mathfrak{g} = 0$  to avoid some awkward words.

We fix a Borel subalgebra  $\mathfrak{b} \subseteq \mathfrak{g}$  and a Cartan subalgebra  $\mathfrak{h}$  contained in  $\mathfrak{b}$ . Let  $\mathfrak{b}^-$  be the opposite Borel subalgebra such that  $\mathfrak{b}^- \cap \mathfrak{b} = \mathfrak{h}$ . Write  $\mathfrak{n} := [\mathfrak{b}, \mathfrak{b}]$  and  $\mathfrak{n}^- := [\mathfrak{b}^-, \mathfrak{b}^-]$ .

Recall the following standard terminologies and facts (see [H1]).

- Elements in  $\mathfrak{h}^* := \text{Hom}_{\mathbb{C}}(\mathfrak{h}, \mathbb{C})$  are called *weights*.
- Nonzero weights that appear in  $\mathfrak{g}$  are called *roots*. We have a *root decomposition*

$$\mathfrak{g} \simeq \mathfrak{h} \oplus \left( \bigoplus_{\alpha \in \Phi} \mathfrak{g}_{\alpha} \right),$$

where  $\Phi$  is the set of roots. Each root subspace  $\mathfrak{g}_{\alpha}$  is 1-dimensional.

- Suppose that  $\alpha, \beta$  and  $\alpha + \beta$  are roots, then  $[\mathfrak{g}_{\alpha}, \mathfrak{g}_{\beta}] = \mathfrak{g}_{\alpha+\beta}$ .
- Roots that appear in  $\mathfrak{b}$  are called *positive roots*. We have  $\Phi = \Phi^+ \sqcup -\Phi^+$ .
- A positive root is *simple* if it is not the sum of other positive roots. Let  $\Delta \subseteq \Phi$  be the subset of positive roots.
- Let  $\mathfrak{h}_{\mathbb{R}}^* := \mathbb{R}\Phi \subseteq \mathfrak{h}^*$  be the  $\mathbb{R}$ -span of  $\Phi$ . This gives a real structure on  $\mathfrak{h}^*$ . In other words, we have  $\mathfrak{h}_{\mathbb{R}}^* \otimes_{\mathbb{R}} \mathbb{C} \xrightarrow{\sim} \mathfrak{h}^*$ .
- The *Killing form* of  $\mathfrak{g}$  restricts to a non-degenerate symmetric bilinear form on  $\mathfrak{h}$ . Let  $(-, -) : \mathfrak{h}^* \times \mathfrak{h}^* \rightarrow \mathbb{C}$  be the dual form. Its restriction on  $\mathfrak{h}_{\mathbb{R}}^*$  is an inner form.
- The pair  $(\mathfrak{h}_{\mathbb{R}}^*, \Phi)$  is a *root system*. Let  $W$  be the *Weyl group* of this root system, which is the reflection group acting on  $\mathfrak{h}_{\mathbb{R}}^*$  with generators

$$s_{\alpha}(\lambda) := \lambda - 2 \frac{(\alpha, \lambda)}{(\alpha, \alpha)} \alpha, \quad \alpha \in \Phi$$

- Elements  $s_{\alpha} \in W$  ( $\alpha \in \Delta$ ) are called *simple reflections*. Recall that  $W$  is generated by simple reflections. For  $w \in W$ , the *length*  $l(w)$  of  $w$  is the minimal length of writing  $w$  as a product of simple reflections. There is a unique longest element in  $W$ , which we denote by  $w_0$ .
- For each root  $\alpha \in \Phi$ , we have the corresponding *coroot*  $\check{\alpha} \in \mathfrak{h}$  characterized by the formula

$$\check{\alpha}(\lambda) = 2 \frac{(\alpha, \lambda)}{(\alpha, \alpha)}, \quad \forall \lambda \in \mathfrak{h}^*.$$

Moreover,  $[\mathfrak{g}_{\alpha}, \mathfrak{g}_{-\alpha}] = \mathbb{C}\check{\alpha}$ .

- A weight  $\lambda \in \mathfrak{h}^*$  is *integral* if  $\check{\alpha}(\lambda) \in \mathbb{Z}$  for any root  $\alpha \in \Phi$ . Integral weights form a  $\mathbb{Z}$ -lattice  $\Lambda \subseteq \mathfrak{h}^*$ . In other words, we have  $\Lambda \otimes_{\mathbb{Z}} \mathbb{C} \xrightarrow{\sim} \mathfrak{h}^*$ .
- An *integral* weight  $\lambda \in \mathfrak{h}^*$  is *dominant* if  $\check{\alpha}(\lambda) \geq 0$  for any positive root  $\alpha \in \Phi^+$ . Dominant integral weights form a semigroup  $\Lambda^+ \subseteq \Lambda$ .
- For weights  $\lambda, \lambda' \in \Lambda$ , we say  $\lambda \leq \lambda'$  if  $\lambda' - \lambda$  is *positive*. In other words,  $\lambda' - \lambda$  is a finite sum of positive roots.

- Let

$$\rho := \left( \sum_{\alpha \in \Phi^+} \alpha \right) / 2$$

be the half sum of all positive roots. Recall that  $\check{\alpha}(\rho) = 1$  for any simple positive root  $\alpha \in \Delta$ .

**1.2. From finite dimensional representations to Verma modules.** Recall the following well-known result.

**Theorem 1.2.1.** *The category  $\mathfrak{g}\text{-mod}_{\text{fd}}$  of finite dimensional  $\mathfrak{g}$ -modules is semisimple. Moreover, there is a canonical bijection*

$$(1.1) \quad \Lambda^+ \xrightarrow{\cong} \text{Irr}(\mathfrak{g}\text{-mod}_{\text{fd}}), \lambda \mapsto L_\lambda$$

such that  $L_\lambda$  has highest weight  $\lambda$ .

The  $\mathfrak{g}$ -module  $L_\lambda$  is called the *Weyl module with highest weight  $\lambda$* .

As an  $\mathfrak{h}$ -modules, we have

$$\bigoplus_{\mu \leq \lambda, \mu \in \Lambda} \mathbb{C}_\mu \otimes \text{Hom}_{\mathfrak{h}}(\mathbb{C}_\mu, L_\lambda) \xrightarrow{\cong} L_\lambda,$$

where each direct summand in the LHS is a *weight subspace* of  $L_\lambda$ . The following question is natural.

**Question 1.2.2.** How to calculate the dimension of the  $\mu$ -weight subspace of  $L_\lambda$ , i.e.,  $\dim(\text{Hom}_{\mathfrak{h}}(\mathbb{C}_\mu, L_\lambda))$ ?

**Example 1.2.3.** For  $\mathfrak{g} = \mathfrak{sl}_2$ , let  $\mathfrak{b}$  and  $\mathfrak{h}$  be its standard Borel and Cartan subalgebra. We have short exact sequences

$$0 \rightarrow \mathfrak{h} \rightarrow \mathbb{C}^2 \xrightarrow{\Sigma} \mathbb{C} \rightarrow 0$$

and

$$0 \rightarrow \mathbb{C} \xrightarrow{\Delta} \mathbb{C}^2 \rightarrow \mathfrak{h}^* \rightarrow 0.$$

We identify  $\mathfrak{h}^*$  with  $\mathbb{C}$  such that  $(\lambda_1, \lambda_2) \in \mathbb{C}^2$  is sent to  $l := \lambda_2 - \lambda_1$  by the map  $\mathbb{C}^2 \rightarrow \mathfrak{h}^* \simeq \mathbb{C}$ . Note that:

- A weight  $\lambda \in \mathfrak{h}^*$  is integral iff the corresponding number  $l$  is integral;
- A weight  $\lambda \in \mathfrak{h}^*$  is dominant iff the corresponding number  $l$  is non-negative;
- For weights  $\lambda, \lambda' \in \mathfrak{h}^*$  and the corresponding numbers  $l, l'$ , we have  $\lambda \leq \lambda'$  iff  $l' - l \in 2\mathbb{Z}^+$ .

The vector space  $L_\lambda$  can be identified with the space of homogeneous polynomials  $f \in \mathbb{C}[x_1, x_2]$  with degree  $l$ , and the  $\mathfrak{sl}_2$ -action on this vector space is given by changing coordinates. It follows that weights of  $L_\lambda$  correspond to numbers  $l - 2d$ ,  $d = 0, \dots, l$ , and each weight subspace is 1-dimensional. Namely,  $x_1^{l-d} x_2^d$  is a generator to the weight subspace corresponding to  $l - 2d$ .

To describe the solution for general  $\mathfrak{g}$ , we introduce the *character* of  $V \in \mathfrak{g}\text{-mod}_{\text{fd}}$  by the formula

$$\text{ch}(V) := \sum_{\mu \in \mathfrak{h}^*} \dim(\text{Hom}_{\mathfrak{h}}(\mathbb{C}_\mu, V)) T^\mu,$$

which is an element in the polynomial algebra. Note that we have

$$\text{ch}(V \oplus V') = \text{ch}(V) + \text{ch}(V'), \quad \text{ch}(V \otimes V') = \text{ch}(V) \cdot \text{ch}(V').$$

**Theorem 1.2.4** (Weyl character formula). *We have*

$$\mathrm{ch}(L_\lambda) \cdot \sum_{w \in W} (-1)^{l(w)} T^{w(\rho)} = \sum_{w \in W} (-1)^{l(w)} T^{w(\lambda+\rho)}.$$

In other words, we can calculate  $\mathrm{ch}(L_\lambda)$  by expanding

$$(1.2) \quad \sum_{w \in W} (-1)^{l(w)} \frac{T^{w(\lambda+\rho)}}{\sum_{w' \in W} (-1)^{l(w')} T^{w'(\rho)}},$$

as a *formal infinite* sum of  $T^\mu$  ( $\mu \in \Lambda$ ), which turns out to be a finite sum.

**Remark 1.2.5.** Note that the denominator does not depend on  $\lambda$ .

The following example shows that each term in the alternating sum (1.2) is a genuine infinite sum.

**Example 1.2.6.** For  $\mathfrak{g} = \mathfrak{sl}_2$ , we have

$$\mathrm{ch}(L_\lambda) = \frac{T^{l+1} - T^{-l-1}}{T - T^{-1}} = T^l + T^{l-2} + \dots T^{-l}.$$

However, both

$$\frac{T^{l+1}}{T - T^{-1}} = T^l + T^{l-2} + \dots$$

and

$$\frac{T^{-l-1}}{T - T^{-1}} = T^{-l-2} + T^{-l-4} + \dots$$

are infinite sums.

This raises the following natural question.

**Question 1.2.7.** Is there a representation theoretic interpretation for the term

$$\frac{T^{w(\lambda+\rho)}}{\sum_{w \in W} (-1)^{l(w)} T^{w(\rho)}}?$$

For example, for  $\mathfrak{g} = \mathfrak{sl}_2$ , we can ask the following question.

**Question 1.2.8.** Can we find (infinite dimensional)  $\mathfrak{sl}_2$ -modules  $N \subseteq M$  with

$$\mathrm{ch}(M) = \frac{T^{l+1}}{T - T^{-1}}, \quad \mathrm{ch}(N) = \frac{T^{-l-1}}{T - T^{-1}}$$

such that  $L_\lambda \simeq M/N$ ?

It turns out there is a positive answer to Question 1.2.7.

**Theorem 1.2.9** (Bernstein–Gelfand–Gelfand resolution). *There is a canonical resolution*

$$0 \rightarrow M_{w_0 \cdot \lambda} \cdots \rightarrow \bigoplus_{\ell(w)=2} M_{w \cdot \lambda} \rightarrow \bigoplus_{\ell(w)=1} M_{w \cdot \lambda} \rightarrow M_\lambda \rightarrow L_\lambda \rightarrow 0.$$

In above,  $M_{w \cdot \lambda}$  is the *Verma module* with highest weight

$$w \cdot \lambda := w(\lambda + \rho) - \rho,$$

which is an *infinite-dimensional*  $\mathfrak{g}$ -module.

The upshot is: we need to study infinite-dimensional representations to understand the finite-dimensional ones better.

**Warning 1.2.10** (or features). The category  $\mathfrak{g}\text{-mod}$  of (all)  $\mathfrak{g}$ -modules is not semisimple. A  $\mathfrak{g}$ -module may fail to be integrable.

**Example 1.2.11.** As we will soon see, for  $\mathfrak{g} = \mathfrak{sl}_2$ , the short exact sequence

$$0 \rightarrow M_{w_0 \cdot \lambda} \rightarrow M_\lambda \rightarrow L_\lambda \rightarrow 0$$

does not split. Also,  $M_\lambda$  is not an (algebraic) representation for  $\mathbf{SL}_2$ .

**1.3. Recollection: enveloping algebra.** Recall that for any Lie algebra  $\mathfrak{g}$ , we have a canonical equivalence

$$(1.3) \quad \mathfrak{g}\text{-mod} \simeq U(\mathfrak{g})\text{-mod},$$

where  $U(\mathfrak{g})$  is the *universal enveloping algebra* of  $\mathfrak{g}$ , which is an associative (but not commutative)  $\mathbb{C}$ -algebra. Namely, let

$$T(\mathfrak{g}) := \bigoplus_{i=0}^{\infty} \mathfrak{g}^{\otimes i}$$

be the tensor algebra for the underlying vector space  $\mathfrak{g}$ . Consider the two-sided ideal  $I \subseteq T(\mathfrak{g})$  generated by elements

$$X \cdot Y - Y \cdot X - [X, Y] \in T(\mathfrak{g}).$$

One can check that

$$U(\mathfrak{g}) := T(\mathfrak{g})/I$$

fits into the desired equivalence (1.3).

For future reference, let us recall that the (non-negative) grading on  $T(\mathfrak{g})$  induces the *PBW filtration* on  $U(\mathfrak{g})$ :

$$F^{\leq n} U(\mathfrak{g}) := \text{Im} \left( \bigoplus_{i=0}^n \mathfrak{g}^{\otimes i} \rightarrow U(\mathfrak{g}) \right).$$

One can check that this makes  $U(\mathfrak{g})$  an *almost commutative filtered associative algebra*, i.e.,

$$F^{\leq m} U(\mathfrak{g}) \cdot F^{\leq n} U(\mathfrak{g}) \subseteq F^{\leq m+n} U(\mathfrak{g})$$

and

$$[F^{\leq m} U(\mathfrak{g}), F^{\leq n} U(\mathfrak{g})] \subseteq F^{\leq m+n-1} U(\mathfrak{g}).$$

In particular,

$$\text{gr}^\bullet U(\mathfrak{g}) := \bigoplus_{n=0}^{\infty} \text{gr}^n U(\mathfrak{g}) := \bigoplus_{n=0}^{\infty} F^{\leq n} U(\mathfrak{g}) / F^{\leq n-1} U(\mathfrak{g})$$

is a graded commutative algebra.

**Theorem 1.3.1** (Poincaré–Birkhoff–Witt). *The map*

$$\mathfrak{g} \rightarrow F^{\leq 1} U(\mathfrak{g}) \rightarrow \text{gr}^1 U(\mathfrak{g}) \rightarrow \text{gr}^\bullet U(\mathfrak{g})$$

*induces an isomorphism*

$$\text{Sym}^\bullet(\mathfrak{g}) \xrightarrow{\simeq} \text{gr}^\bullet U(\mathfrak{g}).$$

The construction  $\mathfrak{g} \mapsto U(\mathfrak{g})$  is functorial. In other words, for any Lie algebra homomorphism  $\mathfrak{g} \rightarrow \mathfrak{g}'$ , we have a ring homomorphism  $U(\mathfrak{g}) \rightarrow U(\mathfrak{g}')$  such that the following diagram commutes

$$\begin{array}{ccc} \mathfrak{g}'\text{-mod} & \xrightarrow{\text{res}} & \mathfrak{g}\text{-mod} \\ \downarrow \simeq & & \downarrow \simeq \\ U(\mathfrak{g}')\text{-mod} & \xrightarrow{\text{res}} & U(\mathfrak{g})\text{-mod}. \end{array}$$

It follows that the top horizontal functor admits a left adjoint

$$\text{ind}_{\mathfrak{g}}^{\mathfrak{g}'} : \mathfrak{g}\text{-mod} \rightarrow \mathfrak{g}'\text{-mod}, \quad M \mapsto U(\mathfrak{g}') \otimes_{U(\mathfrak{g})} M$$

**Example 1.3.2.** When  $\mathfrak{g}' = 0$ , we obtain a functor

$$\mathfrak{g}\text{-mod} \rightarrow \text{Vect}, \quad M \mapsto \mathbb{C} \otimes_{U(\mathfrak{g})} M =: M_{\mathfrak{g}}.$$

The vector space  $M_{\mathfrak{g}}$  is called the *coinvariance* of  $M$ .

#### 1.4. Verma modules.

**Construction 1.4.1.** Consider the following diagram of Lie algebras

$$\begin{array}{ccc} & \mathfrak{b} & \\ & \swarrow & \searrow \\ \mathfrak{g} & & \mathfrak{b}/[\mathfrak{b}, \mathfrak{b}] \xleftarrow{\simeq} \mathfrak{h}. \end{array}$$

For any  $\lambda \in \mathfrak{h}^*$ , by restriction, we view  $\mathbb{C}_{\lambda}$  as a 1-dimensional  $\mathfrak{b}$ -module. By induction, we obtain a  $\mathfrak{g}$ -module

$$M_{\lambda} := \text{ind}_{\mathfrak{b}}^{\mathfrak{g}}(\mathbb{C}_{\lambda}) = U(\mathfrak{g}) \otimes_{U(\mathfrak{b})} \mathbb{C}_{\lambda}.$$

**Exercise 1.4.2.** (Problem 1, Homework 1) Show that

$$U(\mathfrak{g}) \simeq U(\mathfrak{n}^-) \otimes U(\mathfrak{b})$$

as  $(U(\mathfrak{n}^-), U(\mathfrak{b}))$ -bimodules. Deduce that

$$M_{\lambda} \simeq U(\mathfrak{n}^-)$$

as  $\mathfrak{n}^-$ -module.

**Exercise 1.4.3.** (Problem 2, Homework 1) Show that  $M_{\lambda}$  is a semisimple  $\mathfrak{h}$ -module and each weight subspace is finite-dimensional.

In particular, we can talk about *weights* and *weight subspaces* of  $M_{\lambda}$ .

**Exercise 1.4.4.** (Problem 3, Homework 1) Show that  $\lambda$  is the unique highest weight of  $M_{\lambda}$ , and the image of the composition

$$\mathbb{C} \xrightarrow{\simeq} U(\mathfrak{n}^-) \xrightarrow{\simeq} M_{\lambda}$$

is the  $\lambda$ -weight subspace.

**Definition 1.4.5.** We call  $M_{\lambda}$  the *Verma module* with highest weight  $\lambda$ .

The goal of this course is to study Verma modules and the subcategory  $\mathcal{O} \subseteq \mathfrak{g}\text{-mod}$  generated by them.

**Exercise 1.4.6.** (Problem 4, Homework 1) Deduce Theorem 1.2.4 from Theorem 1.2.9. You can achieve this *without* using the Weyl denominator formula. Hint: find  $\text{ch}(U(\mathfrak{n}^-))$  by studying the case  $\lambda = 0$ .

**1.5. Geometric incarnations.** Let  $G$  be an algebraic group with Lie algebra  $\mathfrak{g}$ . Let  $H \subseteq B \subseteq G$  be the Cartan and Borel subgroups corresponding to  $\mathfrak{h} \subseteq \mathfrak{b}$ . The quotient  $G/B$  is a complex variety, called the *flag variety*.

In future lectures, for each character  $\lambda$  of  $H$  (which in particular is an integral weight of  $\mathfrak{h}$ ), we will construct a canonical  $G$ -equivariant line bundle  $\mathcal{O}(\lambda)$  on  $G/B$ . Roughly speaking, we consider the trivial line bundle  $\mathcal{O}_G$  on  $G$ , equipped with the trivial  $(G, B)$ -equivariant structure, but twist the right  $B$ -equivariant structure by the character  $\lambda$ . By descent theory, this indeed gives a  $G$ -equivariant line bundle on  $G/B$ .

**Example 1.5.1.** For  $G = \text{SL}_2$ , the standard action of  $G$  on  $\mathbb{A}^2 \setminus 0$  induces a transitive action of  $G$  on  $\mathbb{P}^1$ . The stabilizer of  $\infty \in \mathbb{P}^1$  for this action is the standard Borel subgroup  $B \subseteq G$ . It follows that  $G/B \simeq \mathbb{P}^1$ .

Via this isomorphism, for a character  $\lambda$  with

$$\lambda(\text{diag}(t, t^{-1})) = t^n,$$

the line bundle  $\mathcal{O}(\lambda)$  on  $G/B$  corresponds to the line bundle  $\mathcal{O}(n)$  on  $\mathbb{P}^1$ .

**Theorem 1.5.2** (Borel–Weil–Bott). *For dominant  $\lambda$ , we have a canonical isomorphism of  $G$ -modules*

$$(1.4) \quad \Gamma(G/B, \mathcal{O}(\lambda)) \simeq L_\lambda.$$

This motivates the following question.

**Question 1.5.3.** Is it possible to realize the Verma module  $M_\lambda$  as the global section of some objects over  $G/B$ ?

We should not restrict ourselves to vector bundles on  $G/B$  because there are very few of them. Experiences in algebraic geometry suggest we should try (quasi-coherent) sheaves. However, we should first answer the following preliminary question.

**Question 1.5.4.** For a quasi-coherent  $\mathcal{O}$ -module  $\mathcal{F}$  over  $G/B$ , is there some additional structure on  $\mathcal{F}$  that makes  $\Gamma(G/B, \mathcal{F})$  a  $\mathfrak{g}$ -module?

Note that in (1.4), the  $G$ -action on the LHS comes from the  $G$ -equivariant structure on  $\mathcal{O}(\lambda)$ . However, the Verma module  $M_\lambda$  is *never* a  $G$ -module.

**Exercise 1.5.5.** (Problem 5, Homework 1) Show that  $M_\lambda$  is not an algebraic representation of  $G$ .

Nevertheless, there is a beautiful answer Question 1.5.4, motivated by the following observation.

**Theorem 1.5.6.** *Let  $T_{G/B}$  be the tangent bundle on  $G/B$ . We have a canonical isomorphism of Lie algebras:*

$$\Gamma(G/B, T_{G/B}) \simeq \mathfrak{g}.$$

*In other words, the Lie algebra of global vector fields on  $G/B$  is isomorphic to  $\mathfrak{g}$ .*

We will prove Theorem 1.5.6 in future lectures. For now, please convince yourself using the  $\mathrm{SL}_2$ -case.

**Exercise 1.5.7.** (Problem 6, Homework 1) Find an isomorphism of Lie algebras

$$\Gamma(\mathbb{P}^1, T_{\mathbb{P}^1}) \simeq \mathfrak{sl}_2.$$

Theorem 1.5.6 suggests that we should consider sheaves  $\mathcal{F}$  over  $G/B$  equipped with an action of the tangent sheaf  $T_{G/B}$ . One can call them *sheaves with connections*, but the standard terminology is *D-modules*. Here the letter “D” stands for “differential”.

In future lectures, for each Verma module  $M_\lambda$ , we will attach a (twisted) D-module  $\mathcal{F}_\lambda$  on  $G/B$  such that

$$\Gamma(G/B, \mathcal{F}_\lambda) \simeq M_\lambda$$

as a  $\mathfrak{g}$ -modules. Moreover, the BGG resolution (Theorem 1.2.9) will be proved by finding a resolution of  $\mathcal{O}(\lambda)$  by the (twisted) D-modules  $\mathcal{F}_{w\cdot\lambda}$ , which itself is a sheaf theoretic incarnation of the Bruhat decomposition

$$G/B = \bigcup_{w \in W} BwB/B.$$

As a consequence, we obtain a *geometric* interpretation of the Weyl character formula.

The ultimate goal of this course is to relate  $\mathfrak{g}$ -modules with D-modules on  $G/B$ . This is known as the *localization theory* for semisimple Lie algebras.

2. CATEGORY  $\mathcal{O}$ 2.1. Definition of category  $\mathcal{O}$ .

**Definition 2.1.1.** We define BGG's category  $\mathcal{O}$  to be the full subcategory of  $\mathfrak{g}$ -mod that contains  $\mathfrak{g}$ -modules  $M$  satisfying the following conditions:

- (i) As a  $\mathfrak{g}$ -module,  $M$  is finitely generated.
- (ii) As an  $\mathfrak{h}$ -module,  $M$  is semisimple. In other words,  $M$  is a *weight module*.
- (iii) As an  $\mathfrak{n}$ -module,  $M$  is a union of finite-dimensional submodules.

**Lemma 2.1.2.** *The Verma module  $M_\lambda$  is contained in  $\mathcal{O}$ .*

*Proof.* The Verma module  $M_\lambda$  satisfies condition (i) and (ii) respectively by Exercise 1.4.2 and Exercise 1.4.3.

It remains to prove that  $M_\lambda$  satisfies condition (iii). Let  $v_\lambda$  be a nonzero highest weight vector of  $M_\lambda$ . By Exercise 1.4.4,

$$M_\lambda = \bigcup_i F^i U(\mathfrak{g}) \cdot v_\lambda.$$

Each  $F^i U(\mathfrak{g}) \cdot v_\lambda$  is finite dimensional. Hence we only need to show these subspaces are  $\mathfrak{n}$ -stable. For  $u \in F^i U(\mathfrak{g})$  and  $x \in \mathfrak{n}$  we have

$$x \cdot (u \cdot v_\lambda) = u \cdot (x \cdot v_\lambda) + [x, u] \cdot v_\lambda.$$

Note that  $\mathfrak{h}$  acts on  $x \cdot v_\lambda$  with weight strictly greater than  $\lambda$ . Hence  $x \cdot v_\lambda = 0$ . This implies the claim because

$$(2.1) \quad [x, u] \in [\mathfrak{g}, F^i U(\mathfrak{g})] \subset F^i U(\mathfrak{g})$$

□

In fact, we have the following stronger result.

**Lemma 2.1.3.** *The  $\mathfrak{n}$ -action on  $M_\lambda$  is locally nilpotent.*

*Proof.* We only need to show  $\mathfrak{n}$  acts nilpotently on each weight vector  $v$  of  $M_\lambda$ . This follows from the fact that for  $x \in \mathfrak{n}$ , the element  $x \cdot v$  is a weight vector with weight strictly greater than the weight of  $v$ .

□

The following result is obvious.

**Lemma 2.1.4.** *The subcategory  $\mathcal{O} \subseteq \mathfrak{g}$ -mod is stable under taking finite direct sums and subquotients.*

**Corollary 2.1.5.** *The category  $\mathcal{O}$  is an abelian category.*

**Warning 2.1.6.** The subcategory  $\mathcal{O} \subseteq \mathfrak{g}$ -mod is *not* stable under taking extensions.

**Exercise 2.1.7.** (Problem 7, Homework 1) For  $\mathfrak{g} = \mathfrak{sl}_2$ , find an extension of two Verma modules inside  $\mathfrak{g}$ -mod that is not a weight module. Hint:  $\text{ind}_{\mathfrak{b}}^{\mathfrak{g}}$ .

**Proposition 2.1.8.** *Each object in  $\mathcal{O}$  is a quotient of a finite successive extension of some Verma modules.*

*Proof.* Let  $M \in \mathcal{O}$  be an object. By condition (i),  $M$  is generated as a  $\mathfrak{g}$ -module by a finite-dimensional subspace  $M_0 \subseteq M$ . We may enlarge  $M_0$  such that it is a finite dimensional  $\mathfrak{h}$ -module. In particular, there are finitely many weights in  $M_0$ .

Note that each weight in  $U(\mathfrak{h}) \cdot M_0 = U(\mathfrak{n}) \cdot M_0$  is greater or equal to a weight in  $M_0$ . Since  $M$  is a highest weight module, there are finitely many weights satisfying the above property. Since each weight subspace of  $M$  is finite-dimensional, we see that  $U(\mathfrak{h}) \cdot M_0$  is finite-dimensional. Therefore we can replace  $M_0$  by  $U(\mathfrak{h}) \cdot M_0$  and assume  $M_0$  is a  $\mathfrak{b}$ -module.

Now consider the natural  $\mathfrak{g}$ -linear map

$$(2.2) \quad \text{ind}_{\mathfrak{b}}^{\mathfrak{g}}(M_0) \rightarrow M$$

induced by the  $\mathfrak{b}$ -linear map  $M_0 \rightarrow M$ . Since  $M$  is generated by  $M_0$ , the map (2.2) is surjective. Hence we only need to show  $\text{ind}_{\mathfrak{b}}^{\mathfrak{g}}(M_0)$  is a successive extension of the Verma modules. For this purpose, we only need to show  $M_0$  is a successive extension of 1-dimensional representations. This follows from the following exercise.

**Exercise 2.1.9.** Let  $M_0$  be a finite-dimensional  $\mathfrak{b}$ -module such that the  $\mathfrak{h}$ -action is semisimple. Show that  $M_0$  is a successive extension of 1-dimensional representations. □

**Corollary 2.1.10.** Let  $M$  be an object in  $\mathcal{O}$ .

- (1) As an  $\mathfrak{n}^-$ -module,  $M$  is finitely generated.
- (2) The  $\mathfrak{n}$ -action on  $M$  is locally nilpotent.
- (3) Each weight subspace of  $M$  is finite-dimensional.

**2.2. Irreducible objects.** We can classify the irreducible objects in  $\mathcal{O}$ .

**Proposition-Definition 2.2.1.** The Verma module  $M_{\lambda}$  admits a unique irreducible quotient module, which we denote by  $L_{\lambda}$ . The highest weight of  $L_{\lambda}$  is  $\lambda$ .

*Proof.* Recall that  $M_{\lambda}$  is generated by a highest weight vector. It follows that any proper submodule  $N \subseteq M_{\lambda}$  is a weight module such that  $\lambda$  is not a weight of  $N$ . It follows that the union  $N_{\max}$  of all the proper submodules satisfies the same property. By construction, this is the unique maximal proper submodule of  $M_{\lambda}$ . Therefore  $M_{\lambda}/N_{\max}$  is the unique irreducible quotient of  $M_{\lambda}$ . □

**Remark 2.2.2.** When  $\lambda$  is integral and dominant, *a priori* we do not know  $L_{\lambda}$  defined above coincides with the finite-dimensional Weyl module in Theorem 1.2.1. This is because we have not yet proved that  $L_{\lambda}$  in Proposition-Definition 2.2.1 is finite-dimensional.

**Exercise 2.2.3** (Problem 1, Homework 2). For  $\mathfrak{g} = \mathfrak{sl}_2$ , let  $\lambda$  be a weight and  $l$  be the corresponding complex number. Show that the Verma module  $M_{\lambda}$  is irreducible iff  $l \notin \mathbb{Z}^+$ . For  $l \in \mathbb{Z}^+$ , show that there is a non-split short exact sequence

$$(2.3) \quad 0 \rightarrow M_{\lambda'} \rightarrow M_{\lambda} \rightarrow L_{\lambda} \rightarrow 0$$

such that  $L_{\lambda}$  is the finite-dimensional irreducible  $\mathfrak{sl}_2$ -module with highest weight  $\lambda$ . Moreover, the complex number  $l'$  that corresponds to  $\lambda'$  is equal to  $-l - 2$ .

**Theorem 2.2.4.** We have

$$\text{Irr}(\mathcal{O}) \simeq \{L_{\lambda} \mid \lambda \in \mathfrak{h}^*\}.$$

*Proof.* By definition,  $L_\lambda$  and  $L_{\lambda'}$  are isomorphic iff  $\lambda = \lambda'$ . It remains to show that any irreducible object in  $\mathcal{O}$  is isomorphic to  $L_\lambda$  for some weight  $\lambda$ .

Let  $L \in \mathcal{O}$  be an irreducible object. By Proposition 2.1.8, there exists a surjective morphism  $M \rightarrow L$  such that  $M$  can be written as a successive extension of Verma modules. We have a short exact sequence

$$0 \rightarrow M_\lambda \rightarrow M \rightarrow M' \rightarrow 0$$

in  $\mathcal{O}$  such that  $M'$  can be written as a successive extension of strictly fewer Verma modules. Consider the composition

$$(2.4) \quad M_\lambda \rightarrow M \rightarrow L$$

If (2.4) is nonzero, it must be a surjection because  $L$  is irreducible. Then Proposition–Definition 2.2.1 implies  $L \simeq L_\lambda$  as desired.

If (2.4) is zero, we obtain a surjection  $M' \rightarrow L$ . We can replace  $M$  with  $M'$  and finish the argument using induction. □

**Corollary 2.2.5.** *When  $\lambda$  is integral and dominant,  $L_\lambda$  is finite-dimensional and isomorphic to the Weyl module with highest weight  $\lambda$ .*

*Proof.* This Weyl module is an irreducible object in  $\mathcal{O}$  with highest weight  $\lambda$ . Theorem 2.2.4 implies it is isomorphic to  $L_\lambda$ . □

**2.3. Harish–Chandra isomorphism.** We are going to study the center of the associative ring  $U(\mathfrak{g})$ . This is motivated by the following general paradigm in representation theory. Let  $U$  be an associative ring and  $Z \subseteq U$  be its center. For an  $U$ -module  $M$ , we can view it as a  $Z$ -module and consider its (*set theoretic*) support

$$\text{supp}_Z(M) := \{\mathfrak{p} \in \text{Spec}(Z) \mid M_{\mathfrak{p}} \neq 0\}.$$

Let  $M$  and  $N$  be  $U$ -modules such that  $\text{supp}_Z(M) \cap \text{supp}_Z(N) = \emptyset$ . One can easily show that  $\text{Hom}_U(M, N) = 0$ .

**Notation 2.3.1.** We write  $Z(\mathfrak{g}) := Z(U(\mathfrak{g}))$  for the center of the associative ring  $U(\mathfrak{g})$ .

We are going to show that  $Z(\mathfrak{g})$  is *non-canonically* isomorphic to a polynomial algebra in  $\text{rank}(\mathfrak{g}) := \dim(\mathfrak{h})$  variables. Let us first look at the  $\mathfrak{sl}_2$ -case.

**Exercise 2.3.2** (Problem 2, Homework 2). For  $\mathfrak{g} = \mathfrak{sl}_2$ , let  $e := \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ ,  $f := \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$

and  $h := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  be the standard basis. Show that the *Casimir element*

$$\Omega := ef + fe + h^2/2 \in U(\mathfrak{sl}_2)$$

is contained in the center  $Z(\mathfrak{sl}_2)$ .

**Exercise 2.3.3** (Problem 3, Homework 2). For  $\mathfrak{g} = \mathfrak{sl}_2$ , show that the Casimir element  $\Omega$  acts on the Verma module  $M_\lambda$  by the scalar  $l + l^2/2$ , where  $l := \lambda(h)$ .

**Remark 2.3.4.** We will see that  $Z(\mathfrak{sl}_2)$  is isomorphic to  $\mathbb{C}[\Omega]$ . In particular,  $Z(\mathfrak{sl}_2)$  acts on  $M_\lambda$  via a character that depends *algebraically* on  $\lambda$ . In other words, there is a *canonical* homomorphism

$$\phi : Z(\mathfrak{sl}_2) \rightarrow \mathcal{O}(\mathfrak{h}^*) \simeq \text{Sym}(\mathfrak{h})$$

such that  $z$  acts on  $M_\lambda$  via the scalar  $\phi(z)(\lambda)$ . Namely, if we identify  $\text{Sym}(\mathfrak{h})$  with  $\mathbb{C}[h]$ , Exercise 2.3.3 implies  $\phi(\Omega) = h + h^2/2$ . Note that the homeomorphism  $\phi$  is injective. Moreover, the image of  $\phi$  is the subring preserved by the reflection  $h \leftrightarrow -h - 2$ . Note that the last observation is compatible with Exercise 2.2.3.

With the above example in mind, let us start to study the general case.

**Lemma 2.3.5.** *The commutative ring  $Z(\mathfrak{g})$  acts on the Verma module  $M_\lambda$  via a character, which we denote by  $\xi_\lambda : Z(\mathfrak{g}) \rightarrow \mathbb{C}$ .*

*Proof.* For  $z \in Z(\mathfrak{g})$ , the map  $z \cdot - : M_\lambda \rightarrow M_\lambda$  is  $U(\mathfrak{g})$ -linear. In particular, it preserves the weight subspaces of  $M_\lambda$ . Let  $v_\lambda \in M_\lambda$  be a nonzero highest weight vector. It follows that  $\mathbb{C} \cdot v_\lambda \subseteq M_\lambda$  is preserved by the action of  $z$ , hence there exists a unique scalar  $\xi_\lambda(z) \in \mathbb{C}$  such that

$$z \cdot v_\lambda = \xi_\lambda(z)v_\lambda.$$

Moreover,  $\xi_\lambda(-) : Z(\mathfrak{g}) \rightarrow \mathbb{C}$  is a character by the axioms of an action.

It remains to show that  $Z(\mathfrak{g})$  acts on any vector  $v$  of  $M_\lambda$  via the character  $\xi_\lambda$  constructed as above. Recall that  $v$  can be written as  $u \cdot v_\lambda$  for some element  $u \in U(\mathfrak{g})$ . Then we have

$$z \cdot v = z \cdot (u \cdot v_\lambda) = u \cdot (z \cdot v_\lambda) = u \cdot (\xi_\lambda(z)v_\lambda) = \xi_\lambda(z)(u \cdot v_\lambda) = \xi_\lambda(z)v$$

as desired. □

In the proof of the above lemma, we constructed  $\xi_\lambda$  by studying the action of  $Z(\mathfrak{g})$  on a highest weight vector  $v_\lambda \in \mathbb{C}_\lambda \subseteq M_\lambda$ . In other words, it is equal to the composition

$$Z(\mathfrak{g}) \rightarrow U(\mathfrak{g}) \xrightarrow{-v_\lambda} M_\lambda \rightarrow \mathbb{C}_\lambda \simeq \mathbb{C},$$

where the third map is the projection to the highest weight vector, while the last isomorphism sends  $v_\lambda \in \mathbb{C}_\lambda$  to  $1 \in \mathbb{C}$ . Using the identification  $M_\lambda \simeq U(\mathfrak{g}) \otimes_{U(\mathfrak{b})} \mathbb{C}_\lambda$ , we can rewrite the above composition as

$$Z(\mathfrak{g}) \rightarrow U(\mathfrak{g}) \rightarrow U(\mathfrak{g}) \otimes_{U(\mathfrak{b})} \mathbb{C}_\lambda \simeq (U(\mathfrak{n}^-) \otimes U(\mathfrak{b})) \otimes_{U(\mathfrak{b})} \mathbb{C}_\lambda \rightarrow \mathbb{C}_\lambda \simeq \mathbb{C},$$

where the fourth map is given by the augmentation map  $U(\mathfrak{n}^-) \rightarrow \mathbb{C}$  that sends any element  $f \in \mathfrak{n}^-$  to zero. We can further rewrite the above composition as

$$Z(\mathfrak{g}) \rightarrow U(\mathfrak{g}) \rightarrow \mathbb{C} \otimes_{U(\mathfrak{n}^-)} U(\mathfrak{g}) \otimes_{U(\mathfrak{n})} \mathbb{C} \simeq U(\mathfrak{h}) \xrightarrow{-\lambda} \mathbb{C},$$

where the second map is given by the augmentation maps of  $U(\mathfrak{n}^-)$  and  $U(\mathfrak{n})$ .

This motivates the following result.

**Proposition 2.3.6.** *The composition*

$$\phi : Z(\mathfrak{g}) \rightarrow U(\mathfrak{g}) \rightarrow \mathbb{C} \otimes_{U(\mathfrak{n}^-)} U(\mathfrak{g}) \otimes_{U(\mathfrak{n})} \mathbb{C} \simeq U(\mathfrak{h}) \simeq \text{Sym}(\mathfrak{h})$$

*is a ring homomorphism.*

*Proof.* By the previous discussion, for any  $\lambda \in \mathfrak{h}^*$ , the composition

$$Z(\mathfrak{g}) \xrightarrow{\phi} \text{Sym}(\mathfrak{h}) \xrightarrow{-\lambda} \mathbb{C}$$

is equal to the character  $\xi_\lambda$ . In particular, it is a ring homomorphism. This implies that  $\phi$  is a ring homomorphism because the homomorphism

$$\text{Sym}(\mathfrak{h}) \rightarrow \prod_{\lambda \in \mathfrak{h}^*} \mathbb{C}, r \mapsto r(\lambda)$$

is injective. □

**Warning 2.3.7.** One can view  $\text{Sym}(\mathfrak{h})$  as a *subring* of  $U(\mathfrak{g})$ , but  $Z(\mathfrak{g})$  is not contained in this subring. This can be seen from the  $\mathfrak{sl}_2$ -case.

**Remark 2.3.8.** By definition,  $\phi : Z(\mathfrak{g}) \rightarrow \text{Sym}(\mathfrak{h})$  is compatible with the natural filtrations on both sides.

Let

$$\varpi : \mathfrak{h}^* \simeq \text{Spec}(\text{Sym}(\mathfrak{h})) \rightarrow \text{Spec}(Z(\mathfrak{g}))$$

be the morphism between affine schemes that corresponds to the Harish-Chandra homomorphism  $\phi$ . The previous discussion can be reformulated as the following result.

**Corollary 2.3.9.** *The scheme theoretic support of  $M_\lambda$ , viewed as a  $Z(\mathfrak{g})$ -module, is equal to  $\{\varpi(\lambda)\}$ .*

As exhibited by the  $\mathfrak{sl}_2$ -case, the homomorphism  $\phi : Z(\mathfrak{g}) \rightarrow \text{Sym}(\mathfrak{h})$  is not an isomorphism. Instead, we expect it to be an injection with image equal to a certain invariant subring of  $\text{Sym}(\mathfrak{h})$ .

**Definition 2.3.10.** The *dot action* of the Weyl group  $W$  on  $\mathfrak{h}^*$  is defined to be

$$w \cdot \lambda := w(\lambda + \rho) - \rho, \quad w \in W, \lambda \in \mathfrak{h}^*$$

The *dot action* of  $W$  on  $\text{Sym}(\mathfrak{h})$  is defined to be the action induced from the isomorphism  $\text{Sym}(\mathfrak{h}) \simeq \mathcal{O}(\mathfrak{h}^*)$ .

**Remark 2.3.11.** Note that  $-\rho$  is the unique fixed point for the dot  $W$ -action on  $\mathfrak{h}^*$ .

**Remark 2.3.12.** By definition, for  $w \in W$ ,  $\lambda \in \mathfrak{h}^*$  and  $r \in \text{Sym}(\mathfrak{h})$ , we have

$$(w \cdot r)(\lambda) = r(w^{-1} \cdot \lambda) = r(w^{-1}(\lambda + \rho) - \rho).$$

**Example 2.3.13.** For  $\mathfrak{g} = \mathfrak{sl}_2$ , the dot action of the unique non-trivial element  $s \in W$  on  $\mathfrak{h}^*$  is given by  $l \mapsto -l - 2$ . The dot action of  $s$  on  $\text{Sym}(\mathfrak{h})$  is given by  $h \mapsto -h - 2$ .

**Theorem 2.3.14** (Harish-Chandra). *The homomorphism  $\phi : Z(\mathfrak{g}) \rightarrow \text{Sym}(\mathfrak{h})$  induces an isomorphism*

$$\phi_{\text{HC}} : Z(\mathfrak{g}) \xrightarrow{\cong} \text{Sym}(\mathfrak{h})^{W^\bullet}.$$

The rest of this subsection is devoted to the proof of the theorem.

**Lemma 2.3.15.** *Let  $\alpha \in \Delta$  be a simple root and  $\lambda \in \mathfrak{h}^*$ . Suppose that  $\langle \lambda + \rho, \check{\alpha} \rangle \in \mathbb{Z}^+$ . Then  $M_\lambda$  contains  $M_{s_\alpha \lambda}$  as a submodule.*

*Proof.* Recall that

$$s_\alpha(\lambda) = \lambda - 2 \frac{\langle \lambda, \alpha \rangle}{\langle \alpha, \alpha \rangle} \alpha = \lambda - \langle \lambda, \check{\alpha} \rangle \alpha.$$

It follows that

$$s_\alpha \cdot \lambda = \lambda - \langle \lambda + \rho, \check{\alpha} \rangle \alpha = \lambda - m\alpha$$

for  $m \in \mathbb{Z}^{\geq 0}$ . The lemma is obvious for  $m = 0$ . We assume  $m > 0$ . Then we have  $\langle \lambda, \check{\alpha} \rangle = m - 1$  because  $\langle \rho, \check{\alpha} \rangle = 1$ .

Let  $f_\alpha \in \mathfrak{g}_{-\alpha}$  be a generator. Note that  $f_\alpha^m \cdot v_\lambda$  is a weight vector whose weight is equal to  $\lambda - m\alpha = s_\alpha \cdot \lambda$ . We claim that

$$(2.5) \quad \mathfrak{n} \cdot (f_\alpha^m \cdot v_\lambda) = 0.$$

Assuming the claim, the map  $\mathbb{C}_{s_\alpha \cdot \lambda} \rightarrow M_\lambda$ ,  $c \mapsto c(f_\alpha^m \cdot v_\lambda)$  is  $\mathfrak{b}$ -linear, and thereby induces a  $\mathfrak{g}$ -linear map  $M_{s_\alpha \cdot \lambda} \rightarrow M_\lambda$ . This map is injective because as a morphism between  $U(\mathfrak{n}^-)$ -modules, it is given by  $\cdot \cdot f_\alpha^m : U(\mathfrak{n}^-) \rightarrow U(\mathfrak{n}^-)$ , which is injective by the PBW theorem.

It remains to prove (2.5). For each simple root  $\beta \in \Delta$ , let  $e_\beta \in \mathfrak{n}$  be a nonzero vector of weight  $\beta$ . Note that the vectors  $(e_\beta)_{\beta \in \Delta}$  generate  $\mathfrak{n}$  under Lie brackets. Hence we only need to show  $e_\beta \cdot f_\alpha^m \cdot v_\lambda = 0$ .

If  $\alpha \neq \beta$ , we have  $[e_\beta, f_\alpha] = 0$  because  $\beta - \alpha$  is neither a root nor zero. It follows that

$$e_\beta \cdot f_\alpha^m \cdot v_\lambda = f_\alpha^m \cdot e_\beta \cdot v_\lambda = 0$$

as desired.

If  $\alpha = \beta$ , we can rescale  $e_\alpha$  such that  $[e_\alpha, f_\alpha] = \check{\alpha}$ . Then

$$[\check{\alpha}, f_\alpha] = \langle -\alpha, \check{\alpha} \rangle f_\alpha = -2f_\alpha.$$

We have

$$\begin{aligned} e_\alpha \cdot f_\alpha^m \cdot v_\lambda &= \sum_{1 \leq i \leq m} f_\alpha^{m-i} \cdot [e_\alpha, f_\alpha] \cdot f_\alpha^{i-1} \cdot v_\lambda + f_\alpha^m \cdot e_\alpha \cdot v_\lambda \\ &= \sum_{1 \leq i \leq m} f_\alpha^{m-i} \cdot \check{\alpha} \cdot f_\alpha^{i-1} \cdot v_\lambda \end{aligned}$$

Note that

$$\check{\alpha} \cdot f_\alpha^j = \sum_{1 \leq i \leq j} f_\alpha^{j-i} \cdot [\check{\alpha}, f_\alpha] \cdot f_\alpha^{i-1} + f_\alpha^j \cdot \check{\alpha} = -2j f_\alpha^j + f_\alpha^j \cdot \check{\alpha}.$$

Hence

$$e_\alpha \cdot f_\alpha^m \cdot v_\lambda = \sum_{1 \leq i \leq m} (-2(i-1) f_\alpha^{m-1} + f_\alpha^{m-1} \cdot \check{\alpha}) v_\lambda = (-m(m-1) + m \langle \lambda, \check{\alpha} \rangle) v_\lambda = 0$$

as desired. □

**Lemma 2.3.16.** *The image of  $\phi : Z(\mathfrak{g}) \rightarrow \text{Sym}(\mathfrak{h})$  is contained in  $\text{Sym}(\mathfrak{h})^{W^\bullet}$ .*

*Proof.* We only need to show that for any  $w \in W$ ,  $z \in Z(\mathfrak{g})$  and  $\lambda \in \mathfrak{h}^*$ ,

$$(2.6) \quad \phi(z)(\lambda) = \phi(z)(w \cdot \lambda).$$

Since  $W$  is generated by simple reflections, we only need to check (2.6) for  $w = s_\alpha$ ,  $\alpha \in \Delta$ .

Let  $\alpha \in \Delta$  be a fixed simple root. It is easy to see that

$$\{\lambda \in \mathfrak{h}^* \mid \langle \lambda + \rho, \check{\alpha} \rangle \in \mathbb{Z}^+\}$$

is a Zariski dense subset of  $\mathfrak{h}^*$ . Hence we only need to check (2.6) for  $\lambda$  belonging to this set. By Lemma 2.3.15, we have  $M_{s_\alpha \cdot \lambda} \subseteq M_\lambda$ . This implies (2.6) because  $z$  acts on  $M_\mu$  via the scalar  $\phi(z)(\mu)$ .  $\square$

The above lemma implies we have a well-defined homomorphism

$$\phi_{\text{HC}} : Z(\mathfrak{g}) \rightarrow \text{Sym}(\mathfrak{h})^{W_\bullet}$$

between filtered commutative rings, where the filtrations on the LHS and the RHS are induced by the PBW filtrations on  $U(\mathfrak{g})$  and  $\text{Sym}(\mathfrak{h})$  respectively. As a consequence, it induces a homomorphism

$$(2.7) \quad \text{gr}^\bullet \phi_{\text{HC}} : \text{gr}^\bullet Z(\mathfrak{g}) \rightarrow \text{gr}^\bullet (\text{Sym}(\mathfrak{h})^{W_\bullet}).$$

To show that  $\phi_{\text{HC}}$  is an isomorphism, we only need to show  $\text{gr}^\bullet \phi_{\text{HC}}$  is so.

**Lemma 2.3.17.** *There is a unique dotted graded isomorphism making the following diagram commute*

$$\begin{array}{ccc} \text{gr}^\bullet Z(\mathfrak{g}) & \xrightarrow{\cong} & \text{Sym}(\mathfrak{g})^{\mathfrak{g}} \\ \downarrow \subseteq & & \downarrow \subseteq \\ \text{gr}^\bullet U(\mathfrak{g}) & \xrightarrow[\text{PBW}]{\cong} & \text{Sym}(\mathfrak{g}), \end{array}$$

where  $\text{Sym}(\mathfrak{g})^{\mathfrak{g}}$  is the invariance of  $\text{Sym}(\mathfrak{g})$  with respect to the adjoint action<sup>1</sup>.

*Proof.* Consider the adjoint action of  $\mathfrak{g}$  on  $U(\mathfrak{g})$  given by

$$\mathfrak{g} \times U(\mathfrak{g}) \rightarrow U(\mathfrak{g}), \quad (x, u) \mapsto [x, u] := xu - ux.$$

One can check that this action preserves the PBW filtration on  $U(\mathfrak{g})$ , and the induced action on  $\text{gr}^\bullet(U(\mathfrak{g})) \simeq \text{Sym}(\mathfrak{g})$  is given by the adjoint  $\mathfrak{g}$ -action on  $\text{Sym}(\mathfrak{g})$ .

Note that for any  $n \in \mathbb{Z}^+$ , the  $\mathfrak{g}$ -module  $F^{\leq n}U(\mathfrak{g})$  is finite-dimensional. This implies the short exact sequence

$$0 \rightarrow F^{\leq n-1}U(\mathfrak{g}) \rightarrow F^{\leq n}U(\mathfrak{g}) \rightarrow \text{Sym}^n(\mathfrak{g}) \rightarrow 0$$

splits. Taking  $\mathfrak{g}$ -invariance, we obtain a split short exact sequence

$$0 \rightarrow F^{\leq n-1}Z(\mathfrak{g}) \rightarrow F^{\leq n}Z(\mathfrak{g}) \rightarrow \text{Sym}^n(\mathfrak{g})^{\mathfrak{g}} \rightarrow 0.$$

This gives the desired isomorphism  $\text{gr}^n Z(\mathfrak{g}) \simeq \text{Sym}^n(\mathfrak{g})^{\mathfrak{g}}$ .  $\square$

A similar proof gives the following result.

**Lemma 2.3.18.** *There is a unique dotted graded isomorphism making the following diagram commute*

$$\begin{array}{ccc} \text{gr}^\bullet (\text{Sym}(\mathfrak{h})^{W_\bullet}) & \xrightarrow{\cong} & \text{Sym}(\mathfrak{h})^W \\ \downarrow \subseteq & & \downarrow \subseteq \\ \text{gr}^\bullet \text{Sym}(\mathfrak{h}) & \xrightarrow{\cong} & \text{Sym}(\mathfrak{h}), \end{array}$$

<sup>1</sup>This is the unique action that restricts to the adjoint action on  $\mathfrak{g} \subseteq \text{Sym}(\mathfrak{g})$  and satisfies the Leibniz rule.

where the right-top corner is the invariance for the (usual) linear  $W$ -action on  $\text{Sym}(\mathfrak{h})$ .

By Lemma 2.3.17 and Lemma 2.3.18, the homomorphism (2.7) can be identified with a certain homomorphism

$$\phi_{\text{cl}} : \text{Sym}(\mathfrak{g})^{\mathfrak{g}} \rightarrow \text{Sym}(\mathfrak{h})^W,$$

and we only need to show  $\phi_{\text{cl}}$  is an isomorphism.

We are going to give a direct description for the homomorphism  $\phi_{\text{cl}}$ . For this purpose, we give the following alternative construction of  $\phi$ .

**Exercise 2.3.19** (Problem 4, Homework 2). Consider the adjoint  $\mathfrak{h}$ -action on  $U(\mathfrak{g})$ . Show that it preserves the kernel of the surjection

$$U(\mathfrak{g}) \rightarrow U(\mathfrak{g}) \otimes_{U(\mathfrak{n})} \mathbb{C}$$

and induces an  $\mathfrak{h}$ -action on  $U(\mathfrak{g}) \otimes_{U(\mathfrak{n})} \mathbb{C}$ . Moreover, we have

$$(U(\mathfrak{g}) \otimes_{U(\mathfrak{n})} \mathbb{C})^{\mathfrak{h}} \simeq U(\mathfrak{h}) \otimes_{U(\mathfrak{n})} \mathbb{C} \simeq \text{Sym}(\mathfrak{h}).$$

**Exercise 2.3.20** (Problem 5, Homework 2). Show that  $\phi : Z(\mathfrak{g}) \rightarrow \text{Sym}(\mathfrak{h})$  can be identified with the composition

$$Z(\mathfrak{g}) \xrightarrow{\cong} U(\mathfrak{g})^{\mathfrak{h}} \rightarrow (U(\mathfrak{g}) \otimes_{U(\mathfrak{n})} \mathbb{C})^{\mathfrak{h}} \simeq \text{Sym}(\mathfrak{h}),$$

where the last isomorphism is due to Exercise 2.3.19.

It follows that  $\phi_{\text{HC}}$  is the unique map that fits into the following commutative diagram

$$\begin{array}{ccc} Z(\mathfrak{g}) & \xrightarrow{\phi_{\text{HC}}} & \text{Sym}(\mathfrak{h})^W \\ \downarrow \cong & & \downarrow \cong \\ U(\mathfrak{g}) & \longrightarrow & U(\mathfrak{g}) \otimes_{U(\mathfrak{n})} \mathbb{C}. \end{array}$$

Taking associated graded pieces, we deduce that  $\phi_{\text{cl}}$  is the unique map that fits into the following diagram

$$\begin{array}{ccc} \text{Sym}(\mathfrak{g})^{\mathfrak{g}} & \xrightarrow{\phi_{\text{cl}}} & \text{Sym}(\mathfrak{h})^W \\ \downarrow \cong & & \downarrow \cong \\ \text{Sym}(\mathfrak{g}) & \longrightarrow & \text{Sym}(\mathfrak{g}/\mathfrak{n}). \end{array}$$

**Remark 2.3.21.** Let  $G$  be a connected algebraic group with Lie algebra  $\mathfrak{g}$ . Note that we have  $\text{Sym}(\mathfrak{g})^{\mathfrak{g}} = \text{Sym}(\mathfrak{g})^G$ . The previous discussion implies there is a unique dotted morphism between schemes that fits into the following diagram

$$\begin{array}{ccc} \mathfrak{g}^* // G & \xleftarrow{\dots\dots\dots} & \mathfrak{h}^* // W \\ \uparrow & & \uparrow \\ \mathfrak{g}^* & \xleftarrow{\quad\quad\quad} & (\mathfrak{g}/\mathfrak{n})^*. \end{array}$$

Here  $\mathfrak{g}^* // G$  and  $\mathfrak{h}^* // W$  are the (GIT) quotient schemes.

Recall that the Killing form induces a  $G$ -linear isomorphism  $\mathfrak{g} \simeq \mathfrak{g}^*$  and a  $W$ -linear isomorphism  $\mathfrak{h} \simeq \mathfrak{h}^*$ . Via the first isomorphism,  $\mathfrak{b} \subseteq \mathfrak{g}$  corresponds to  $(\mathfrak{g}/\mathfrak{n})^*$ . It follows that there is a unique dotted morphism between schemes that fits into the following diagram

$$\begin{array}{ccc} \mathfrak{g}/G & \xleftarrow{\cdots} & \mathfrak{h}/W \\ \uparrow & & \uparrow \\ \mathfrak{g} & \xleftarrow{\quad} & \mathfrak{b}, \end{array}$$

where the right vertical morphism is the composition  $\mathfrak{b} \rightarrow \mathfrak{h} \rightarrow \mathfrak{h}/W$ .

Note that the similar claim where  $\mathfrak{b}$  is replaced with  $\mathfrak{h}$  is true but weaker than the above claim.

As a byproduct of the above observation, one can prove the following result.

**Exercise 2.3.22** (Problem 6, Homework 2). Let  $r \in \mathcal{O}(\mathfrak{g})^G$  be a  $G$ -invariant regular function on  $\mathfrak{g}$ . Show that  $r(x) = r(0)$  for any nilpotent element  $x \in \mathfrak{g}$ .

Recall that we only need to show that

$$\phi_{\text{cl}} : \text{Sym}(\mathfrak{g})^{\mathfrak{g}} \rightarrow \text{Sym}(\mathfrak{h})^W$$

is an isomorphism. By Remark 2.3.21, this is equivalent to the following result.

**Theorem 2.3.23** (Chevalley). *The restriction map  $\mathcal{O}(\mathfrak{g}) \rightarrow \mathcal{O}(\mathfrak{h})$  induces an isomorphism*

$$\phi_{\text{Chev}} : \mathcal{O}(\mathfrak{g})^{\mathfrak{g}} \xrightarrow{\simeq} \mathcal{O}(\mathfrak{h})^W.$$

*Proof.* By the previous discussions, the restriction map induces a homomorphism  $\phi_{\text{Chev}} : \mathcal{O}(\mathfrak{g})^{\mathfrak{g}} \rightarrow \mathcal{O}(\mathfrak{h})^W$ . This homomorphism is injective because semisimple elements form a Zariski dense subset of  $\mathfrak{g}$ , and any such element is conjugate to an element in  $\mathfrak{h}$ .

It remains to show  $\phi_{\text{Chev}}$  is surjective. Recall that the subset  $\Lambda^+$  of dominant integral weights spans  $\mathfrak{h}^*$  as a vector space. This implies that for any  $n \geq 0$ , the subset  $\{\lambda^n \mid \lambda \in \Lambda^+\}$  spans  $\text{Sym}^n(\mathfrak{h}^*)$ . Therefore the elements

$$b_{\lambda,n} := \sum_{w \in W} w(\lambda^n), \quad \lambda \in \Lambda^+, n \geq 0$$

span  $\text{Sym}(\mathfrak{h}^*)^W \simeq \mathcal{O}(\mathfrak{h})^W$ .

It remains to show that each element  $b_{\lambda,n}$  defined as above is contained in the image of  $\phi_{\text{Chev}}$ . Consider the elements  $a_{\lambda,n} \in \mathcal{O}(\mathfrak{g})$  defined by the formula

$$a_{\lambda,n}(x) := \text{tr}(x^n, L_\lambda), \quad \forall x \in \mathfrak{g}.$$

Using the fact that  $L_\lambda$  is  $G$ -integrable for simply connected  $G$ , we see that  $a_{\lambda,n} \in \mathcal{O}(\mathfrak{g})^G = \mathcal{O}(\mathfrak{g})^{\mathfrak{g}}$ .

**Exercise 2.3.24** (Problem 7, Homework 2). Prove that

$$\phi_{\text{Chev}}(a_{\lambda,n}) = \frac{1}{\#\text{Stab}_W(\lambda)} b_{\lambda,n} \pmod{\text{span}(b_{\lambda',n}, \lambda' < \lambda)},$$

where  $\text{Stab}_W(\lambda) \subseteq W$  is the stabilizer of the  $W$ -action at  $\lambda \in \mathfrak{h}^*$ .

Now one can finish the proof by using the above exercise and induction in  $\lambda$ .  $\square$

$\square$ [Theorem 2.3.14]

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